

AO 10
Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tharp, John J.	2. Court or Organization District Court, Northern District of Illinois	3. Date of Report 11/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 11/10/2011 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2010 to 10/31/2011
	5b. ☐ Amended Report	
7. Chambers or Office Address Mayer Brown LLP 71 South Wacker Drive Chicago, Illinois 60606	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

1. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	Mayer Brown LLP
2. Co-Trustee	Trust #1
3. Member	Law Fund Board, Northwestern University School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Mayer Brown LLP, Partnership Income	\$447,382.00
2. 2010	Mayer Brown LLP, Partnership Income	\$503,840.00
3. 2009	Mayer Brown LLP, Partnership Income	\$598,719.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for homeworn.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2011	Janus & Associates, salary
2. 2010	Janus & Associates, salary
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	United Pacific Life	Loan #1	K
2.	United Pacific Life	Loan #2	K
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS – (income, value, transactions) (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. American Funds EuroPacific Growth R3 Fund	B	Dividend			Exempt				
2. American Funds EuroPacific Growth R6 Fund		None	M	T					
3. AT&T (common stock)	D	Dividend	L	T					
4. Bright Start 529 Plan Program 1526 (no control)	A	Dividend							
5. Bright Start 529 Plan Portfolio 1527 (no control)	B	Dividend	K	T					
6. CenterPoint Energy (common stock)	B	Dividend	K	T					
7. Coca-Cola (common stock)	A	Dividend	K	T					
8. Cohen & Steers Institutional Realty Shares	D	Dividend	M	T					
9. Columbia Strategic Investor Fund-Z	A	Dividend	J	T					
10. DuPont (common stock)	C	Dividend	L	T					
11. DWS Equity 500 Index Fund	C	Dividend							
12. Fidelity Blue Chip Growth Fund	B	Dividend	K	T					
13. Fidelity Independence Fund		None	M	T					
14. Fidelity Magellan Fund	B	Dividend	M	T					
15. General Electric (common stock)	A	Dividend	J	T					
16. Harley-Davidson (common stock)	A	Dividend	J	T					
17. Hershey Foods (common stock)	C	Dividend	L	T					

1. Income Gain Codes:
(See Column B) and (2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
PS = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

2. Value Codes:
(See Column C) and (3)

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

3. Value Method Codes:
(See Column 4)

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P = \$1,000,001 - \$5,000,000
S = Assessment
W = Estimated

4. Value Codes:
(See Column 5)

D = \$5,001 - \$15,000
I1 = \$15,001 - \$50,000
I2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-J)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-J)	(5) Identity of buyer/seller (if private transaction)
18. Home Depot (common stock)	A	Dividend	J	T					
19. IBM (common stock)	D	Dividend	M	T					
20. Jennison Small Company Z Fund	A	Dividend							
21. JP Morgan Chase Bank Accounts	A	Interest	L	T					
22. Mayer Brown LLP Partnership Capital Account	D	Interest	N	U					
23. Mayer Brown LLP Partnership Retirement Plan (no control)	E	Interest	N	T					
24. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
25. Northwestern Mutual Insurance Policies (Whole Life)	E	Dividend	M	T					
26. Pfizer (common stock)	C	Dividend	L	T					
27. Prudential Jennison Small Company Fund		None	M	T					
28. Reliant Resources (common stock)		None	J	T					
29. United Pacific Life Ins. (Universal Life; no control)	E	Dividend	M	T					
30. U.S. Savings Bonds		None	K	T					
31. Vanguard Dividend Growth Fund	B	Dividend	K	T					
32. Vanguard Energy Fund	B	Dividend	K	T					
33. Vanguard 500 Index Fund	B	Dividend	M	T					
34. Vanguard Information Tech ETF		None	K	T					

1. Income Plan Codes (See Column B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$3,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$3,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	I = \$15,000 or less N = \$150,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P3 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS — *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE (No reportable income, assets, or transactions.)

