

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. § 3 101-111)

1. Person Reporting (last name, first, middle initial) Harwitz, Andrew D.	2. Court or Organization 9th Circuit	3. Date of Report 11/2/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☒ Nomination, Due 11/2/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 3/1/2010 to 10/31/2011
7. Chambers or Office Address 2501 West Washington Phoenix, AZ 85007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1	Trustee	Trust #1
2		
3		
4		
5		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1		
2		
3		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Hurwitz, Andrew D.	11/2/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2009	State of Arizona; salary	\$152,325.59
2. 2010	National Conference of Bar Examiners; stipend	\$6,000.00
3. 2011	National Conference of Bar Examiners; stipend	\$3,500.00
4. 2010	State of Arizona; salary	\$153,043.72
5. 2011	State of Arizona; salary	\$125,192.34

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Arizona State University; salary
2. 2011	Arizona State University; salary
3. 2010	Kendall Hunt Publishing; royalties
4. 2011	Kendall Hunt Publishing; royalties

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment*

(Includes those in spouse's and dependent children's; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Hurwitz, Andrew D.	11/2/2011

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "XX" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(3)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., int., or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yyyy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1 U.S. Bank (Checking/Savings)	A	Interest	K	T	Exempt				
2 Interest in Real Estate Limited Partnership (Avondale Acres)	A	Distribution	K	W	Exempt				
3 Trust #1	E	Int./Div.	O	T	Exempt				
4 -Allianz NFJ Small Cap Value Fund P									
5 -Allon/Montage & Caldwell Growth Fund N									
6 -Blackrock Global Allocation Fund A									
7 -Delaware Diversified Income Fund A									
8 -Frost Trust ISE Global Copper Fund									
9 -Franklin High Yield Tax Free Fund A									
10 -Hartford Floating Rate Bond Fund A									
11 -Hartford Global Real Estate Asset Fund A									
12 -iShare Silver Trust Fund									
13 -IVA Incl A Fund									
14 -Jvy Asset Strategy Fund A									
15 -Loomis Sayles Strategy Income Fund A									
16 -Loomis Sayles Bond Retail Fund									
17 -Mawstey High Yield Opportunity Fund A									

1. Income Codes

(See Column D3 and D4)

2. Value Codes

(See Columns C1 and C2)

3. Value Method Codes

(See Column C3)

A - \$1,000 or less

B - \$1,001 - \$100,000

C - \$100,001 - \$1,000,000

D - \$1,001,001 - \$5,000,000

E - \$5,000,001 - \$10,000,000

F - \$10,000,001 - \$50,000,000

G - \$50,000,001 - \$100,000,000

H - More than \$100,000,000

I - Appraised

J - Market Value

B - Int and Real Estate (229)

C - Other

L - \$0.001 - \$9,999

M - \$10,000.001 - \$9,999,999

N - \$10,000,000.001 - \$99,999,999

O - \$100,000,000.001 - \$999,999,999

P - \$1,000,000,000.001 - \$9,999,999,999

Q - More than \$9,999,999,999

R - Assessed

S - Unassessed

T - Cash Market

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children; see pgs. 34-40 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "NY" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yyyy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Market Vectors Agribusiness ETF									
19. -Metropolitan WST Total Return Bond Fund M									
20. -Oppenheimer Developing Markets Fund A									
21. -Parnassus Equity Income Fund									
22. -Pimco Emerging Markets Bond Fund A									
23. -Pimco Total Return Fund A									
24. -Pimco Unconstrained Bond Fund A									
25. -Powershare DB Agricultural Fund									
26. -Prudential Jennison Natural Resources Fund Z									
27. -Royce 100 Fund Serv									
28. -SPDR Gold Trust Gold Shares									
29. -T Rowe Price Eq-Inc Adv Fund									
30. -Templeton Global Bond Fund A									
31. -Third Avenue Focused Credit Investment Fund									
32. -Third Avenue Real Estate Value Inst. Fund									
33. -Thornburg Int'l Value Fund I									
34. -Wisdom Tree Emerging Markets Small Cap Fund									

