

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Phillips, Mary E.	2. Court or Organization U.S. District Court, Western District of Missouri	3. Date of Report 06/07/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 06/07/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2010 to 6/3/2011
7. Chambers or Office Address 400 East 12th Street Suite 5510 Kansas City, MO 64105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-Investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	State of Missouri - Salary
2. 2011	State of Missouri - Salary
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Phillips, Mary E.	06/07/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank	Mortgage on Rental Property, Kansas City, Missouri (Pt. VII, Line 4)	M
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Country Club Bank Account	A	Interest	K	T	Exempt				
2. Bank of America Account	A	Interest	J	T	Exempt				
3. Discover Savings Account	B	Int./Div.	K	T	Exempt				
4. Rental Property, Kansas City, Missouri (\$170,000 -2007)	E	Rent	M	R	Exempt				
5. Mass. Mutual Variable Life Policy - Oppenheimer Cap Appr Div	A	Int./Div.	J	T	Exempt				
6. Mass. Mutual Variable Life -Fidelity VIP Contrafund Division	A	Int./Div.	J	T	Exempt				
7. Mass. Mutual Variable Life Policy -MML Equity Index Division	A	Int./Div.	J	T	Exempt				
8. 457(b) Plan #1									
9. - American Century Value Fund		None	J	T	Exempt				
10. - Drey Appreciation Fund		None	J	T	Exempt				
11. - Northwestern Fund D		None	J	T	Exempt				
12. - Northwestern International Index A		None	J	T	Exempt				
13. - American Centruy International Disc		None	J	T	Exempt				
14. Vanguard Total Stock Market Index Fund (IRA)	A	Dividend	J	T	Exempt				
15. T.Rowe Price Small Cap Value Fund (IRA)	A	Dividend	K	T	Exempt				
16. 457(b) Plan #2									
17. - ING Black Rock Large Cap Gr Port - Inst.		None	J	T	Exempt				

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - ING Templeton Foreign Equity Port - Inst.		None	J	T	Exempt				
19. IRA Account #1									
20. -T. Rowe Price Retirement Fund (2035)		None	M	T	Exempt				
21. IRA Account #2									
22. -Buffalo Fund - Midcap Fund	A	Dividend	K	T	Exempt				
23. - Century Cap Management Small Cap Select	A	Dividend	K	T	Exempt				
24. - T. Rowe Price Intl. Emerging Markets Fund	A	Dividend	K	T	Exempt				
25. - Vanguard Target Retirement Fund (2035)	B	Dividend	L	T	Exempt				
26. 457 (b) Plan #3 - MO 2035 Fund		None	L	T	Exempt				
27. Vanguard Select Value Fund	A	Dividend	K	T	Exempt				
28. Brokerage Acct #1									
29. - Berkshire Hathaway B	A	Dividend	K	T	Exempt				
30. - American Funds - Growth Fund of America	A	Dividend	K	T	Exempt				
31. - Clipper Fund	A	Dividend	K	T	Exempt				
32. - Dodge & Cox Stock Fund	A	Dividend	K	T	Exempt				
33. - Greenspring Fund	A	Dividend	K	T	Exempt				
34. - Harbor Fund Bond Institutional	A	Dividend	K	T	Exempt				

1. Income Gain Codes. (See Columns D1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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35. - Jenson Portfolio Fund, C1 J shares	A	Dividend	L	T	Exempt				
36. - Loomis Sayles Bond Fund Retail	A	Dividend	J	T	Exempt				
37. - Matthew Pacific Tiger	A	Dividend	L	T	Exempt				
38. - Metropolitan West Total Return Bond Fund	A	Dividend	J	T	Exempt				
39. - Vanguard Tax Managed Capital Appreciation Fund	A	Dividend	K	T	Exempt				
40. - Vanguard Primecap Core Fund	A	Dividend	K	T	Exempt				
41. - Vanugard Tax Managed Small Cap Fund	A	Dividend	K	T	Exempt				
42. Adorn Inc.(2007)		None	K	W	Exempt				
43. Om Financial Fixed Index Annuity-Prosperity Plus	A	Int./Div.	J	T	Exempt				
44. National Western Life Ins. Equity Indexed Annuity	A	Int./Div.	K	T	Exempt				
45. Alcatel Lucent		None	J	T	Exempt				

1. Income Gain Codes:
(See Columns D1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

2. Value Codes
(See Columns C1 and D3)

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$30,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assesment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature Mary Elizabeth Phillips

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		81	000	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		808	957	Notes payable to relatives			
Unlisted securities – see schedule		56	800	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		306	860
Real estate owned – see schedule		520	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		30	000				
Cash value-life insurance		10	208				
Other assets itemize:							
Thrift Savings Plan		73	416				
				Total liabilities		306	860
				Net Worth	1	273	521
Total Assets	1	580	381	Total liabilities and net worth	1	580	381
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	Yes		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							