[illegible]

1. Income Gain Codes: (See Columns B1 and D1)	A = \$1,000 or less F = \$10,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$3,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraised U = Blank Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-50 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --AT&T (common)									
53. --Bank of America (common)									
54. --Beam, Inc.(common)									
55. --Blackrock Global Allocation C									
56. --BP Amoco (ADR)									
57. --Canoco Phillips (common)									
58. --Dow Chemical (common)									
59. --Duke Energy (common)									
60. --DuPont (common)									
61. --Exelon (common)									
62. --Exxon (common)									
63. --Fortune Brands Home & Security (common)									
64. --Heinz (common)									
65. --Hershey (common)									
66. --Ivy Asset Strategy									
67. --JP Morgan Chase Cash Accounts									
68. --Lincoln National Group (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	I = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Tharp, John J.	11/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pgs. 34-50 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(Xs)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 4 (A-H)	Identity of buyer/seller (if private transaction)
69. --Merek (common)									
70. --Monxanto (common)									
71. --Pfizer (common)									
72. --Spectra Energy (common)									
73. --Walgreen's (common)									
74. Trust #3	D	Dividend	M	T					
75. --3-M (common)									
76. --Allstate (common)									
77. --Bank of America (common)									
78. --Dow Chemical (common)									
79. --Exelon (common)									
80. --Exxon (common)									
81. --IBM (common)									
82. --JP Morgan Chase (common)									
83. --JP Morgan Chase Cash Account									
84. --Lincoln National Corp. (common)									
85. --Pfizer (common)									

1. Income/Gain Codes: (See Columns H and O)	A = \$1,000 or less F = \$50,001 - \$100,000 I = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 J12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and C2)					
3. Value Method Codes: (See Column C3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
86. --Sherwin Williams (common)									
87. Trust #4	E	Dividend	N	T					
88. --J-M (common)									
89. --Exxon Mobil (common)									
90. --McDonald's (common)									
91. --JP Morgan Chase Cash Account									
92. Trust #5	D	Dividend	M	T					
93. --JP Morgan Chase Cash Account									
94. --25% of Family Partnership (Managed Investment Account)									
95. Trust #6	E	Distribution	M	W					
96. --Farm land									
97. --JP Morgan Chase Cash Account									
98. Trust #7	E	Dividend	O	T					
99. --Abbott Laboratories (common)									
100. --Ace Ltd (common)									
101. --Amazon.Com Inc. (common)									
102. --American Express Co. (common)									

1. Income Gain Codes:
(See Columns B) and D-1)

A = \$1,000 or less

F = \$30,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

2. Value Codes:
(See Columns C1 and C3)

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

3. Value Method Codes:
(See Column C2)

C = \$2,501 - \$5,000

H = \$1,000,001 - \$3,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P3 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. --Anadarko Petroleum Corp. (common)									
104. --Apple Inc. (common)									
105. --Artis International Equity II Fund									
106. --Artisan International Value Fund									
107. --Aston Optimum Mid Cap Fund I									
108. --AT&T Inc. (common)									
109. --Autozone Inc. (common)									
110. --Baidu Inc. (ADR)									
111. --Bank of America (common)									
112. --Biogen IDEC Inc. (common)									
113. --Broadcom Corp. (common)									
114. --Cameron International Corp. (common)									
115. --Campbell Soup Co. (common)									
116. --Capital One Financial Corp. (common)									
117. --Cardinal Health Inc. (common)									
118. --Carnival Corp. (common)									
119. --CRS Corp. (common)									

1. Income/Gain Codes: (See Columns B(1) and D(4))	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less K = \$250,001 - \$500,000 P1 = \$75,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 D = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Celgene Corp. (common)									
121. --Centerpoint Energy Inc. (common)									
122. --Cisco Systems Inc. (common)									
123. --Citigroup Inc. (common)									
124. --Citrix Systems, Inc. (common)									
125. --Cognizant Tech Solutions Corp. (common)									
126. --Colgate Palmolive Co. (common)									
127. --Comcast Corp. (common)									
128. --ConocoPhillips (common)									
129. --Covidien PLC (common)									
130. --CVS Caremark Corp. (common)									
131. --Darden Restaurants Inc. (common)									
132. --Delaware Emerging Markets Fund									
133. --Dendreon Corp. (common)									
134. --Devon Energy Corp. (common)									
135. --Dodge & Cox International Stock Fund									
136. --Dominion Resources Inc. (common)									