1. Income Code Levels (See Columns B) and D4)	A - \$1,000 or less F - \$500,001 - \$1,000,000	B - \$1,001 - \$2,500 G - \$2,500.001 - \$5,000,000	C - \$2,501 - \$5,000 H - \$5,000.001 - \$5,000,000	D - \$5,001 - \$10,000 I - \$10,000.001 - \$50,000,000	E - \$50,001 - \$500,000 J - \$500,000.001 - \$5,000,000	
2. Value Codes (See Columns C) and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P1 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 Q - \$1,000,001 - \$5,000,000 R - More than \$50,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000		
3. Value Method Codes (See Column C3)	Q - Appraisal U - Book Value	R - Cost Basis V - Other	S - Acquisition W - Redemption			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "XY" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, princ.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (I-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transactions)
35. -Calvert Income Fund A (Y)									
36. -Columbia Value & Restructuring Fund A (Y)									
37. -First Eagle Global Fund (Y)									
38. -Franklin Mutual Quest Fund A (Y)									
39. -Hartford Inflation Plus Fund A (Y)									
40. -Jey Limited Term Bond Fund A (Y)									
41. -JP Morgan Research Market Neutral Fund (Y)									
42. -Legg Mason WA Managed Municipal Fund A (Y)									
43. -Northern Tax Exempt Fund (Y)									
44. -Nuveen Tradewinds Value Opportunity Fund A (Y)									
45. -Oppenheimer Infl Bond Fund (Y)									
46. -Principal Preferred Securities Fund A (Y)									
47. -Powershares DB US S Ind Bear ETF (Y)									
48. -TCW Total Return Bond Fund N (Y)									
49. -Thornburg Infl Value Fund I (Y)									
50. -RS Emerging Markets Fund (Y)									
51. -Van Eck Global Hard Assets Fund A (Y)									

1. Income/Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A - \$1,000 or less
B - \$1,001 - \$100,000
C - \$100,001 - \$1,000,000
D - \$1,000,001 - \$5,000,000
E - \$5,000,001 - \$10,000,000
F - \$10,000,001 - \$50,000,000
G - \$50,000,001 - \$1,000,000,000
H - More than \$1,000,000,000

I - \$1,000 or less
J - \$1,001 - \$100,000
K - \$100,001 - \$1,000,000
L - \$1,000,001 - \$5,000,000
M - \$5,000,001 - \$10,000,000
N - \$10,000,001 - \$50,000,000
O - \$50,000,001 - \$1,000,000,000
P - More than \$1,000,000,000

Q - Appraised
R - Cost/Real Estate Only
S - Assessed
T - Fair Market
U - Book Value
V - Other
W - Unaudited

X - \$1,000 or less
Y - \$1,001 - \$100,000
Z - \$100,001 - \$1,000,000
AA - \$1,000,001 - \$5,000,000
AB - \$5,000,001 - \$10,000,000
AC - \$10,000,001 - \$50,000,000
AD - \$50,000,001 - \$1,000,000,000
AE - More than \$1,000,000,000

AF - \$1,000 or less
AG - \$1,001 - \$100,000
AH - \$100,001 - \$1,000,000
AI - \$1,000,001 - \$5,000,000
AJ - \$5,000,001 - \$10,000,000
AK - \$10,000,001 - \$50,000,000
AL - \$50,000,001 - \$1,000,000,000
AM - More than \$1,000,000,000

AN - Appraised
AO - Cost/Real Estate Only
AP - Assessed
AQ - Fair Market
AR - Book Value
AS - Other
AT - Unaudited

Name of Person Reporting	Date of Report
Horwitz, Andrew D.	11/20/2011

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Increase during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or loan)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yyyy	Value Code 2 (I-P)	Gain Code 3 (A-H)	Identity of buyer/seller (if private transaction)
52. -American AMCAP Fund (Y)									
53. -RS Emerging Markets Fund (Y)									
54. -IRA#1	E	Int./Div.	N	T	Exempt				
55. -First Trust ISE Global Copper Fund									
56. -iShares Silver Trust Fund									
57. -Market Vectors Agribusiness Fund									
58. -Powershares DB Agricultural Fund									
59. -SPDR Gold Trust Gold Shares									
60. -Wisdom Tree Emerging Markets Small Cap Fund									
61. -Alliant NEJ Small Cap Value Fund P									
62. -Abram Montage & Caldwell Growth Fund N									
63. -Blackrock Global Allocation Fund A									
64. -Delaware Diversified Income Fund A									
65. -Hartford Global Real Estate Asset Fund A									
66. -Key Asset Strategy Fund A									
67. -Loomis Sayles Strategy Income Fund A									
68. -Mainstay Floating Rate Fund A									

