

AO 10*
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dick, Rachelle D.	2. Court or Organization USDC, Middle District Louisiana	3. Date of Report 01/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 01/03/2013 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2012 to 12/31/2012
	5b. ☐ Amended Report	
7. Chambers or Office Address 4981 Bluebonnet Blvd Baton Rouge, La. 70809		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Managing Member	Law Offices of Shelly D. Dick, LLC
2.	Managing Member	Lamp Ladies, LLC d/b/a "Frockz"
3.	Managing Member	Grace George, LLC
4.	Managing Member	K & S Property Holdings, LLC
5.	Director	I Hope, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Dick, Rachelle D.	01/03/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Law Offices of Shelly D. Dick	\$269,656.25
2. 2012	Law Offices of Shelly D. Dick	\$247,500.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. exempt				
3.				
4.				
5.				

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Dick, Rachelle D.	01/03/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1. Standard Mortgage	Mortgage on Rental Property #1	M
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1 Baton Rouge, La	D	Rent	M	W	Exempt				
2. Alliance Bernstein Mod Growth 1993-1995 ALTA		None	J	T					
3. Barron Asset Fund (Y)									
4. ING Clarion Real Estate Portfolio (Y)									
5. Dodge & Cox Income Fund	A	Dividend	K	T					
6. Fidelity VIP Contrafund Port Srv. (Y)									
7. Fidelity VIP Mid Cap Port Srv. (Y)									
8. Health Care Spdr (XLV)	A	Dividend	J	T					
9. Hugoton Royalty Trust	A	Dividend	J	T					
10. ING Fixed Accopunt (Y)									
11. ING Global Resources (Y)									
12. ING International Small Cap Fund (Y)									
13. iShares Dow Jones Select Dividend Index Fund	A	Dividend	K	T					
14. Louisiana Principal Protection Fund	A	Int./Div.	J	T					
15. Mutual Global Discovery Fund (Y)									
16. SPDR Gold Trust		None	J	T					
17. T. Rowe Price Spectrum International Fund	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

Name of Person Reporting	Date of Report
Dick, Rachelle D.	01/03/2013

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☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. EQ/Common Stock Index Portfolio	A	Dividend	J	T					
19. EQ/Equity 500 Index Portfolio		None	K	T					
20. Barron Asset Fund (Y)									
21. Texas Rare Earth Resources Corp. Stock		None	J	T					
22. Great-West Lifetime 2025 II TI Fund (X)		None	P4	T					
23. Vanguard Total Market Index Fund (X)		None	J	T					
24. Columbia Portfolio Builder Moderate Aggresive Fund (X)		None	L	T					
25. Regions Bank Cash Accounts	A	Interest	K	T					
26. K & S Dick Property Holdings LLC Baton Rouge, La.	F	Rent	O	W					
27. Lamp Ladies LLC		None	J	U					
28. Grace George LLC		None	J	U					
29. Law Offices of Shelly D. Dick, LLC		None	N	U					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
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T = Cash Market

E = \$15,001 - \$50,000

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, lines 1 - 4, refers to LLC's in which I am a managing member. Under Louisiana law, the term for an owner of an LLC is "member". I have the ability to control assets in the LLC's listed in lines 1 -4 of Part I.

Part I, line 5, states that I was a "Director" of I Hope LLC. While I Hope is also corporately organized as an LLC under Louisiana law, IHope chose as a matter of its governace structure to form and elect a Board of Directors. I served on the IHope LLC Board, as a Director during a portion of the reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rachelle D. Dick**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		37	713	Notes payable to banks-secured (auto)		21	229
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities -- see schedule		668	315	Notes payable to relatives			
Unlisted securities -- see schedule		577	350	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		2	800
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable -- see schedule		569	672
Real estate owned -- see schedule	1	040	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		50	000				
Cash value-life insurance							
Other assets itemize:							
				Total liabilities		593	701
				Net Worth	1	779	677
Total Assets	2	373	378	Total liabilities and net worth	2	373	378
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							