

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kayatta, William J.	2. Court or Organization First Circuit	3. Date of Report 1/23/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 1/23/2012 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address Pierce Atwood LLP Merrills Wharf 254 Commercial Street Portland, ME 04101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	Pierce Atwood LLP
2. Board Member	Pierces Foundation
3. Regent	American College of Trial Lawyers
4. Member	University of Maine Board of Visitors
5. Co-Trustee	Trust #1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Kayatta, William J.

Date of Report

1/23/2012

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Pierce Atwood LLP (Partnership income)(close estimate; K-1 not yet available)	\$870,000.00
2. 2010	Pierce Atwood LLP (Partnership income)(per K-1 and 1040, line 17)	\$905,412.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting	Date of Report
Kayatta, William J.	1/23/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kayatta, William J.

Date of Report

1/23/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1					Exempt				
2. - iShares Russell 1000 Gr. Index	B	Dividend	M	T					
3. - iShares Russell 1000 Value Index	B	Dividend	M	T					
4. - iShares Russell 2000 Gr. Index	A	Dividend	M	T					
5. - iShares MSCI Japan Index	A	Dividend	K	T					
6. - iShares Russell 2000 Index	B	Dividend	K	T					
7. - Vanguard Energy ETF	A	Dividend	K	T					
8. - Vanguard Inform.Tech. ETF	A	Dividend	J	T					
9. - iShares NASDAQ Biotech. Index	A	Dividend	J	T					
10. - John Hancock Tax-Advant. Div. Income Fund	D	Dividend	J	T					
11. - Fidelity Advisors New Insights Fund		None	M	T					
12. - Invesco Van Kampen Senior Income Trust	D	Dividend	L	T					
13. - Oppenheimer Intern. Bond Fund	B	Dividend	L	T					
14. - Ivy Asset Strategy Fund	A	Dividend	L	T					
15. - MFS Intern. Value Fund	A	Dividend	L	T					
16. - BlackRock Global Small Cap Fund	A	Dividend	K	T					
17. - Invesco Van Kampen Comstock Fund	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B) and D4)

2. Value Codes:
(See Columns C) and D3)

3. Value Method Codes:
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,500
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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Date of Report

1/23/2012

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - BlackRock Basic Value Fund	A	Dividend	K	T					
19. - Alger Mid Cap Growth Fund		None	K	T					
20. -Wells Fargo 7.5% Perp. Conv. Pfd.	D	Dividend	M	T					
21. - Wells Fargo & Co. New SERJ 8% PEPP	D	Dividend	M	T					
22. -JP Morgan Chase Common	A	Dividend							
23. -General Electric Common	A	Dividend							
24. -Duke Energy Corp New Common	A	Dividend							
25. -Merrill Lynch Bank Deposit Program	A	Interest	N	T					
26. - BIF Money Fund	A	Interest	K	T					
27. 401(k) Account #1									
28. -CurrencyShares Jap. Yen Trust		None	K	T					
29. -iShares Gold Trust ETF		None	L	T					
30. -iShares Tr. G. Investop	A	Dividend	K	T					
31. -iShares MSCI Hong Kong Index Fund	B	Dividend	L	T					
32. -iShares Barclays 7-10 Yr. Treas. Bond Fund	A	Int./Div.	K	T					
33. -iShares Barclays TIPS Bond Fund	D	Int./Div.	M	T					
34. -PIMCO Enh. Short Term Maturity Strat. Fund	A	Dividend	K	T					

1. Income/Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
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O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
T2 = \$5,000,001 - \$25,000,000

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Kayatta, William J.

Date of Report

1/23/2012

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35. -PIMCO 15+ Yr. U.S. TIPS Index Fund	B	Dividend	K	T					
36. -PowerShares DB Commod. Index Tracking Fund		None	L	T					
37. -PowerShares QQQ Trust, Series 1	A	Dividend	K	T					
38. -SPDR Gold Trust ETF		None	L	T					
39. -Wisdom Tree Asia Local Debt	B	Int./Div.	K	T					
40. -Vanguard Dividend Appreciation ETF	B	Dividend	M	T					
41. -Vanguard MSCI EAFE ETF	B	Dividend	K	T					
42. -Vanguard MSCI Emerging Markets ETF	B	Dividend	K	T					
43. -Vanguard REIT ETF	C	Dividend	M	T					
44. -Vanguard Total Stock Market ETF	C	Dividend	M	T					
45. -Vanguard Bond Index Fund	D	Int./Div.	M	T					
46. -SPDR S&P 500	A	Dividend							
47. -Wisdom Tree Emerging Market Local Debt Fund	B	Dividend							
48. -Schwab Sweep Account	A	Interest	L	T					
49. Pierce Atwood Capital Contribution Notes	E	Interest	O	U					
50. Key Bank IRA (CD)	A	Interest	J	T					
51. Bank of America Deposit Account	A	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Trust #1									
53. -Fidelity Growth Company	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Kayatta, William J.

Date of Report

1/23/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)***FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

Name of Person Reporting

Kayatta, William J.

Date of Report

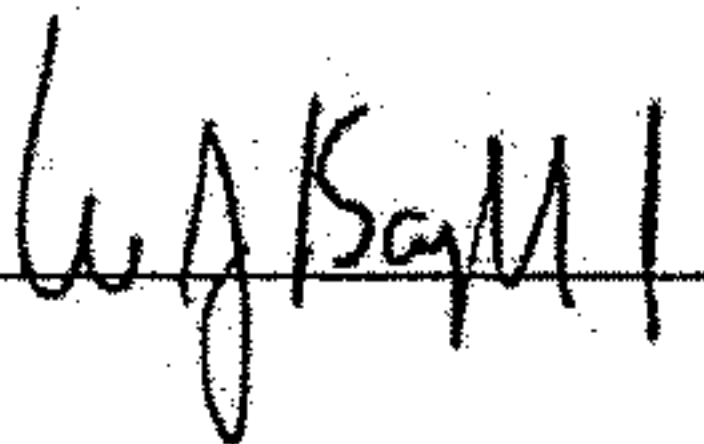
1/23/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		313	121	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities -- see schedule	2	817	002	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable -- personal residence		132	000
Real estate owned -- personal residence		950	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		225	000				
Cash value-life insurance							
Other assets itemize:							
Certificate of Deposit (IRA)		2	695				
Pierce Atwood Capital Contribution Notes		517	833				
				Total liabilities		132	000
				Net Worth	4	693	651
Total Assets	4	825	651	Total liabilities and net worth	4	825	651
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							