1. Income Gain Codes (See Columns H1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$210,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraised U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessed W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from price disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. --DuPont (common)									
138. --Eaton Vance Global Macro I Fund									
139. --Eaton Vance Flat Rate C I Fund									
140. --EMC Corp. (common)									
141. --Emerson Electric (common)									
142. --EOG Resources Inc. (common)									
143. --Exxon Mobil (common)									
144. --Freeport-McMoran Copper & Gold Inc. (common)									
145. --General Electric (common)									
146. --General Mills Inc. (common)									
147. --General Motors Co. (common)									
148. --Global Payments Inc. (common)									
149. --Goldman Sachs Group Inc. (common)									
150. --Highbridge Dynamic Comm Strg Fund-Sel									
151. --Home Depot (common)									
152. --Honeywell International Inc. (common)									
153. --Humana Inc. (common)									

1. Income Gain Codes (See Columns (B) and (D))	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns (C) and (D))	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column (C))	PJ = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Curr (Real Estate Only) V = Other	PT = More than \$50,000,000 S = Assessment W = Borrowed	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. --Intercontinental Exchange Inc. (common)									
155. --Invesco Ltd. (common)									
156. --Invesco Van Kampen American Franchise Fund Class A									
157. --iShares Russell 2000 Index Fund									
158. --iShares Russell MidCap Index Fund									
159. --iShares MSCI EAFE Index Fund									
160. --John Hancock II-Emerging Markets-I									
161. --Johnson & Johnson (common)									
162. --Johnson Controls Inc. (common)									
163. --JP Morgan Asia Equity Fund									
164. --JP Morgan High Yield Fund - Sel									
165. --JP Morgan Interim Tax Free Bond Fund - Sel									
166. --JP Morgan Market Expansion Index Fund									
167. --JP Morgan Mid Cap Growth Fund									
168. --JP Morgan Research Mkt Neutral Fund									
169. --JP Morgan Str Opp Fund									
170. --JP Morgan Tax Free Reserve Sweep Fund									

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less B = \$10,001 - \$100,000 C = \$100,001 - \$1,000,000 D = \$1,000,001 - \$5,000,000 E = \$5,000,001 - \$25,000,000 F = More than \$25,000,000	G = \$1,000 or less H = \$10,001 - \$100,000 I = \$100,001 - \$1,000,000 J = \$1,000,001 - \$5,000,000 K = \$5,000,001 - \$25,000,000 L = More than \$25,000,000	M = \$1,000 or less N = \$10,001 - \$100,000 O = \$100,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000 R = More than \$25,000,000	S = \$1,000 or less T = \$10,001 - \$100,000 U = \$100,001 - \$1,000,000 V = \$1,000,001 - \$5,000,000 W = \$5,000,001 - \$25,000,000 X = More than \$25,000,000	Y = \$1,000 or less Z = \$10,001 - \$100,000 AA = \$100,001 - \$1,000,000 AB = \$1,000,001 - \$5,000,000 AC = \$5,000,001 - \$25,000,000 AD = More than \$25,000,000	
2. Value Codes (See Columns C1 and D3)	T = \$10,000 or less U = \$10,001 - \$50,000 V = \$50,001 - \$100,000 W = \$100,001 - \$500,000 X = \$500,001 - \$1,000,000 Y = \$1,000,001 - \$5,000,000 Z = \$5,000,001 - \$25,000,000 AA = More than \$25,000,000	AB = \$10,000 or less AC = \$10,001 - \$50,000 AD = \$50,001 - \$100,000 AE = \$100,001 - \$500,000 AF = \$500,001 - \$1,000,000 AG = \$1,000,001 - \$5,000,000 AH = \$5,000,001 - \$25,000,000 AI = More than \$25,000,000	BA = \$10,000 or less BB = \$10,001 - \$50,000 BC = \$50,001 - \$100,000 BD = \$100,001 - \$500,000 BE = \$500,001 - \$1,000,000 BF = \$1,000,001 - \$5,000,000 BG = \$5,000,001 - \$25,000,000 BH = More than \$25,000,000	CA = \$10,000 or less CB = \$10,001 - \$50,000 CC = \$50,001 - \$100,000 CD = \$100,001 - \$500,000 CE = \$500,001 - \$1,000,000 CF = \$1,000,001 - \$5,000,000 CG = \$5,000,001 - \$25,000,000 CH = More than \$25,000,000	DA = \$10,000 or less DB = \$10,001 - \$50,000 DC = \$50,001 - \$100,000 DD = \$100,001 - \$500,000 DE = \$500,001 - \$1,000,000 DF = \$1,000,001 - \$5,000,000 DG = \$5,000,001 - \$25,000,000 DH = More than \$25,000,000	EA = \$10,000 or less EB = \$10,001 - \$50,000 EC = \$50,001 - \$100,000 ED = \$100,001 - \$500,000 EE = \$500,001 - \$1,000,000 EF = \$1,000,001 - \$5,000,000 EG = \$5,000,001 - \$25,000,000 EH = More than \$25,000,000
3. Value Method Codes (See Column C3)	Q = Appraisal R = Book Value	S = Cost (Real Estate Only) T = Other	U = Appraisal V = Book Value	W = Cost (Real Estate Only) X = Other	Y = Appraisal Z = Book Value	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rest, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
171. --JP Morgan Tr I Tax Aware Real Return Fund - Sel									
172. --JP Morgan U.S. Real Estate Fund									
173. --Juniper Networks Inc. (common)									
174. --Kellog Co. (common)									
175. --Kraft Foods Inc. Class A									
176. --Lam Research Corp. (common)									
177. --Lennar Corp. (common)									
178. --Lowe's Companies Inc. (common)									
179. --Manning & Napier World Opportunities Series Fund									
180. --Mastercard Inc. Class A									
181. --Merck (common)									
182. --MetLife Inc. (common)									
183. --Microsoft (common)									
184. --Micros Systems Inc. (common)									
185. --Monsanto (common)									
186. --Net App Inc. (common)									
187. --Nike Class B (common)									

