

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Christen, Morgan D.	2. Court or Organization 9th Circuit	3. Date of Report 05/18/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 05/18/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 04/30/2011
7. Chambers or Office Address 303 K Street Anchorage, AK 99501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Justice	Alaska Supreme Court
2. Director	Rasmuson Foundation
3. Director	Alaska Community Foundation
4. Member	Christen Sisters, LLC
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011	Alaska State Employee Accounts (Judicial Retirement; 457 Plan, 401(a) Plan); no control
2. 2001	Preston Gates & Ellis Retirement Savings with former law firm; no control
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Alaska Court System	\$63,126.00
2. 2010	Alaska Court System	\$187,590.00
3. 2009	Alaska Court System	\$178,911.32
4. 2010	Alaska Permanent Fund Dividend	\$1,281.00
5. 2009	Alaska Permanent Fund Dividend	\$1,305.00
6.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2011	Self-employed attorney
2. 2010	Self-employed attorney
3. 2010	Alaska Permanent Fund Dividend
4.	

IV. REIMBURSEMENTS - (transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt	Exempt	Exempt	Exempt	Exempt
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Christen, Morgan B.	05/18/2011

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Exempt	Exempt	\$0.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Wells Fargo Bank	Mortgage on rental property #1	N
2. Wells Fargo Bank	Mortgage on rental property #2	None
3. Wells Fargo Bank	Mortgage on rental property #4	L
4. Chase Home Finance	Mortgage on rental property #3	None
5. Chase Home Finance	Mortgage on rental property #5	None

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code J (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yyyy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ASB Accounts	A	Interest	K	T	Exempt				
2. Wells Fargo Accounts	A	Interest	J	T	Exempt				
3. True North Federal Credit Union	A	Interest	J	T	Exempt				
4. Steel River Cash Accounts	A	Interest	L	T	Exempt				
5. Amer. Century International Discovery Fund	A	Dividend	L	T	Exempt				
6. American Funds Growth Fund of America	B	Dividend	M	T	Exempt				
7. TD Ameritrade Municipal portfolio	A	Interest	K	T	Exempt				
8. Arrio International Equity Fund	A	Dividend	J	T	Exempt				
9. Brandes International Equity Fund		None	J	T	Exempt				
10. Columbia Value & Restructuring Fund - Z	A	Dividend	J	T	Exempt				
11. Fidelity Retirement Money Market Portfolio	A	Interest	L	T	Exempt				
12. Great West - Alaska Supplemental Benefits Annuity		None	M	T	Exempt				
13. Great West - 457 Plan - Long Term Balanced Trust		None	M	T	Exempt				
14. Pacific Northern Acad. Bond (issued by Akhiak, AK)	A	Interest	J	T	Exempt				
15. Vanguard European Stock Index Fund	C	Dividend	L	T	Exempt				
16. Vanguard Health Care Fund	B	Dividend	K	T	Exempt				
17. Vanguard Institutional Index Fund	B	Dividend	M	T	Exempt				

1. Income Gain Codes (See Columns B1 and D4): A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000; F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$1,000,000; H2 = More than \$1,000,000; 2. Value Codes (See Columns C1 and D3): J = \$13,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000; N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000; P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000; 3. Value Method Codes (See Column C2): Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market; U = Book Value; V = Other; W = Estimated

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Name of Person Reporting

Christen, Morgan B.

