

FINANCIAL DISCLOSURE REPORT
NOMINATION FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Taranto, Richard G.	2. Court or Organization Federal Circuit	3. Date of Report 11/10/2011
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 11/10/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2010 to 10/31/2011
7. Chambers or Office Address Farr & Taranto 1150 18th Street, NW, Suite 1030 Washington, DC 20036	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Farr & Taranto
2.	Administrator	Farr & Taranto Profit Sharing Plan and Trust
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Farr & Taranto partnership income	\$904,230.00
2. 2010	Farr & Taranto partnership income	\$1,163,448.00
3. 2009	Farr & Taranto partnership income	\$1,515,016.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Pomona College	Tuition, room, & board	K
2.	Princeton University	Tuition, room, & board	K
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. PNC (cash & CDs)	E	Interest	O	T	Exempt				
2. Farr & Taranto capital account	H1	Distribution	M	U					
3. 401(k) Plan # 1 (apportioned share)									
4. - American Chartered Bank CD	A	Interest							
5. - Comerica Bank CD	C	Interest							
6. - American General Financial Bond (0 coupon)		None							
7. - National Rural Utility Bond	B	Interest							
8. - CIT Bank CD	C	Interest	K	T					
9. - American Express CDs	C	Interest	L	T					
10. - GE Capital CD	A	Interest	K	T					
11. - Ally Bank CD	A	Interest	K	T					
12. - HSBC Bank CDs	C	Interest	L	T					
13. - UBS Note (earnings only at term)		None	J	T					
14. - Barclay's Note (earnings only at term)		None	J	T					
15. - HSBC Corp. Note	D	Int/Div.	K	T					
16. - MidFirst Bank CD	B	Interest	K	T					
17. - UBS Bank CD	A	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D3)

2. Value Codes:
(See Columns C1 and D3)

3. Value Method Codes:
(See Column C2)

A = \$1,000 or less
F = \$30,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P1 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
F4 = More than \$50,000,000
S = Assesment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-J)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-J)	(5) Identity of buyer/seller (if private transaction)
18. - Philadelphia Bonds (0 coupon)		None	L	T					
19. - Sacramento Bond (0 coupon)		None	J	T					
20. - Discover Bank CD	C	Interest	L	T					
21. - BMW Bank	A	Interest	K	T					
22. - Fl. Lee NJ Bond	D	Interest	K	T					
23. - ING Annuity	D	Int./Div.	K	T					
24. - MetLife Annuity	D	Int./Div.	K	T					
25. - Ohio National Foundation Plus Annuity	D	Int./Div.	L	T					
26. UBS Cash Funds	A	Interest	K	T					
27. Allstate Life Annuity	C	Int./Div.	K	T					
28. Ciena Corp. stock		None							
29. EMC Corp. stock		None							
30. Pfizer Corp. stock	A	Dividend							
31. Alcan Aluminum Note	D	Int./Div.	M	T					
32. American Express Century Bank CD	C	Interest							
33. American Express Bank CD	B	Interest							
34. Banco Popular CD	C	Interest							

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes:
(See Column C2)

A=\$1,000 or less
F=\$50,001 - \$100,000
J=\$15,000 or less
N=\$250,001 - \$500,000
P1=\$25,000,001 - \$50,000,000
Q=Appraisal
R=Book Value

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000
K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000
R=Cos (Real Estate Only)
V=Other

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P2=More than \$50,000,000
S=Assessment
W=Estimated

D=\$5,001 - \$15,000
H2=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000
T=Cash Market

E=\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Banco Santander	C	Interest							
36. BMW Bank CDs	C	Interest	M	T					
37. CIT Bank CD	D	Interest	M	T					
38. Discover Bank CD	C	Interest							
39. GE Money Bank CDs	D	Interest	M	T					
40. GE Capital Finance CDs	B	Interest	M	T					
41. Goldman Sachs Bank UT CD	B	Interest							
42. HSBC Finance Corp. Notes	C	Int./Div.	K	T					
43. New Frontier Bank CD	B	Interest							
44. Premier Bank CD	A	Interest							
45. Seattle Savings Bank CD	C	Interest							
46. Sherman County Bank CD	A	Interest							
47. Bank of America CD	B	Interest	L	T					
48. Fed'l Home Loan Mortgage Corp. Bond	A	Interest							
49. Goldman Sachs Bank NY CD	A	Interest	L	T					
50. Stearns Bank CD	A	Interest							
51. Ally Bank CDs	C	Interest	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes:
(See Columns C1 and D3)

3. Value Method Codes:
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$1,000,001 - \$1,000,000
K = \$1,500,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-IJ)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 3 (J-P)	(4) Gain Code 1 (A-IJ)	(5) Identity of buyer/seller (if private transaction)
52. UBS Bank CD	A	Interest	L	T					
53. Barclay's Bank CD		None	M	T					
54. Barclay's Note (earnings at term)		None	J	T					
55. Other assets:									
56. - Lightbridge Corp.	A	None	J	T					
57. - UBS Bank	A	Int/Div.	K	T					
58. - Southwest Bank CD	D	Interest	L	T					
59. - Bank of America CD	B	Interest	L	T					
60. - Western Bank CD	C	Interest							
61. - HSBC Financial Notes	C	Int/Div.	K	T					

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)***FINANCIAL DISCLOSURE REPORT**

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Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



Signature: s/ Richard G. Taranto

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks (incl. CDs)	2	485	651	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities - see schedule		328	823	Notes payable to relatives			
Unlisted securities - see schedule		206	008	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable			
Real estate owned - see schedule	1	973	500	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property (approx.)		220	000	Tuition obligations 2011-12		67	700
Cash value-life insurance		208	974				
Other assets itemize: Partnership capital account		137	058				
				Total liabilities		67	700
				Net Worth	5	492	314
Total Assets	5	560	014	Total liabilities and net worth	5	560	014
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor				Are any assets pledged?	No		
On leases or contracts (jointly liable on firm's lease, \$59,200 due to end in 7/2012)		59	200	Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							