

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gleason, Sharon L.	2. Court or Organization United States District Court, Alaska	3. Date of Report 04/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge, Alaska	5a. Report Type (check appropriate type) ☒ Nomination, Date 04/06/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 03/31/2011
7. Chambers or Office Address 825 West Fourth Avenue Room 634 Anchorage, Alaska 99501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting Individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Superior Court Judge	Alaska Court System
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting Individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2001	State of Alaska, Judicial Retirement System, defined benefit plan payable upon retirement by age 60
2.	
3.	

Name of Person Reporting	Date of Report
Gleason, Sharon L.	04/11/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2009	Alaska Court System- Judicial Salary	\$168,300.00
2. 2010	Alaska Court System-Judical Salary	\$173,000.00
3. 2011	Alaska Court System - Judicial Salary	\$43,000.00
4. 2009	Anchorage Symphony - Musician Compensation	\$1,237.00
5. 2010	Anchorage Symphony - Musician Compensation	\$3,100.00
6. 2011	Anchorage Symphony - Musician Compensation	\$1,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Include those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1		Exempt		
2.				
3.				
4.				
5				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt	
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 31-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northrim Bank accounts	A	Interest	K	T	Exempt				
2. Alaska Permanent Fund Dividend	C	Dividend	J	T					
3. Alaska USA Federal Credit Union	A	Interest	L	T					
4. State of Alaska Deferred Compensation 457 Plan	A	Dividend	K	T					
5. - T. Rowe Price Small Cap Fund									
6. State of Alaska Supp. Benefit System-401(a) Plan	B	Dividend	M	T					
7. - Alaska Target Date Retirement 2020 Trust									
8. IRA #1	A	Dividend	K	T					
9. - Microsoft Corporation									
10. - Fidelity Value									
11. - Janus Growth and Income									
12. - Janus Research Core Fund									
13. IRA #2	D	Dividend	N	T					
14. - Baxter International									
15. - EBay Inc.									
16. - Edwards Lifesciences									
17. - Microsoft Inc.									

1. Income Gain Codes:
(See Columns B1 and B4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

2. Value Codes:
(See Columns C1 and C3)

G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

3. Value Method Codes:
(See Column C2)

Q = Appraisal
U = Book Value
R = Cost (Real Estate Only)
V = Other

C = \$2,001 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "XX" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Fidelity International Discovery									
19. - Fidelity Growth and Discovery Fund									
20. - Fidelity GNMA Fund									
21. - Fidelity Balanced									
22. - Janus Growth and Income Class T									
23. - Janus Global Select Class T									
24. - Janus Research Core Fund Class T									
25. - Janus Overseas Fund Class T									
26. Trust	A	Dividend	K	T					
27. - Vanguard Star Fund									
28. - Neuberger Berman Equity Fund Investor Class									
29. 529 Fund	A	Dividend	K	T					
30. - Fidelity MA College Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraised	R = Cost (Real Estate Only)	S = Acquisition	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Gleason, Sharon L.

Date of Report

04/11/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

I have no interest or involvement in the trust that is indicated in Part VII, but I have knowledge of that trust. The trustors are related to me; the beneficiaries of the trust include my children.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Gleason, Sharon L.

Date of Report

04/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		133	500	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities -- see schedule		357	377	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable -- personal residence		280	000
Real estate owned -- personal residence		540	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		40	000	Automobile loan		11	100
Cash value-life insurance							
Other assets itemize:							
Alaska Supplemental Benefits System		160	700				
Alaska Judicial Retirement System		500	000				
Alaska Deferred Compensation		20	900	Total liabilities		291	100
				Net Worth	1	461	377
Total Assets	1	752	477	Total liabilities and net worth	1	752	477
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	Yes		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							