

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arpert, Douglas E.	2. Court or Organization District Court-New Jersey	3. Date of Report 09/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 402 E. State Street Trenton, NJ 8608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2011	Norton, Sheehy, Higgins & Rosa, PC, continuation of payments due from and acquisition of interest in former firm
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Belmar, NJ Board of Education - compensation for services

2. 2012

Wayne Auto Sales, Inc. - shareholder income (closely held business)

3. 2012

Little Silver, NJ Board of Education - compensation for services

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.	USAA	Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank - Accounts	A	Interest	K	T					
2. Community Savings Bank - Accounts	A	Int./Div.	J	T					
3. John Hancock Freedom 529-Custodial #1 (no control)	A	Distribution			Closed	06/30/12	J		
4. John Hancock Freedom 529-Custodial #2 (no control)	A	Distribution	J	T					
5. Franklin Templeton Investments-Custodial #1(no control)									
6. -NJ Treasury-Managed Trust C	A	Distribution			Closed	06/30/12	J		
7. Franklin Templeton Investments-Custodial #2(no control)									
8. -NJ Treasury-Managed NJ Better Educational Savings	A	Distribution	J	T					
9. -NJ Treasury-Managed Trust B	A	Distribution	J	T					
10. Merck Stock	A	Dividend			Sold	03/15/12	J	A	
11. Pepsico, Inc Stock	A	Dividend			Sold	02/17/12	J	A	
12. Disney Stock	A	Dividend			Sold	05/12/12	J	A	
13. Yum Inc. Stock	A	Dividend			Sold	03/15/12	J	A	
14. Chevron Stock	A	Dividend			Sold	03/15/12	J	A	
15. Bristol Meyers Squibb Stock	A	Dividend			Sold	03/15/12	J	A	
16. Merrill Lynch IRA #1									
17. -Automatic Data Processing	A	Dividend	J	T	Buy	05/14/12	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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18. -Home Depot Inc	A	Dividend	J	T	Buy	05/14/12	J		
19. -MFS Global Total Return	A	Dividend	J	T	Buy	12/21/12	J		
20. -Powershares ETF DIVID	A	Dividend	J	T	Buy	05/14/12	J		
21. -Powershares Exch Trded FD	A	Dividend	J	T	Buy	05/14/12	J		
22. -Blackrock Global	A	Distribution			Sold	08/28/12	L	A	
23. -Loomis Sayles Strategic	B	Distribution	J	T					
24. -Pimco All Asset	E	Distribution	M	T					
25. -Ishares Barclay 7-10 Yr	A	Dividend			Sold	04/15/12	J	A	
26. -Spector SPDR Consumers STPL	B	Dividend	J	T					
27. -Delaware Limited Term	A	Distribution	J	T	Sold	05/15/12	J	A	
28. Merrill Lynch IRA #2									
29. -Blackrock Global	A	Dividend	J	T					
30. -Pimco All Asset All	A	Dividend	J	T	Buy	01/15/12	J		
31. Merrill Lynch Custodial	C				Closed	02/22/12	J		
32. -Alcoa Inc Stock	A	Dividend	J		Sold	02/22/12	J	A	
33. -GE Stock	A	Dividend			Sold	02/22/12	J	A	
34. Merrill Lynch CMA									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - FIA Card Svcs	A	Interest	J	T					
36.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas E. Arpert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544