1. Income Class Codes (See Column B1 and B2)	A = \$1,000 or less F = \$50,001 - \$75,000 J = \$75,001 - \$100,000	Q = \$1,001 - \$2,500 V = \$100,001 - \$1,000,000 W = \$1,000,001 - \$5,000,000	X = \$2,501 - \$5,000 Y = \$5,000.01 - \$9,999.99 Z = \$10,000.01 - \$99,999.99	AA = \$10,000.01 - \$25,000 AB = \$25,000.01 - \$50,000 AC = \$50,000.01 - \$75,000 AD = \$75,000.01 - \$100,000 AE = \$100,000.01 - \$250,000 AF = \$250,000.01 - \$500,000 AG = \$500,000.01 - \$1,000,000 AH = \$1,000,000.01 - \$5,000,000 AI = \$5,000,000.01 - \$9,999,999.99 AJ = More than \$9,999,999.99	B = \$13,001 - \$50,000
2. Value Codes (See Columns C1 and C2)	1 = \$1,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000 P2 = \$50,000,001 - \$250,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P2 = More than \$5,000,000	AA = \$10,001 - \$25,000 AB = \$25,001 - \$50,000 AC = \$50,001 - \$75,000 AD = \$75,001 - \$100,000 AE = \$100,001 - \$250,000 AF = \$250,001 - \$500,000 AG = \$500,001 - \$1,000,000 AH = \$1,000,001 - \$5,000,000 AI = \$5,000,001 - \$9,999,999 AJ = More than \$9,999,999	
3. Value Method Codes (See Column C2)	D = Appraised E = Direct Value	R = Core/Real Estate Values W = Other	X = Accretion W = Realized	T = Cash Market	

Name of Person Reporting	Date of Report
Harwitz, Andrew D.	11/2/2011

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (L-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (L-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private company, firm)
69. -Mainstay High Yield Opportunity Fund A									
70. -Metropolitan WST Total Return Bond Fund M									
71. -Oppenheimer Developing Markets Fund A									
72. -Parnassus Equity Income Fund									
73. -Pimco Emerging Markets Bond Fund A									
74. -Pimco Total Return Fund A									
75. -Prudential Jennison Natural Resources Fund Z									
76. -Royce 100 Fund Serv									
77. -Templeton Global Bond Fund A									
78. -Third Avenue Focused Credit Investment Fund									
79. -Third Avenue Real Estate Value Inst. Fund									
80. -Thornburg Intl Value Fund I									
81. -Calvert Income Fund A (Y)									
82. -Dryden Short Term Corp Bond Fund A (Y)									
83. -First Eagle Global Fund (Y)									
84. -Hartford Inflation Plus Fund A (Y)									
85. -Icy Limited Term Bond Fund A (Y)									

1. Income Fund Codes (See Columns D1 and D2)	A = \$1,000 or less B = \$1,001 - \$100,000 C = \$10,001 - \$100,000 D = \$1,001 - \$2,000 E = \$2,001 - \$5,000 F = \$5,001 - \$10,000 G = \$10,001 - \$25,000 H = \$25,001 - \$50,000 I = \$50,001 - \$100,000 J = \$100,001 - \$250,000 K = \$250,001 - \$500,000 L = \$500,001 - \$1,000,000 M = More than \$1,000,000	1. Value Codes (See Columns C1 and C2)	A = \$1,000 or less B = \$1,001 - \$10,000 C = \$10,001 - \$50,000 D = \$50,001 - \$100,000 E = \$100,001 - \$250,000 F = \$250,001 - \$500,000 G = \$500,001 - \$1,000,000 H = More than \$1,000,000	2. Asset Method Codes (See Column E1)	A = Appraisal B = Broker/Dealer C = Direct Purchase D = Other E = Other (Specify)	3. Asset Source Codes (See Column E2)	A = Acquired B = Gift C = Inheritance D = Other E = Other (Specify)
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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "EX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 1 (J-P)	Value Method Code 1 (Q-W)	Type (e.g., buy, sell, redemption)	Date month/day	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identify of buyer/seller (if private transaction)
86	-JP Morgan Research Market Neutral Fund (Y)									
87	-Naveen Tradewinds Value Opportunity Fund A (Y)									
88	-Oppenheimer Int'l Bond Fund (Y)									
89	-Principal Preferred Securities Fund A (Y)									
90	-PowerShares DB US S Ind Bear ETF (Y)									
91	-Rydex S&P 500 Futures Strategic Fund A (Y)									
92	-T Rowe Price Eq-Inc Adv Fund (Y)									
93	-TCW Total Return Bond Fund N (Y)									
94	-Pimco Unconstrained Bond Fund A (Y)									
95	-Van Eck Global Hard Assets Fund A (Y)									
96	-American AMCAP Fund (Y)									
97	IRA#2	A	Int./Div.	N	F	Exempt				
98	-Joan Hancock Venture Annuity Fund									
99	-Corporate Property Associates IS REIT									
100	IRA#3	C	Int./Div.	L	F	Exempt				
101	-Morgan Stanley Bank N.A. (Money Market Account)									
102	-First Trust ISE Global Copper Fund									

