

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Flanagan, Louise W.	2. Court or Organization US District Court, NC	3. Date of Report 12/19/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address US Courthouse 413 Middle Street New Bern, NC 28560		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Self-employed as an attorney

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Deposits at First Citizens Bank	A	Interest	J	T					
2. Legg Mason American Leading Co	A	Dividend	J	T					
3. Legg Mason Value Trust	A	Dividend	K	T					
4. American Funds Europacific A		None			Sold	08/21/12	L	A	
5. Noucoux UN, LLC (Real estate in New Bern & Greenville NC)	D	Rent	M	U					
6. Columbia Acorn		None			Sold	08/21/12	M	D	
7. DWS Drehman SC		None			Sold	08/21/12	L	A	
8. MET GAC Series		None	O	T	Sold (part)	08/21/12	O	D	
9. Davis New York Venture - A		None			Sold	07/02/12	M	D	
10. Eaton Vance Large Cap Value - A	B	Dividend			Sold	07/02/12	M	D	
11. Citi Bank, NA (South Dakota)	A	Interest			Redeemed	05/15/12	J	A	
12. Perkins Mid Cap Value I		None			Sold	08/21/12	L	A	
13. PIMCO Total Return Admin	C	Dividend	O	T	Sold (part)	08/21/12	M	D	
14. Mainstay Large Cap Growth		None			Sold	08/21/12	M	D	
15. Scholars Choice 529 Plan, Balance 50/50 Portfolio NO CONTROL	A	Interest	K	T	Sold (part)	08/3/12	K	B	
16. DoubleLine Total Return Bond	A	Dividend	L	T	Buy	09/11/12	K		
17.					Buy (add'l)	11/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Double Line Total Return Bond	B	Dividend	M	T	Buy	09/13/12	M		
19.					Buy (add'l)	11/08/12	K		
20. JP Morgan Core Bond Fund Select Class	A	Dividend	M	T	Buy	09/12/12	M		
21.					Buy (add'l)	11/08/12	L		
22. Loomis Sayles Bond Fund Inst'l		None	K	T	Buy	12/18/12	K		
23. PIMCO Short-Term Fund Inst'l	A	Dividend	K	T	Buy	09/12/12	L		
24.					Sold (part)	11/08/12	J	A	
25. PIMCO Income Fund Inst'l Class	A	Dividend	M	T	Buy	09/13/12	L		
26.					Buy (add'l)	11/08/12	K		
27. iShares TR IBOXX Inv't Grade Corp Bd		None	L	T	Buy	09/11/12	L		
28. iShares TR Barclays 20+ Treasury Bond Fund		None	K	T	Buy	09/11/12	L		
29.					Sold (part)	11/08/12	K	A	
30. iShares TR Dow Jones US Real Estate Index Fund		None	L	T	Buy	09/11/12	K		
31.					Buy (add'l)	11/08/12	J		
32.					Buy (add'l)	12/20/12	K		
33. iShares TR S&P US PFD STK Index Fund		None	K	T	Buy	09/11/12	K		
34.					Sold (part)	11/15/12	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. SPDR SER TR Barclays Cap High Yield Bd ETF		None	K	T	Buy	09/11/12	K		
36.					Buy (add'l)	11/08/12	J		
37. Pershing Gov't Acct Money Market		None	K	T	Buy	08/21/12	K		
38. JP Morgan Chase & Co Alerian MLP Index		None			Buy	09/11/12	K		
39.					Sold	11/08/12	K	A	
40. NFJ Divid Int & Prem Strategy FD		None			Buy	09/11/12	K		
41.					Buy (add'l)	11/14/12	J		
42.					Sold	11/15/12	K	A	
43. TCW Emerging Inc Fd C1 I	A	Dividend			Buy	09/12/12	L		
44.					Sold	11/15/12	L	A	
45. IShares TR Dow Jones Select Divid Index Fd		None			Buy	09/11/12	K		
46.					Sold	11/08/12	K	A	
47. Blackrock Global Allocation Fd Inc	A	Dividend	L	T	Buy	09/11/12	K		
48.					Buy (add'l)	11/26/12	K		
49. First Eagle Global Fund Class I	B	Dividend	K	T	Buy	09/11/12	K		
50.					Buy (add'l)	11/26/12	J		
51. Franklin Income Fund Advisor Class	A	Dividend	K	T	Buy	09/11/12	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	11/26/12	J		
53. Loomis Sayles Strategic Income	A	Dividend	K	T	Buy	09/11/12	K		
54.					Buy (add'l)	11/26/12	J		
55. Oppenheimer Senior Floating Rate Fd Cl Y	A	Dividend	K	T	Buy	09/11/12	K		
56.					Buy (add'l)	11/26/12	J		
57. PIMCO All Asset All Auth Fd Inst'l Shrs	C	Dividend	L	T	Buy	09/11/12	K		
58.					Buy (add'l)	11/26/12	J		
59. PIMCO Total Return Fund Inst'l Class	B	Dividend	L	T	Buy	09/11/12	K		
60.					Buy (add'l)	11/26/12	J		
61. TCW Emerging Markets Inc Fd Cl I	A	Dividend	K	T	Buy	09/11/12	K		
62.					Buy (add'l)	11/26/12	J		
63. Templeton Global Bond Fd Advisor Cl	B	Dividend	K	T	Buy	09/11/12	K		
64.					Buy (add'l)	11/26/12	J		
65. Vanguard Inflation Protected Sec Fund	A	Dividend	K	T	Buy	09/11/12	K		
66.					Buy (add'l)	11/27/12	J		
67. Wells Fargo advantage Absolute	A	Dividend	L	T	Buy	09/11/12	K		
68.					Buy (add'l)	11/26/12	J		

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69. Pershing Gov't Acct Money Market	A	Interest	N	T	Buy	08/21/12	N		
70.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Louise W. Flanagan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544