1. Income/Gain Codes: (See Columns B3 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 K = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 I1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assignment W = Estimated	D = \$5,001 - \$15,000 I2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
188. --Norfolk Southern Corp. (common)									
189. --Novellus Systems Inc. (common)									
190. --Occidental Petroleum (common)									
191. --Oracle (common)									
192. --Paccar Inc. (common)									
193. --Pepsico (common)									
194. --Pfizer (common)									
195. --Pioneer Natural Resources Co. (common)									
196. --Procter & Gamble Co. (common)									
197. --Prudential Financial Inc. (common)									
198. --Public Service Enterprise Group (common)									
199. --Qualcomm Inc. (common)									
200. --Schlumberger Ltd. (common)									
201. --Sempra Energy (common)									
202. --Southwestern Energy Co. (common)									
203. --Spectra Energy Corp. (common)									
204. --Spider Gold Trust									

1. Income/Gain Codes: (See Columns B1 and B2)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and C3)	I - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-50 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (I-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. --St. Jude Medical Inc. (common)									
206. --Target Corp. (common)									
207. --TD Ameritrade Holding Corp. (common)									
208. --TE Connectivity Ltd. (common)									
209. --The Estee Lauder Cos. Inc. (common)									
210. --Time Warner Inc. (common)									
211. --T Rowe Price New Asia Fund									
212. --Tyco International Ltd. (common)									
213. --UnitedHealth Group Inc. (common)									
214. --United Technologies Corp. (common)									
215. --US Bancorp (common)									
216. --ValueLine Premier Growth Fund									
217. --Vanguard MSCI Emerging Markets ETF									
218. --Verizon Communications (common)									
219. --Wells Fargo (common)									
220. --Williams Companies Inc. (common)									
221. --XILINX Corp. (common)									

1. Income Codes (See Columns B1 and B2)	A = \$1,000 or less B = \$1,001 - \$1,500 C = \$1,501 - \$2,500 D = \$2,501 - \$5,000 E = \$5,001 - \$50,000 F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = More than \$5,000,000	2. Value Codes (See Column C1 and C2)	J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = More than \$5,000,000	3. Value Method Codes (See Column C2)	R = Appraisal S = Book Value T = Other (Real Estate Only) U = Other V = Assessed W = Estimated X = Cash Market
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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. --Yum Brands (common)									
223. --Condominium Unit (real estate)									
224. Trust #8	E	Dividend	O	T					
225. --Allstate (common)									
226. --American Electric Power (common)									
227. --Anadarko Petroleum (common)									
228. --AT&T (common)									
229. --Bank of America (common)									
230. --Beam, Inc. (common)									
231. --Blackrock Global Allocation C									
232. --BP Amoco (ADR)									
233. --Conoco Phillips (common)									
234. --Dow Chemical (common)									
235. --Duke Energy (common)									
236. --DuPont (common)									
237. --Eaton (common)									
238. --Exxon (common)									