1. Income Gain Codes
(See Columns D1 and D4)

A - \$1,000 or less
F - \$450,001 - \$1,000,000
J - \$15,000 or less
N - \$250,001 - \$500,000
P2 - \$25,000,001 - \$50,000,000

B - \$1,001 - \$2,000
G - \$100,001 - \$1,000,000
K - \$15,001 - \$50,000
O - \$500,001 - \$1,000,000

C - \$2,501 - \$5,000
H - \$1,000,001 - \$5,000,000
L - \$5,001 - \$100,000
P1 - \$1,000,001 - \$5,000,000

D - \$5,001 - \$15,000
I2 - More than \$1,000,000
M - \$100,001 - \$250,000
P2 - \$5,000,001 - \$25,000,000

E - \$15,000 - \$50,000

2. Value Method Codes
(See Column C2)

Q - Appraised
R - Broker's Price

D - First In, First Out (FIFO) Cost
V - Other

S - Accelerated
W - Adjusted

T - Cash Market

VII. INVESTMENTS and TRUSTS *→ income, value, transactions (includes those of spouse and dependent children; see pgs. 14-16 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Class value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 1 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -SPDR Gold Trust Gold Shares									
104. -Wisdom Tree Emerging Markets Small Cap Fund									
105. -Allianz NFI Small Cap Value Fund P									
106. -Allen/Morning & Caldwell Growth Fund N									
107. -Blackrock Global Allocation Fund A									
108. -Columbia Value & Restructuring Fund A									
109. -Delaware Diversified Income Fund A									
110. -Hartford Global Real Estate Asset Fund A									
111. -IVA Int'l A Fund									
112. -Ivy Asset Strategy Fund A									
113. -Loonis Sayles Strategy Income Fund A									
114. -Mainstay High Yield Opportunity Fund A									
115. -Metropolitan WST Total Return Bond Fund M									
116. -Oppenheimer Developing Markets Fund A									
117. -Parsons Equity Income Fund									
118. -Pimco Emerging Markets Bond Fund A									
119. -Pimco Total Return Fund A									

1. Income Class Codes

(See Columns B1 and B2)

2. Value Codes

(See Columns C1 and C2)

3. Value Method Codes

(See Column C3)

