

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Chen, Pamela K.	2. Court or Organization U.S. District Court for the Eastern District of New York	3. Date of Report 08/02/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 08/02/2012 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2011 to 07/30/2012
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Attorney's Office for the Eastern District of New York 271 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2012	New York University Law School; Clinical Professor for the Eastern District of New York Prosecution Clinic, Fall 2012 and Spring 2013
2.	
3.	

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III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐

NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒

NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 4 (A-H)	Identity of buyer/seller (if private transaction?)
1. Vanguard 500 Index Fund	D	Dividend	L	T	Exempt				
2. Citibank cash accounts	A	Interest	L	T					
3. Brokerage Account #1									
4. - iShares MSCI EAFE Index Fund	A	Dividend	J	T					
5. - iShares Russell 2000 Index Fund	C	Dividend	J	T					
6. - iShares Morningstar Mid Core Index Fund	C	Dividend	J	T					
7. - SPDR S&P Midcap 400 ETF Trust	D	Dividend	K	T					
8. - SPDR S&P 500 ETF Trust	D	Distribution	K	T					
9. - Wisdom Tree Earnings 500 Fund	C	Dividend	K	T					
10. - Vanguard Intermediate-Term Tax-Exempt Fund	B	Dividend	K	T					
11. - Bristol Myers Squibb Co. common stock	C	Dividend	K	T					
12. - Citigroup common stock		None	K	T					
13. - Duke Energy Corp. common stock	B	Dividend	J	T					
14. - Frontier Communications Corp. common stock		None	J	T					
15. - Verizon common stock	D	Dividend	K	T					
16. IRA #1									
17. - American Funds American Balanced C	A	Dividend	J	T					

1. Income Code Codes. (See Columns B1 and B2)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$25,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes. (See Columns C1 and C2)	Z = \$15,000 or less N = \$250,001 - \$500,000 H3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes. (See Column C3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		T = Cash Market

Name of Person Reporting:

Chen, Pamela K.

Date of Report:

08/31/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 3 (J-P)	Gain Code 4 (A-J)	Identity of buyer/seller (if private transaction)
18. - American Funds Capital World G/I C	A	Dividend	J	T					
19. - American Funds American Mutual C	A	Dividend	J	T					
20.									
21.									
22.									
23.									
24.									
25.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 ~ \$100,000	B ~\$1,001 ~ \$2,500 G ~\$100,001 ~ \$1,000,000	C ~\$2,501 ~ \$5,000 H1 ~\$1,000,001 ~ \$5,000,000	D ~\$5,001 ~ \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 ~ \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~ \$250,001 ~ \$500,000 P1 ~\$25,000,001 ~ \$50,000,000	K ~\$15,001 ~ \$50,000 O ~ \$500,001 ~ \$1,000,000	L ~ \$50,001 ~ \$100,000 P1 ~\$1,000,001 ~ \$5,000,000 P1 ~ More than \$50,000,000	M ~\$100,001 ~ \$250,000 P2 ~ \$5,000,001 ~ \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~ Book Value	R ~Cost (Real Estate Only) X ~ Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The teaching contract reported in Section II will not take effect until September 2012. As of the date of this report, I have not received any compensation pursuant to this contract or any other compensation from the New York University Law School. Should I be confirmed as a United States District Court Judge, I will withdraw from this contract, which requires that the teacher be an Assistant United States Attorney.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Pamela K. Chen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		130	000	Notes payable to banks-secured			
U.S. Government securities - see schedule				Notes payable to banks-unsecured			
Listed securities - see schedule	1	420	708	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable - personal residence		264	975
Real estate owned - personal residence	1	500	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		35	000				
Cash value-life insurance							
Other assets itemize:							
Thrift Savings Plan	1	132	432				
				Total liabilities		264	975
				Net Worth	3	953	165
Total Assets	4	218	140	Total liabilities and net worth	4	218	140
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							