1. Income Codes: (See Columns B1 and B2)	A = \$1,000 or less B = \$10,001 - \$100,000 C = \$100,001 - \$1,000,000 D = \$1,000,001 - \$5,000,000 E = \$5,000,001 - \$15,000,000 F = \$15,000,001 - \$50,000,000 G = More than \$50,000,000	H = \$1,001 - \$2,500 I = \$2,501 - \$5,000 J = \$5,001 - \$10,000 K = \$10,001 - \$25,000 L = \$25,001 - \$50,000 M = \$50,001 - \$100,000 N = \$100,001 - \$250,000 O = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000 Q = \$1,000,001 - \$5,000,000 R = \$5,000,001 - \$15,000,000 S = \$15,000,001 - \$50,000,000 T = More than \$50,000,000	U = \$1,001 - \$2,500 V = \$2,501 - \$5,000 W = \$5,001 - \$10,000 X = \$10,001 - \$25,000 Y = \$25,001 - \$50,000 Z = \$50,001 - \$100,000 AA = \$100,001 - \$250,000 AB = \$250,001 - \$500,000 AC = \$500,001 - \$1,000,000 AD = \$1,000,001 - \$5,000,000 AE = \$5,000,001 - \$15,000,000 AF = \$15,000,001 - \$50,000,000 AG = More than \$50,000,000	1. Value Codes: (See Columns C1 and C2)	1 = \$15,000 or less 2 = \$15,001 - \$50,000 3 = \$50,001 - \$100,000 4 = \$100,001 - \$250,000 5 = \$250,001 - \$500,000 6 = \$500,001 - \$1,000,000 7 = \$1,000,001 - \$5,000,000 8 = \$5,000,001 - \$15,000,000 9 = \$15,000,001 - \$50,000,000 10 = More than \$50,000,000	11 = \$15,001 - \$50,000 12 = \$50,001 - \$100,000 13 = \$100,001 - \$250,000 14 = \$250,001 - \$500,000 15 = \$500,001 - \$1,000,000 16 = \$1,000,001 - \$5,000,000 17 = \$5,000,001 - \$15,000,000 18 = \$15,000,001 - \$50,000,000 19 = More than \$50,000,000	2. Value Method Codes: (See Column C3)	Q = Appraisal R = Book Value S = Cost (Real Estate Only) T = Other U = Assessment V = Estimated W = Cash Market
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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. --Fortune Brands Home & Security (common)									
240. --Heinz (common)									
241. --Hershey (common)									
242. --Ivy Asset Strategy									
243. --JP Morgan Chase Cash Accounts									
244. --Lincoln National Group (common)									
245. --Merck (common)									
246. --Monsanto (common)									
247. --Pfizer (common)									
248. --Spectra Energy (common)									
249. --Walgreen's (common)									
250. Trust #9	D	Dividend	M	T					
251. --3-M (common)									
252. --Allstate (common)									
253. --Bank of America (common)									
254. --Dow Chemical (common)									
255. --Exelon (common)									

1. Income Gain Codes: (See Columns B1 and D1)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,499 G - \$100,001 - \$1,000,000	C - \$2,500 - \$5,000 H - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 I - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D1)	J - \$15,000 or less N - \$250,001 - \$500,000 P - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	M - \$100,001 - \$250,000 P2 - \$3,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 13-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rem., or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. --Exxon (common)									
257. --IBM (common)									
258. --JP Morgan Chase (common)									
259. --JP Morgan Chase Cash Account									
260. --Lincoln National Corp. (common)									
261. --Pfizer (common)									
262. --Sherwin Williams (common)									
263. Trust #10	E	Dividend	N	T					
264. --J-M (common)									
265. --Exxon Mobil (common)									
266. --McDonald's (common)									
267. --JP Morgan Chase Cash Account									
268. Trust #11	D	Dividend	M	T					
269. --JP Morgan Chase Cash Account									
270. --25% of Family Partnership (Managed Investment Acct)									
271. Trust #12	D	Dividend	N	T					
272. --DuPont (common)									