A = \$1,000 to \$999

B = \$1,000 to \$2,999

C = \$3,000 to \$4,999

D = \$5,000 to \$9,999

E = \$10,000 to \$24,999

F = \$25,000 to \$49,999

G = \$50,000 to \$99,999

H = \$100,000 to \$249,999

I = \$250,000 to \$499,999

J = \$500,000 to \$999,999

K = \$1,000,000 to \$2,499,999

L = \$2,500,000 to \$4,999,999

M = \$5,000,000 to \$9,999,999

N = \$10,000,000 to \$24,999,999

O = \$25,000,000 to \$49,999,999

P = \$50,000,000 to \$99,999,999

Q = \$100,000,000 to \$249,999,999

R = \$250,000,000 to \$499,999,999

S = \$500,000,000 to \$999,999,999

T = \$1,000,000,000 to \$2,499,999,999

U = \$2,500,000,000 to \$4,999,999,999

V = \$5,000,000,000 to \$9,999,999,999

W = \$10,000,000,000 to \$24,999,999,999

X = \$25,000,000,000 to \$49,999,999,999

Y = \$50,000,000,000 to \$99,999,999,999

Z = \$100,000,000,000 to \$249,999,999,999

AA = \$250,000,000,000 to \$499,999,999,999

AB = \$500,000,000,000 to \$999,999,999,999

AC = \$1,000,000,000,000 to \$2,499,999,999,999

AD = \$2,500,000,000,000 to \$4,999,999,999,999

AE = \$5,000,000,000,000 to \$9,999,999,999,999

AF = \$10,000,000,000,000 to \$24,999,999,999,999

AG = \$25,000,000,000,000 to \$49,999,999,999,999

AH = \$50,000,000,000,000 to \$99,999,999,999,999

AI = \$100,000,000,000,000 to \$249,999,999,999,999

AJ = \$250,000,000,000,000 to \$499,999,999,999,999

AK = \$500,000,000,000,000 to \$999,999,999,999,999

AL = \$1,000,000,000,000,000 to \$2,499,999,999,999,999

AM = \$2,500,000,000,000,000 to \$4,999,999,999,999,999

AN = \$5,000,000,000,000,000 to \$9,999,999,999,999,999

AO = \$10,000,000,000,000,000 to \$24,999,999,999,999,999

AP = \$25,000,000,000,000,000 to \$49,999,999,999,999,999

AQ = \$50,000,000,000,000,000 to \$99,999,999,999,999,999

AR = \$100,000,000,000,000,000 to \$249,999,999,999,999,999

AS = \$250,000,000,000,000,000 to \$499,999,999,999,999,999

AT = \$500,000,000,000,000,000 to \$999,999,999,999,999,999

AU = \$1,000,000,000,000,000,000 to \$2,499,999,999,999,999,999

AV = \$2,500,000,000,000,000,000 to \$4,999,999,999,999,999,999

AW = \$5,000,000,000,000,000,000 to \$9,999,999,999,999,999,999

AX = \$10,000,000,000,000,000,000 to \$24,999,999,999,999,999,999

AY = \$25,000,000,000,000,000,000 to \$49,999,999,999,999,999,999

AZ = \$50,000,000,000,000,000,000 to \$99,999,999,999,999,999,999

BA = \$100,000,000,000,000,000,000 to \$249,999,999,999,999,999,999

BB = \$250,000,000,000,000,000,000 to \$499,999,999,999,999,999,999

BC = \$500,000,000,000,000,000,000 to \$999,999,999,999,999,999,999

BD = \$1,000,000,000,000,000,000,000 to \$2,499,999,999,999,999,999,999

BE = \$2,500,000,000,000,000,000,000 to \$4,999,999,999,999,999,999,999

BF = \$5,000,000,000,000,000,000,000 to \$9,999,999,999,999,999,999,999

BG = \$10,000,000,000,000,000,000,000 to \$24,999,999,999,999,999,999,999

BH = \$25,000,000,000,000,000,000,000 to \$49,999,999,999,999,999,999,999

BI = \$50,000,000,000,000,000,000,000 to \$99,999,999,999,999,999,999,999

BJ = \$100,000,000,000,000,000,000,000 to \$249,999,999,999,999,999,999,999

BK = \$250,000,000,000,000,000,000,000 to \$499,999,999,999,999,999,999,999

BL = \$500,000,000,000,000,000,000,000 to \$999,999,999,999,999,999,999,999

BM = \$1,000,000,000,000,000,000,000,000 to \$2,499,999,999,999,999,999,999,999

BN = \$2,500,000,000,000,000,000,000,000 to \$4,999,999,999,999,999,999,999,999

BO = \$5,000,000,000,000,000,000,000,000 to \$9,999,999,999,999,999,999,999,999

BP = \$10,000,000,000,000,000,000,000,000 to \$24,999,999,999,999,999,999,999,999

BQ = \$25,000,000,000,000,000,000,000,000 to \$49,999,999,999,999,999,999,999,999

BR = \$50,000,000,000,000,000,000,000,000 to \$99,999,999,999,999,999,999,999,999

BS = \$100,000,000,000,000,000,000,000,000 to \$249,999,999,999,999,999,999,999,999

BT = \$250,000,000,000,000,000,000,000,000 to \$499,999,999,999,999,999,999,999,999

