

FINANCIAL DISCLOSURE REPORT
NOMINATION FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Higginson, Stephen A.	2. Court or Organization Fifth Circuit	3. Date of Report 05/09/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 05/09/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 05/04/2011
7. Chambers or Office Address Loyola University, Loyola College of Law 526 Pine Street, Office 409 New Orleans, LA 70118	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Associate Professor of Law	Loyola University, Loyola College of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-26 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Loyola University Loyola College of Law -- teaching	\$52,421.00
2. 2010	Loyola University Loyola College of Law -- teaching	\$171,906.00
3. 2009	Loyola University Loyola College of Law -- teaching	\$167,149.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2011	Tulane University -- university architect
2. 2010	Tulane University -- university architect
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS - Income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank accounts	B	Interest	L	T	Exempt				
2. LA Start Savings Program accounts	D	Interest	M	T	Exempt				
3. Merrill Lynch Cash Money account	A	Dividend	K	T	Exempt				
4. BlackRock Balanced Mutual Fund	A	Dividend	J	T	Exempt				
5. Computer Associates stock	A	Dividend	J	T	Exempt				
6. Fidelity Contrafund	A	Dividend	J	T	Exempt				
7. Judicial & Justice Federal Credit Union account	A	Dividend	J	T	Exempt				
8. CREF Money Market		None	L	T	Exempt				
9. TIAA-Cref Lifecycle 2025-Runt		None	L	T	Exempt				
10. TIAA Real Estate		None	J	T	Exempt				
11. CREF Stock		None	K	T	Exempt				
12. CREF Social Choice		None	J	T	Exempt				
13. CREF Bond Market		None	J	T	Exempt				
14. CREF Global Equities		None	J	T	Exempt				
15.									
16.									
17.									

1. Income Gain Codes: A = \$1,000 or less, B = \$1,001 - \$7,500, C = \$7,501 - \$5,000, D = \$5,001 - \$15,000, E = \$15,001 - \$50,000, F = \$50,001 - \$100,000, G = \$100,001 - \$1,000,000, H1 = \$1,000,001 - \$5,000,000, H2 = More than \$5,000,000, I = \$15,001 - \$50,000, J = \$50,001 - \$100,000, K = \$100,001 - \$1,000,000, L = \$1,000,001 - \$5,000,000, M = \$5,000,001 - \$10,000,000, N = \$10,000,001 - \$50,000,000, O = \$50,000,001 - \$1,000,000,000, P1 = \$1,000,001 - \$5,000,000, P2 = \$5,000,001 - \$25,000,000, P3 = \$25,000,001 - \$50,000,000, P4 = More than \$50,000,000, Q = Appraisal, R = Cost (Real Estate Only), S = Assessment, T = Cash Market, U = Book Value, V = Other, W = Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***FINANCIAL DISCLOSURE REPORT**

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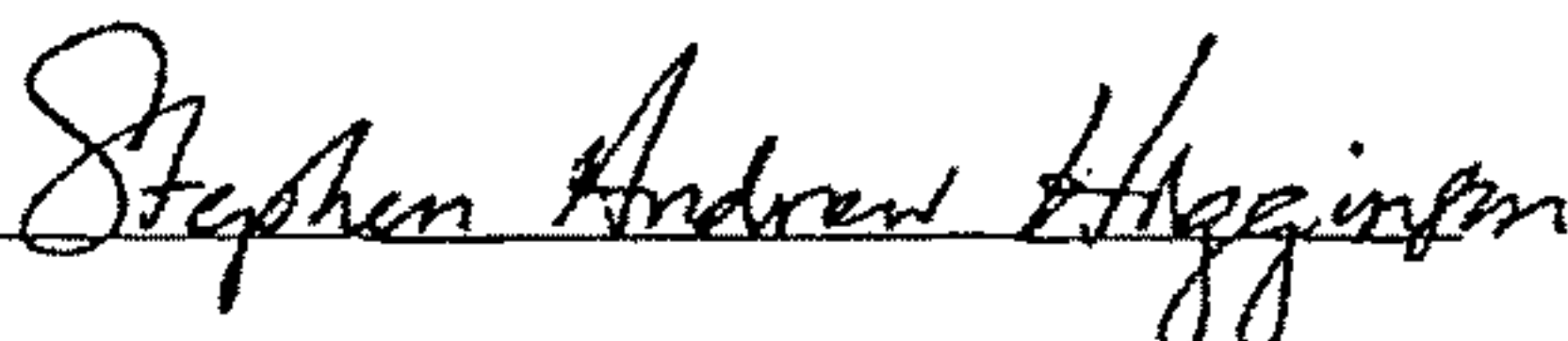
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		69	654	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		68	408	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		169	088
Real estate owned – personal residence		460	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		25	000	- Pledged charitable giving			200
Cash value-life insurance							
Other assets itemize:							
- Louisiana START Saving Program Acct (529 College Savings Plan)		102	194				
- Retirement Holdings – see schedule		519	500				
				Total liabilities		169	288
				Net Worth	1	075	468
Total Assets	1	244	756	Total liabilities and net worth	1	244	756
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor on leases or contracts				Are any assets pledged? (Add schedule)	No		
Legal Claims				Are you defendant in any suits or legal actions?	No		
Provision for Federal Income Tax				Have you ever taken bankruptcy?	No		
Other special debt							