

1. Person Reporting (last name, first, middle initial) Lefkow, Joan H.	2. Court or Organization U.S DISTRICT COURT, NDIL	3. Date of Report 08/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 219 South Dearborn Street Suite 1956 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)	
POSITION	NAME OF ORGANIZATION/ENTITY
1. Law Board (Advisory)	Northwestern University Law School
2. Executor	Estate
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE (No reportable agreements.)	
<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	US Employees Credit Union	Credit Card	J
2.	Sallie Mae	PLUS Loan	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Employees Credit Union account	A	Int./Div.	J	T					
2. BROKERAGE ACCOUNT IRA									
3. - EVSGX	A	Dividend	J	T					
4. - IEMBX		None	J	T					
5. - CRSAX		None	J	T					
6. -CEGBX		None	J	T					
7.									
8. - DVRBX	A	Dividend	J	T					
9. - OGMBX	A	Dividend	J	T					
10. - INPBX (due to a purchase of Columbia by Ameriprise, this									
11. ticker symbol is no longer used. See CEGBX above at 6									
12. - DBA		None	J	T					
13. Cash Money Market Ameriprise Financial*	A	Interest	J	T					
14. BROKERAGE ACCOUNT NON-IRA									
15. - FLFAX		None			Sold	05/27/11	J		
16. - Cash Money Market Ameriprise Finc[*]	A	Int./Div.	J	T					
17. - WASAX		None			Sold	05/27/11	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - WMFAX	A	Dividend			Sold	05/27/11	K	A	
19. - MMHYX (X)	A	Dividend			Sold	05/27/11	K		
20. - DHGAX (X)	A	Dividend			Sold	05/27/11	J		
21. BROKERAGE ACCOUNT									
22. - Cash Money Market Ameriprise Finc'l ***	A	Interest	J	T					
23. - TWTIX	A	Dividend	J	T					
24. NW MUTUAL ADJ. COMP. LIFE INS (X)	A	Dividend	K	T					
25. ESTATE									
26. - Unliquidated contingent fee****		None	L	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* Part VII, Line 12; Funds were on deposit in the following banks: Sun Trust (A); AMEX Bank (A); Amer. Exp. Centurion (B). The dividends from the various funds in the IRA account were deposited into this money market account.

** Part VII, Line 15; Funds were on deposit in the following banks: Sun Trust (B); AMEX Bank (A); Ameriprise Bank (A); Amer. Exp. Centurion Bank (B).

*** Part VII, Line 21; Funds were on deposit in the following banks: First Tennessee (A); AMEX Bank (A); M&I Marshall & Ilsley Bank (A); Amer. Exp. Centurion Bank (B).

**** Part VII, Line 25; The estate has a 1/3 contingent interest in an Illinois judgment, representing an attorney's fee, with a value between \$15,000 - \$50,000 (reduced from 2011 report based on estimate by estate's lawyer on 4/24/2012).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joan H. Lefkow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544