BU = \$500,000,000,000,000,000,000,000,000 to \$999,999,999,999,999,999,999,999,999

BU = Cash Market

6. If not reported

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(XY)" after each asset except from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer-seller (if private transaction)
120. -Pineau Unconstrained Bond Fund A									
121. -Prudential Jennison Natural Resources Fund Z									
122. -Royce 100 Fund Serv									
123. -Templeton Global Bond Fund A									
124. -Third Avenue Focused Credit Investment Fund									
125. -Third Avenue Real Estate Value Inst. Fund									
126. -Thornburg Int'l Value Fund I									
127. -Calvert Income Fund A (Y)									
128. -First Eagle Global Fund (Y)									
129. -Ivy Limited Term Bond Fund A (Y)									
130. -JP Morgan Research Market Neutral Fund (Y)									
131. -Market Vectors Agribusiness ETF A (Y)									
132. -Northern Tax Exempt Fund (Y)									
133. -Nuveen Tradewinds Value Opportunity Fund A (Y)									
134. -Oppenheimer Int'l Bond Fund (Y)									
135. -Principal Preferred Securities Fund A (Y)									
136. -TCW Total Return Bond Fund N (Y)									

1. Income Gain Codes:
(See Column 6) and 104)

A - \$1,000 or less
B - \$1,000.01 - \$2,000
C - \$2,000.01 - \$5,000,000
D - \$5,000.01 - \$10,000,000
E - \$10,000.01 - \$25,000,000
F - \$25,000.01 - \$50,000,000
G - \$50,000.01 - \$100,000,000
H - More than \$100,000,000

2. Value Codes:
(See Column 7) and 103)

1 - \$15,000 or less
2 - \$15,000.01 - \$50,000
3 - \$50,000.01 - \$100,000
4 - \$100,000.01 - \$250,000
5 - \$250,000.01 - \$500,000
6 - \$500,000.01 - \$1,000,000
7 - \$1,000,000.01 - \$2,500,000
8 - \$2,500,000.01 - \$5,000,000
9 - \$5,000,000.01 - \$10,000,000
10 - \$10,000,000.01 - \$25,000,000
11 - \$25,000,000.01 - \$50,000,000
12 - \$50,000,000.01 - \$100,000,000
13 - More than \$100,000,000

3. Value Method Codes:
(See Column 8)

A - Appraised
B - Book Value
C - Cost (Real Estate Only)
D - Other
E - Discount
F - Acquisition
G - Other

4. Cash Market:
(See Column 9)

1 - Cash Market
2 - Other

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "EX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Fair value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., com., or int.)	Value Code 2 (L-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 3 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
154. -Templeton Global Bond Fund A									
155. -Thornburg Int'l Value Fund A									
156. -Dryden Short Term Corp Bond Fund A (Y)									
157. -Henderson International Global Opportunities Fund A (Y)									
158. -TCW Total Return Bond Fund N (Y)									
159. -Oppenheimer Int'l Bond Fund (Y)									
160. -Principal Preferred Securities Fund A (Y)									
161. IRA#4	A	Int./Div.	J	F	Exempt				
162. -Corporate Property Associates 15 REIT									
163. -Morgan Stanley Bank N A, (Money Market Account)									
IRA IRA#5 (457)	E	Int./Div.	M	T	Exempt				
165. -Fidelity Contrafund 457									
166. -Neuberger Berma High Income Bond Fund									
167. -T Rowe Price Mutual Group Advantage Fund									
168. -PIMCO Total Return Fund									
169. -American Funds Capital World Group Income Fund									
170. -American Century Equity Income Investments									

1. Income Gain Codes:
(See Columns B1 and B4)

2. Value Codes:
(See Columns C1 and C2)

3. Value Method Codes:
(See Column C3)

A = \$1,000 or less
B = \$50,000 - \$100,000
C = \$15,000 or less
D = \$250,000 - \$500,000
E = \$25,000,000 - \$50,000,000
F = \$500,000 - \$1,000,000
G = \$1,000,000 - \$5,000,000
H = \$5,000,000 - \$25,000,000
I = \$25,000,000 - \$50,000,000
J = \$500,000 - \$1,000,000
K = \$1,000,000 - \$5,000,000
L = \$5,000,000 - \$25,000,000
M = \$25,000,000 - \$50,000,000
N = \$500,000 - \$1,000,000
O = \$1,000,000 - \$5,000,000
P = \$5,000,000 - \$25,000,000
Q = \$25,000,000 - \$50,000,000
R = Other (Qual. Estate Only)
S = Other

