

AO 10 Rev. 1/2013		FINANCIAL DISCLOSURE REPORT NOMINATION FILING		Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)	
1. Person Reporting (last name, first, middle initial) Chuang, Theodore D.		2. Court or Organization United States District Court, District of Maryland		3. Date of Report 09/25/2013	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge		5a. Report Type (check appropriate type) ☒ Nomination Date 09/25/2013 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report		6. Reporting Period 01/01/2012 to 08/31/2013	
7. Chambers or Office Address United States District Court, District of Maryland 6500 Cherrywood Lane Greenbelt, MD 20770					
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Governors	District of Columbia Bar
2.	Board of Directors	Asian Pacific American Legal Resource Center
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2012	Asian American Justice Center - salary
2. 2013	Asian American Justice Center - salary
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CitiMortgage	Mortgage on rental property, Brookline, MA	M
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2. (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property, Brookline, MA	E	Rent	N	W	Exempt				
2. Citibank Cash Accounts	A	Interest	M	T	Exempt				
3. Emigrant Direct Cash Account	A	Interest	K	T	Exempt				
4. Fidelity Dividend Growth Fund	A	Dividend	K	T	Exempt				
5. Lord Abbett Affiliated A Fund	C	Dividend	M	T	Exempt				
6. Brokerage Account #1 (H)									
7. - Dreyfus International Stock Index Fund	A	Dividend	K	T	Exempt				
8. - Dreyfus Mid Cap Index Fund	B	Dividend	K	T	Exempt				
9. - Janus Global Research Fund	A	Dividend	K	T	Exempt				
10. - Janus Growth & Income Fund	A	Dividend	K	T	Exempt				
11. - Loomis Sayles Bond Fund	B	Dividend	K	T	Exempt				
12. - Oakmark Fund	B	Dividend	L	T	Exempt				
13. - Schwab S&P 500 Fund	A	Dividend	K	T	Exempt				
14. - Schwab Small Cap Index Fund	B	Dividend	K	T	Exempt				
15. Brokerage Account #2 (H)									
16. - Schwab 1000 Fund	A	Dividend	L	T	Exempt				
17. - Schwab Core Equity Fund	A	Dividend	J	T	Exempt				

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
(See Column C2) Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
U = Book Value V = Other W = Estimated

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Brokerage Account #3 (H)									
19. - Cisco Systems stock	A	Dividend	J	T	Exempt				
20. - Invesco Diversified Dividend A	A	Dividend	K	T	Exempt				
21. - Microsoft Corporation stock	A	Dividend	J	T	Exempt				
22. - Power Shares QQQ TR	A	Dividend	J	T	Exempt				
23. 401(k) #1	D	Dividend	N	T	Exempt				
24. - Allianz GI International Small Cap Fund (Y)									
25. - Eaton Vance Large Cap Value Fund (Y)									
26. - Neuberger Berman Large Cap Fund (Y)									
27. - Artisan Mid Cap Fund									
28. - GRT Value Fund									
29. - Hancock Horizon Dividend International Fund									
30. - Ivy Small Cap Fund									
31. - MFS International New Discovery Fund									
32. - MFS Value Fund									
33. - Touchstone Sands Capital Select Growth Fund									
34. IRA #1	A	Dividend	K	T	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Chuang, Theodore D.

Date of Report

09/25/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Janus Growth & Income Fund									
36. - Oakmark Fund									
37. - Schwab 1000 Fund									
38. IRA #2	A	Int./Div.	K	T	Exempt				
39. - Charles Schwab Bank Cash Account									
40. - Columbia Energy & Natural Resources Fund									
41. - Oakmark Fund									
42. - Oakmark Global Select Fund									
43. - Schwab 1000 Fund									
44. - Schwab Small Cap Index Fund									
45. State Retirement Plan #1		None	J	T	Exempt				
46. - Mass. Deferred Comp. SMART Plan Large Co. Blend Stock Fund									
47. - Mass. Deferred Comp. SMART Plan Large Co. Stock Index Fund									
48. Fidelity Freedom 2030	B	Dividend	L	T	Exempt				
49. Fidelity Freedom 2035	A	Dividend	K	T	Exempt				
50. Vanguard Life Strategy Growth Fund	A	Dividend	K	T	Exempt				
51. Utah Educational Savings Plan Age Based Aggressive Global	C	Dividend	M	T	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
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N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
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H2 = More than \$5,000,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Maryland College Investment Plan Portfolio 2024		None	M	T	Exempt				
53. Northwestern Mutual Whole Life Insurance Policy	B	Dividend	K	T	Exempt				
54. U.S. Govt. Securities Series EE Bonds		None	L	T	Exempt				
55.									

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)
A=\$1,000 or less
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Q=Appraisal
R=Cost (Real Estate Only)
S=Assessment
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT Page 9 of 9	Name of Person Reporting	Date of Report
	Chuang, Theodore D.	09/25/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Theodore D. Chuang**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		226	633	Notes payable to banks-secured			
U.S. Government securities – see schedule		95	700	Notes payable to banks-unsecured			
Listed securities – see schedule	1	318	303	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		789	633
Real estate owned – see schedule	1	222	900	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		25	000				
Cash value-life insurance		26	624				
Other assets itemize:							
Thrift Savings Plan		428	095				
				Total liabilities		789	633
				Net Worth	2	553	622
Total Assets	3	343	255	Total liabilities and net worth	3	343	255
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							