1. Income Class Codes (See Columns B1 and D1)	A = \$1,000 or less F = \$30,001 - \$100,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (1-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (1-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. --General Electric (common)									
274. --IBM (common)									
275. --Pfizer (common)									
276. Trust #13	E	Dividend	N	T					
277. --Allstate (common)									
278. --American Electric Power (common)									
279. --Anadarko Petroleum (common)									
280. --AT&T (common)									
281. --Bank of America (common)									
282. --Beam, Inc. (common)									
283. --Blackrock Global Allocation C									
284. --BP Amoco (ADR)									
285. --Conoco Phillips (common)									
286. --Dow Chemical (common)									
287. --Duke Energy (common)									
288. --DuPont (common)									
289. --Exelon (common)									

1. Income Gain Codes
(See Columns H and I)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P1 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cash (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. --Exxon (common)									
291. --Fortune Brands Home & Security (common)									
292. --Hershey (common)									
293. --Ivy Asset Strategy									
294. --JP Morgan Chase Cash Accounts									
295. --Lincoln National Group (common)									
296. --Merck (common)									
297. --Monsanto (common)									
298. --Pfizer (common)									
299. --Spectra Energy (common)									
300. Trust #14	D	Dividend	M	T					
301. --3-M (common)									
302. --Allstate (common)									
303. --Bank of America (common)									
304. --Dow Chemical (common)									
305. --Exelon (common)									
306. --Exxon (common)									

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P1 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P2 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

Page 22 of 27

Tharp, John J.

11/10/2011

☐ **NONE** (No reportable income, assets, or transactions.)

1. Income Gains Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$5,000,000
2. Value Codes (See Columns C1 and E5)	1 = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	R = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 P2 = \$25,000,001 - \$50,000,000	L = \$50,001 - \$100,000 P3 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P5 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraised U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "XT" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. --Anadarko Petroleum (common)									
325. --AT&T (common)									
326. --Bank of America (common)									
327. --Beam, Inc.									
328. --Blackrock Global Allocation C									
329. --BP Amoco (ADR)									
330. --Conoco Phillips (common)									
331. --Dow Chemical (common)									
332. --Duke Energy (common)									
333. --DuPont (common)									
334. --Exelon (common)									
335. --Exxon (common)									
336. --Fortune Brands Home & Security (common)									
337. --Heinz (common)									
338. --Hershey (common)									
339. --Ivy Asset Strategy									
340. --JP Morgan Chase Cash Accounts									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	I = \$5,001 - \$15,000 J = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraised U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assignment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
341. --Lincoln National Group (common)									
342. --Merck (common)									
343. --Monsanto (common)									
344. --Pfizer (common)									
345. --Spectra Energy (common)									
346. --Walgreen's (common)									
347. Trust #18	D	Dividend	M	T					
348. --3-M (common)									
349. --Aflac (common)									
350. --Bank of America (common)									
351. --Dow Chemical (common)									
352. --Exelon (common)									
353. --Exxon (common)									
354. --IBM (common)									
355. --JP Morgan Chase (common)									
356. --JP Morgan Chase Cash Account									
357. --Lincoln National Corp. (common)									

1. Income Gain Codes:
(See Columns B1 and D4)

A – \$1,000 or less
F – \$50,001 – \$100,000
J – \$15,001 or less
N – \$250,001 – \$500,000
P1 – \$25,000,001 – \$50,000,000
Q – Appraisal
U – Book Value

B – \$1,001 – \$2,500
G – \$100,001 – \$1,000,000
K – \$15,001 – \$50,000
O – \$500,001 – \$1,000,000
R – Cost (Real Estate Only)
V – Other

C – \$2,501 – \$5,000
H1 – \$1,000,001 – \$5,000,000
L – \$50,001 – \$100,000
P1 – \$1,000,001 – \$5,000,000
P2 – More than \$50,000,000
S – Agreement
W – Estimated

D – \$5,001 – \$15,000
I2 – More than \$5,000,000
M – \$100,001 – \$250,000
P2 – \$5,000,001 – \$25,000,000
T – Cash Market

E – \$15,001 – \$50,000

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. --Pfizer (common)									
359. --Sherwin Williams (common)									
360. Trust #19	E	Dividend	N	T					
361. --J-M (common)									
362. --Exxon Mobil (common)									
363. --McDonald's (common)									
364. --JP Morgan Chase Cash Account									
365. Trust #20	D	Dividend	M	T					
366. --JP Morgan Chase Cash Account									
367. --25% of Family Partnership (Managed Investment Acct)									

1. Income Gain Codes:
(See Columns B1 and B2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P2 = \$25,000,001 - \$50,000,000

Q = Appraisal

D = Block Value

2. Value Codes
(See Columns C1 and C2)

B = \$1,001 - \$2,100

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

3. Value Method Codes
(See Column C3)

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Appraisal

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 26 of 27

Name of Person Reporting

Tharp, John J.