D = \$1,000 - \$1,000
E = \$1,000 - \$1,000
F = \$1,000 - \$1,000
G = \$1,000 - \$1,000
H = \$1,000 - \$1,000
I = \$1,000 - \$1,000
J = \$1,000 - \$1,000
K = \$1,000 - \$1,000
L = \$1,000 - \$1,000
M = \$1,000 - \$1,000
N = \$1,000 - \$1,000
O = \$1,000 - \$1,000
P = \$1,000 - \$1,000
Q = \$1,000 - \$1,000
R = \$1,000 - \$1,000
S = \$1,000 - \$1,000
T = \$1,000 - \$1,000
U = \$1,000 - \$1,000
V = \$1,000 - \$1,000
W = \$1,000 - \$1,000
X = \$1,000 - \$1,000
Y = \$1,000 - \$1,000
Z = \$1,000 - \$1,000

D = \$1,000 - \$1,000
E = \$1,000 - \$1,000
F = \$1,000 - \$1,000
G = \$1,000 - \$1,000
H = \$1,000 - \$1,000
I = \$1,000 - \$1,000
J = \$1,000 - \$1,000
K = \$1,000 - \$1,000
L = \$1,000 - \$1,000
M = \$1,000 - \$1,000
N = \$1,000 - \$1,000
O = \$1,000 - \$1,000
P = \$1,000 - \$1,000
Q = \$1,000 - \$1,000
R = \$1,000 - \$1,000
S = \$1,000 - \$1,000
T = \$1,000 - \$1,000
U = \$1,000 - \$1,000
V = \$1,000 - \$1,000
W = \$1,000 - \$1,000
X = \$1,000 - \$1,000
Y = \$1,000 - \$1,000
Z = \$1,000 - \$1,000

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Asset (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 3 (A-I)	Identity of buyer/seller if private transaction
171. -Vanguard Institutional Income Fund (Y)									
172. -Fidelity Equity Income Fund (Y)									

1. Income Gain Codes
(See Exemptions B1 and D4)

2. Value Codes
(See Columns C1 and C3)

3. Value Method Codes
(See Column C3)

A – \$1,000 or less
B – \$1,001 – \$2,500
C – \$2,501 – \$5,000
D – \$5,001 – \$10,000
E – \$10,001 – \$25,000
F – \$25,001 – \$50,000
G – \$50,001 – \$100,000
H – \$100,001 – \$250,000
I – \$250,001 – \$500,000
J – \$500,001 – \$1,000,000
K – \$1,000,001 – \$5,000,000
L – \$5,000,001 – \$25,000,000
M – More than \$25,000,000

A – Capital Gain
B – Dividend
C – Other

A – Capital Gain
B – Dividend
C – Other

F – \$5,001 – \$10,000
G – \$10,001 – \$25,000
H – \$25,001 – \$50,000
I – \$50,001 – \$100,000
J – \$100,001 – \$250,000
K – \$250,001 – \$500,000
L – \$500,001 – \$1,000,000
M – More than \$1,000,000

A – Acquisition
B – Disposition
C – Other

A – Acquisition
B – Disposition
C – Other

FINANCIAL DISCLOSURE REPORT Page 15 of 16	Name of Person Reporting Hurwitz, Andrew D.	Date of Report 11/2/2011
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT Page 16 of 16	Name of Person Reporting Hurwitz, Andrew D.	Date of Report 11/2/2011
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature *JD*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
 Administrative Office of the United States Courts
 Suite 2-301
 One Columbus Circle, N.E.
 Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		30	000	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities - see schedule	1	441	587	Notes payable to relatives			
Unlisted securities - see schedule		363	001	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable - personal residence		128	000
Real estate owned - see schedule		750	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		150	000	Auto loan/lease		40	000
Cash value-life insurance							
Other assets itemize:							
				Total liabilities		168	000
				Net Worth	2	566	588
Total Assets	2	734	588	Total liabilities and net worth	2	734	588
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax		3	600				
Other special debt							