Date of Report

05/18/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Limited-Term Tax Exempt Fund	A	Interest	K	T	Exempt				
19. Steel River Capital Account		None	L	T	Exempt				
20. HEWM VI Investment Fund - no control		None	J	T	Exempt				
21. HEWM VIII Investment Fund - no control		None	J	T	Exempt				
22. HEWM XII Investment Fund - no control		None	J	T	Exempt				
23. HEWM XV Investment Fund - no control		None	K	T	Exempt				
24. Venture Law Group Investment Fund 2004 - no control		None	J	T	Exempt				
25. Venture Law Group Investment Fund 2005 - no control	D	Distribution	K	T	Exempt				
26. Venture Law Group Investment Fund 2006 - no control	C	Distribution	K	T	Exempt				
27. Venture Law Group Investment Fund 2007 -no control	B	Distribution	K	T	Exempt				
28. Investment property #1, Nelson, NZ		None	N	W	Exempt				
29. Investment property #2, Blaine, WA		None	K	W	Exempt				
30. Investment property #3, Blaine, WA		None	M	W	Exempt				
31. Rental property #1, Blaine, WA (appraisal Oct. 2010)	E	Rent	O	Q	Exempt				
32. Rental property #2, Blaine, WA	D	Rent	N	W	Exempt				
33. Rental property #3, Blaine, WA	D	Rent	M	W	Exempt				
34. Rental property #4, Blaine, WA	D	Rent	M	W	Exempt				

1. Income Gain Codes: (See Column D1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$3,000,000	D = \$5,001 - \$15,000 H2 = More than \$3,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Column C1 and C3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$100,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Rental property #3, Bellingham, WA	C	Rent	M	W	Exempt				
36. Brokerage Account #1 (see lines 37 through 50)									
37. Black Diamond Inc. - common stock		None	J	T	Exempt				
38. Capital One Finl Corp. - common stock	A	Dividend	J	T	Exempt				
39. Cisco Systems Inc. - common stock	A	Dividend	J	T	Exempt				
40. ConocoPhillips Corp. - common stock	B	Dividend	K	T	Exempt				
41. Ericsson L M. Tel Co. Sponsored ADR - common stock	A	Dividend	J	T	Exempt				
42. Ingersoll-Rand PLC - common stock	A	Dividend	J	T	Exempt				
43. Internet Cap Group Inc. - common stock	A	Dividend	J	T	Exempt				
44. Monsanto Co. - common stock	A	Dividend	J	T	Exempt				
45. Nortel Network Corporation - common stock		None	J	T	Exempt				
46. Northrim Bancorp Inc. - common stock	A	Dividend	J	T	Exempt				
47. Pfizer, Inc. - common stock	A	Dividend	J	T	Exempt				
48. Red Hat, Inc. - common stock		None	K	T	Exempt				
49. Valueclick, Inc. - common stock		None	K	T	Exempt				
50. Williams Cos Inc. - common stock	A	Dividend	J	T	Exempt				
51. Christen Sisters, LLC		None			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes: (See Columns C1 and D3)
3. Value Method Codes: (See Column C3)
A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P2 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value
B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$1,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
U = \$5,001 - \$15,000
I2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS - Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Steel Rives Retirement Plan - no control		None	O	T	Exempt				
53. Northwestern Mutual - whole life	A	Dividend	J	T	Exempt				
54. Northwestern Mutual - whole life	A	Dividend	J	T	Exempt				
55. New York Life - whole life		None	J	T	Exempt				
56. Alaska Court System Retirement Plan - no control		None	L	W	Exempt				

1. Income Gain Codes (See Columns D1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000 J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000 K - \$35,001 - \$50,000 O - \$500,001 - \$1,000,000	C - \$2,501 - \$5,000 H - \$1,000,001 - \$5,000,000 L - \$10,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	D - \$5,001 - \$15,000 I12 - More than \$5,000,000 M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and C3)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Appraisal W - Estimated	T - Cash Market	
3. Value Method Codes (See Column C2)					

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Morgan Christen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		98	800	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities - see schedule	1	151	107	Notes payable to relatives			
Unlisted securities - see schedule		141	441	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends		15	000	Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable - see schedule		823	258
Real estate owned - see schedule	3	165	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		138	000	Heller Ehrman Bankruptcy Estate		4	574
Cash value-life insurance		21	875				
Other assets itemize:							
Thrift Savings Plan		310	466				
Steel Rives Retirement Plan (no control)		766	987				
				Total liabilities		827	832
				Net Worth	4	980	844
Total Assets	5	808	676	Total liabilities and net worth	5	808	676
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							