Date of Report

11/10/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Additional Information Concerning Part VII.A., Description of Investments and Trusts:

I am the co-trustee of Trust #1. I have no beneficial interest in that trust and receive no fees.

I have no position or interest in any of the other trusts listed (#2 - 20). My spouse is a co-trustee of each of these trusts except for Trust #7. She is the sole beneficiary of Trusts #2-#5. She has a 25% beneficial interest in Trusts #6 and #7. She has no beneficial interest in Trusts #8-20.

Additional Information Concerning Part VII.C., Valuation of Investments and Trusts:

The valuation provided for Trusts #6 and #7 is based on my spouse's 25% interest, not the full value of trust assets.

Trusts #6 and #7 contain assets that required different valuation methods. For Trust #6, the farm land valuation method is "W"; the cash account valuation method is "T." For Trust #7, the condominium valuation method is "W"; the valuation method for all other assets listed is "T."

FINANCIAL DISCLOSURE REPORT

Page 27 of 27

Name of Person Reporting

Tharp, John J.

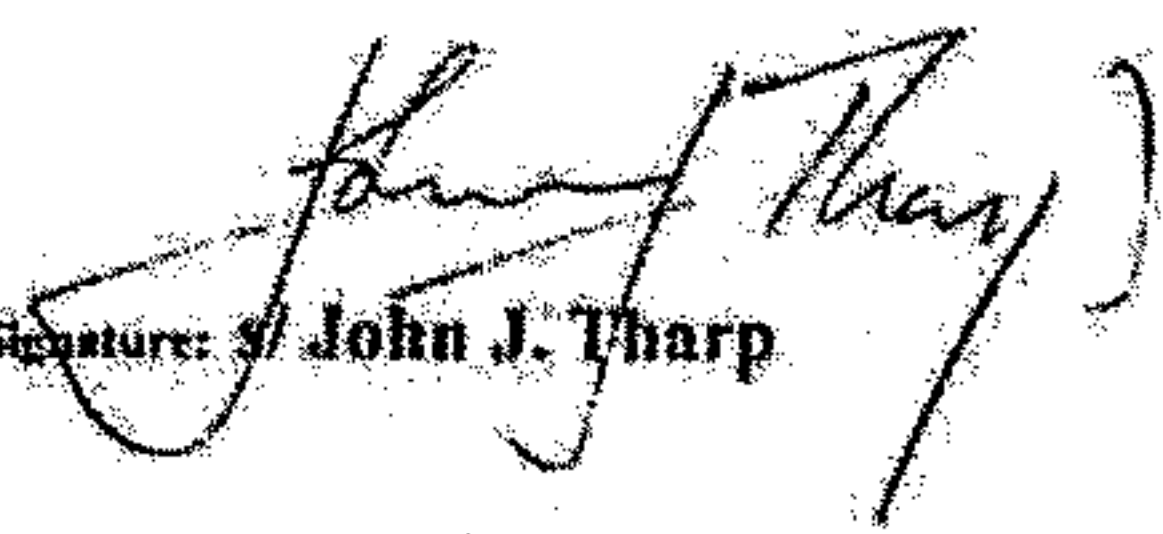
Date of Report

11/10/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.


Signature: / John J. Tharp

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		77	651	Notes payable to banks-secured			
U.S. Government securities-Series EE bonds		45	500	Notes payable to banks-unsecured			
Listed securities - see schedule	2	175	160	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		8	659
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable - personal residence		184	465
Real estate owned - personal residence	1	000	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		200	000	Loans on Life Insurance Policies		37	078
Cash value-life insurance		309	435				
Other assets itemize:							
Mayer Brown Capital Account		272	850				
Mayer Brown Partnership Retirement Plan		493	069				
				Total liabilities		230	202
				Net Worth	4	343	463
Total Assets	4	573	665	Total liabilities and net worth	4	573	665
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, co-maker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							