

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Gonzales, Kenneth J.	2. Court or Organization District Court - New Mexico	3. Date of Report 11/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Nominee	5a. Report Type (check appropriate type) ☒ Nomination Date 11/14/2012 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/01/2011 to 11/01/2012
7. Chambers or Office Address 201 Third Street, NW, Suite 900 Albuquerque, New Mexico 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Gonzales, Kenneth J.

Date of Report

11/14/2012

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for luminaria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2. 2011	Griffis Duvall, PC, Real Estate Appraisers, Real Estate Appraising
3. 2011	Trattel Court Reporting/Staffing Solutions, Court Reporting Services and Temporary Office Services
4. 2012	Griffis Dufall, PC, Real Estate Appraisers, Real Estate Appraising
5. 2012	Trattel Court Reporting/Court Reporting Services

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. Exempt				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2. Exempt		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1. Sandia Preparatory High School	Tuition	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. The Education Plan School Years Index Portfolio - 529		None	K	T	Exempt				
2. Farmers Universal Life Insurance #1	A	Interest	J	T	Exempt				
3. Farmers Universal Life Insurance #2	A	Interest	J	T	Exempt				
4. Farmers Universal Life Insurance #3	A	Interest	J	T	Exempt				
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes.
(See Columns B1 and B4)

2. Value Codes
(See Columns C1 and C3)

3. Value Method Codes
(See Column C2)
- A - \$1,000 or less
B - \$1,001 - \$2,500
C - \$2,501 - \$5,000
D - \$5,001 - \$15,000
E - \$15,001 - \$50,000
F - \$50,001 - \$100,000
G - \$100,001 - \$1,000,000
H - \$1,000,001 - \$5,000,000
I - \$5,000,001 - \$50,000,000
J - \$50,000 or less
K - \$15,001 - \$50,000
L - \$50,001 - \$100,000
M - \$100,001 - \$250,000
N - \$250,001 - \$500,000
O - \$500,001 - \$1,000,000
P - \$1,000,001 - \$5,000,000
Q - Appraisal
R - Cost (Real Estate Only)
S - Assessment
T - Cash Market
U - Book Value
V - Other
W - Estimated

11 - \$1,000,001 - \$5,000,000
12 - More than \$5,000,000
13 - \$50,001 - \$100,000
14 - \$100,001 - \$250,000
15 - \$250,001 - \$500,000
16 - \$500,001 - \$1,000,000
17 - \$1,000,001 - \$5,000,000
18 - More than \$5,000,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Kenneth J. Gonzales**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		3	100	Notes payable to banks-secured (autos)		39	824
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities - see schedule		21	020	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		1	241
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable - personal residence		254	163
Real estate owned - personal residence		285	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		56	000				
Cash value-life insurance		2	519				
Other assets itemize:							
Thrift Savings Plan		269	868				
				Total liabilities		295	228
				Net Worth		342	379
Total Assets		637	507	Total liabilities and net worth		637	607
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							