

FINANCIAL DISCLOSURE REPORT
NOMINATION FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fowlkes, John T.	2. Court or Organization U.S. District Court	3. Date of Report 12/16/2011
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 12/16/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/01/2010 to 12/16/2011
7. Chambers or Office Address Criminal Justice Complex 201 Poplar Avenue, Suite 519 Memphis, Tennessee 38103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Treasurer	Tennessee Judicial Conference
2. Commissioner	Shelby County Public Records Committee
3. Board of Directors	Economic Club of Memphis
4. Board of Directors	Memphis in May International Festival, Inc.
5. Board of Directors	Memphis Ten Point Coalition, Inc.
6. Board of Directors	Memphis Area Legal Services, Inc.
7. Board of Directors	Memphis Business Academy
8. Board of Directors	National Bar Association, Ben F. Jones Chapter
9. Board of Directors	Memphis Shelby Crime Commission
10. Board of Directors	Lifblood, Inc.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

2.

3.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	State of Tennessee, Salary	\$147,000.00
2. 2010	State of Tennessee, Salary	\$145,000.00
3. 2009	State of Tennessee, Salary	\$144,159.00
4.		

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2011	Memphis Shelby Crime Commission, Salary
2. 2010	Memphis Shelby Crime Commission, Salary
3. 2010	Save The Children Federation, Salary
4.	

IV. REIMBURSEMENTS - (transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Fowikes, John T.	12/16/2011

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Citi Bank	Credit Card	J
2. Shelby County Credit Union	Credit Line	K
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS — Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-50 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-J)	Type (e.g., div., rent, or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (I-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Alliance Bernstein Global Thematic Growth		None	J	T	Exempt				
2. DFA International Value Portfolio		None	J	T	Exempt				
3. Fidelity Contrafund		None	J	T	Exempt				
4. Fidelity International Discovery Fund		None	J	T	Exempt				
5. Fidelity OTC Portfolio		None	J	T	Exempt				
6. Fidelity Spartan 500 Index Fund		None	J	T	Exempt				
7. Fidelity Stock Selector Cap		None	J	T	Exempt				
8. Vanguard Institutional Index Fund		None	J	T	Exempt				
9. Vanguard Total Bond Fund		None	J	T	Exempt				
10. America Funds Investment Company of America	A	Interest	K	T	Exempt				
11. YWCA 401 (A) Retirement Fund Cash Equivalent Account	C	Interest	L	T	Exempt				
12. FDIC Insured Deposit CRBS Citizens IRA Cash Equivalent Acct.		None	K	T	Exempt				
13. Shelby County Retirement-Fixed Account	A	Dividend			Exempt				
14. Principal Financial Group	A	Dividend			Exempt				
15. Shelby County Credit Union Accounts	A	Interest	J	T	Exempt				
16. Regions Bank Account		None	J	T	Exempt				
17. Suntrust Bank Account		None	J	T	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes:
(See Columns C1 and C3)

3. Value Method Codes:
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$150,001 - \$300,000
P1 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$100,001 - \$1,000,000
R = Cash/IRAs/ Estate Only
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$1,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$1,000,000
P4 = More than \$10,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$300,001 - \$250,000
P3 = \$3,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000
H2 = More than \$5,000,000
M = \$300,001 - \$250,000
P3 = \$3,000,001 - \$25,000,000

VII. INVESTMENTS and TRUSTS – Income, value, transactions (includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Federal Building Credit Union Accounts		None	J	T	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes:
(See Columns C1 and D3)

3. Value Method Codes:
(See Column C3)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$75,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
D = \$300,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$1,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
F1 = \$1,000,001 - \$5,000,000
P4 = More than \$10,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
K2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		11	500	Notes payable to banks-secured (autos)		18	000
U.S. Government securities				Notes payable to banks-unsecured		13	000
Listed securities—see schedule		47	575	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		4	000
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		290	000
Real estate owned – personal residence		270	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		55	000				
Cash value-life insurance							
Other assets itemize:							
Thrift Savings Plan		319	932				
Retirement Accounts (cash)		120	933				
				Total liabilities		325	000
				Net Worth		499	940
Total Assets		824	940	Total liabilities and net worth		824	940
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							