

AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bastian, Stanley A.	2. Court or Organization United States District Court	3. Date of Report 09/20/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☒ Nomination Date 09/19/2013 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 09/13/2013
7. Chambers or Office Address U. S. Courthouse 825 Jadwin Avenue Richland, Washington 99352		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Employee/Managing Partner	Jeffers, Danielson, Sonn & Aylward, P.S.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1986	Jeffers, Danielson, Sonn & Aylward, P.S. Compensation and Retirement Plan, termination buy out equivalent to value of nine months of current monthly salary
2. 2010	Jeffers, Danielson, Sonn & Aylward, P.S. 401(k) - includes provisions for contributions by both employee and employer.
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Jeffers, Danielson, Sonn & Aylward, P.S. - salary	\$155,833.39
2. 2012	Jeffers, Danielson, Sonn & Aylward, P.S. - salary	\$214,972.00
3. 2011	Jeffers, Danielson, Sonn & Aylward, P.S. - salary	\$173,822.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	State of Washington - salary
2. 2013	Chelan County - salary
3. 2012	Chelan County - salary
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

1.	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	AllianceBernstein 2020 Retirement Strategy Fund		None	J	T	Exempt				
2.	Amazon common stock- AMZN		None	J	T					
3.	Amgen Inc, common stock - AMGN	A	Dividend	K	T					
4.	Baron Partners Fund - BPTRX		None	J	T					
5.	Boeing common stock - BA	A	Dividend	J	T					
6.	Dodge & Cox Fund - DODFX	A	Dividend	J	T					
7.	Dreyfus S&P 500 Index Fund - PEOPX	E	Dividend	O	T					
8.	Fidelity Freedom 2025 Fund - FATWX	C	Dividend	L	T					
9.	Fidelity New Insights Fund - FNIAX	A	Dividend	M	T					
10.	Janus High Yield Fund - JAHYX	A	Dividend	J	T					
11.	Janus Overseas Fund - JAOSX	A	Dividend	J	T					
12.	Microsoft common stock - MSFT	A	Dividend	K	T					
13.	Oncogenex Pharm. stock - OGXI		None	J	T					
14.	Rydex Nasdaq 100 Strategy Fund - RYOCX	A	Dividend	J	T					
15.	Schwab Core Equity Fund - SWANX	A	Dividend	K	T					
16.	Schwab Dividend Equity Fund - SWDSX	A	Dividend	J	T					
17.	Schwab Large Cap Growth Fund - SWLSX	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Bastian, Stanley A.

Date of Report

09/20/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Schwab S&P 500 Index Fund - SWPPX	A	Dividend	J	T					
19. Schwab Small Cap Equity Fund - SWSCX	A	Dividend	J	T					
20. Schwab Total Stock Fund - SWTSX	A	Dividend	J	T					
21. Scout International Fund UMBWX	A	Dividend	J	T					
22. SSgA Internation Stock Selection Fund - SSAIX	A	Dividend	J	T					
23. T. Rowe Price Blue Chip Fund - TRBCX		None	J	T					
24. UPS Inc. common stock - UPS	A	Dividend	J	T					
25. Vanguard Emerging Market Equity Index Fund -	A	Dividend	M	T					
26. Vanguard Internation Growth Fund - VWIGX		None	J	T					
27. Vanguard Total Stock Fund - VTSMX	A	Dividend	K	T					
28. Vanguard U.S. Large Cap Equity Index Fund -	A	Dividend	L	T					
29. Vanguard U.S. Small Cap Value Equity Fund	A	Dividend	L	T					
30. Wasato Small Cap Growth Fund - WAAEX	A	Dividend	J	T					
31. Washington State Bond Fund		None	M	T					
32. WMI Holdings Stock - WMIH		None	J	T					
33. Bank of America accounts	A	Interest	M	T					
34. Chase Bank account	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
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35. Banner Bank accounts	A	Interest	L	T					
36. Cashmere Valley Bank accounts	A	Interest	J	T					
37. Charles Schwab accounts	B	Interest	K	T					
38. Utah Educational 529 Savings Plan- Age BasedAggressiveGlobal	A	Interest	L	T					
39. Washington 529 GET accounts	A	Interest	L	T					
40. Jeffers, Danielson, Sonn & Aylward P.S. stock		None	K	U					
41. Nakata Orchards, Inc.	E	Int./Div.	M	W					
42. JDSA Building, LLC	D	Dividend	M	W					
43.									
44.									
45.									
46.									
47.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Bastian, Stanley A.

Date of Report

09/20/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stanley A. Bastian

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		181	115	Notes payable to banks-secured (auto)		29	347
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	1	552	818	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		100	744
Real estate owned-add schedule		680	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		120	000				
Cash value-life insurance							
Other assets itemize:							
Jeffers, Danielson Law Firm stock		25	000				
Nakata Orchards, Inc. stock		200	000				
				Total liabilities		130	091
				Net Worth	2	628	842
Total Assets	2	758	933	Total liabilities and net worth	2	758	933
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	Yes		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							