

FINANCIAL DISCLOSURE REPORT
NOMINATION FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bencivengo, Cathy A.	2. Court or Organization US District Court, Southern District of California	3. Date of Report 05/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 05/11/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 05/08/2011
7. Chambers or Office Address U.S. Courthouse 940 Front Street San Diego, California 92101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	Poway High School Music Boosters
2. Member of Advisory Board	San Diego Chapter of Federal Bar Association
3. Member of Board of Governors	San Diego Chapter of the Association of Business Trial Lawyers
4. Director	Federal Magistrate Judges Association
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Bentivengo, Cathy A.	05/11/2011

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2010-11	Thermo Fisher Scientific - Salary & Commission
2.	
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. EXEMPT				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/11/2011

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. EXEMPT		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "OX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
1. IRA #2 (Edward Jones)	E	Dividend	N	T	Exempt				
2. -- Franklin Growth Fund (Y)									
3. -- Franklin Mutual Beacon Fund (Y)									
4. -- Franklin Mutual Discovery Fund (Y)									
5. -- Franklin Small Mid Cap Growth (Y)									
6. -- Growth Fund of America (Y)									
7. -- Oppenheimer Develop Mkts (Y)									
8. -- Oppenheimer Select Value (Y)									
9. -- Edward Jones Cash Reserves									
10. -- Capital World Grw & Inc Fund									
11. -- Columbia Marsico Growth Fund									
12. -- Columbia Small Cap Value II									
13. --Dodge & Cox Income Fund									
14. -- Dodge & Cox Stock Fund									
15. -- Federated Kaufmann Small Cap									
16. -- Fundamental Investors Fund									
17. -- Hartford Cap Appreciation Fund									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes:
(See Columns C1 and D3)

3. Value Method Codes:
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraised
U = Book Value

B = \$1,001 - \$2,500
D = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessed
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000
T = Cash Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -- Hotchkis & Wiley Mid Cap Val I									
19. -- JPMorgan Core Bond Fund Select									
20. -- Lomis Sayles Invst Grade Bd									
21. -- MFS Value Fund									
22. -- Munder Mid Cap Core Growth									
23. -- Mutual Global Discovery Fund									
24. -- Oppenheimer Intl Growth Fund									
25. -- Van Kampen Comstock Fund									
26. --JPM Fed Money Market Inst Cl									
27. 401K #2 (Thermo Fisher)	A	Dividend	N	T					
28. -- Dodge & Cox Stock									
29. -- T. Rowe Price Retirement 2040 Fund									
30. -- Thermo Fsher Scientific Inc.									
31. -- Western Asset CR PL Bond I									
32. Brokerage Acct. #1									
33. -- New World Growth Fund of America	A	Dividend	K	T					
34. -- U.S. Govt. Securities Fund	A	Dividend	K	T					

1. Income Code

(See Columns B1 and D4)
2. Value Code

(See Columns C1 and D3)
3. Value Method Code

(See Column C7)
- A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P1 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value
- B = \$1,001 - \$1,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other
- C = \$2,501 - \$5,000

I1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated
- D = \$5,001 - \$15,000

I2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market
- E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 3 (A-H)	Identity of buyer/seller (if private transaction)
35. Brokerage Acct. #2									
36. -- Fundamental Investors	A	Dividend	L	T					
37. -- New World Growth Fund of America	A	Dividend	K	T					
38. Palomar Pomerado Health Muni Bonds	A	Interest	K	U					
39. Money Market Charles Schwab	A	Interest	L	T					
40. Brokerage Acct. #4 (Schwab)									
41. --Columbia Seligman Comm & Info Fund	A	Dividend	J	T					
42. -- Matthews Asian Growth & Inc Fund	A	Dividend	J	T					
43. -- Munder Index 500 Fund	C	Dividend	K	T					
44. --Oakmark Equity Income Fund	A	Dividend	J	T					
45. -- Parnassus Equity Income	A	Dividend	J	T					
46. -- Permanent Portfolio	A	Dividend	J	T					
47. -- Reynolds Blue Chip	A	Dividend	K	T					
48. -- Yacktman Focused Fund	A	Dividend	K	T					
49. IRA #1	A	Dividend	J	T					
50. -- JDS Uniphase Corp. Common Stock									
51. -- Fidelity Intl Discovery Mutual Fund									

1. Income/Gain Codes:
(See Columns B1 and B4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$30,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (I-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Union Bank of California (Y)	A	Interest							
53. IRA #3 (Schwab)	A	Dividend	K	T					
54. -- Deposit Account/Cash Reserves									
55. -- AIG Common Stock (Y)									
56. -- GE Common Stock (Y)									
57. -- Home Depot Common Stock (Y)									
58. -- IBM Common Stock (Y)									
59. -- J&J Common Stock (Y)									
60. -- Microsoft Common Stock (Y)									
61. -- Parnassus Equity Income									
62. Brokerage #3 (Wells Fargo)									
63. -- Intel Common Stock (Y)	A	Dividend							
64. -- Microsoft Common Stock (Y)	A	Dividend							
65. -- Munder Mutual Fund (Y)	A	Dividend							
66. -- Cash Reserves (Y)		None							
67.									
68.									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A --\$1,000 or less
F --\$50,001 - \$100,000
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Q --Appraisal
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B --\$1,001 - \$2,500
G --\$100,001 - \$1,000,000
K --\$15,001 - \$50,000
O --\$500,001 - \$1,000,000
R --Cost (Real Estate Only)
V --Other

C --\$2,501 - \$5,000
H1 --\$1,000,001 - \$5,000,000
L --\$50,001 - \$100,000
P1 --\$1,000,001 - \$5,000,000
P4 --More than \$50,000,000
S --Ascertainer
W --Estimated

D --\$5,001 - \$15,000
J2 --More than \$5,000,000
M --\$100,001 - \$250,000
P2 --\$5,000,001 - \$25,000,000
T --Cash Market

E --\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/11/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)***FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		45	000	Notes payable to banks-secured			
U.S. Government securities – see schedule		9	333	Notes payable to banks-unsecured			
Listed securities – see schedule	1	216	074	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		287	329
Real estate owned – personal residence		900	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		37	000	Home equity line of credit		131	000
Cash value-life insurance		5	429				
Other assets itemize:							
				Total liabilities		418	329
				Net Worth	1	794	507
Total Assets	2	212	836	Total liabilities and net worth	2	212	836
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, co-maker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							