AO 10*
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Phillips, Gregory A.	United State Court of Appeals 10th Circut	01/31/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US Circuit Judge	☑ Nomination Date 01/31/2013 ☐ Initial ☐ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2012 to 1/16/2013

7. Chambers or Office Address

123 Capitol Building, Cheyenne, WY 82002

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Attorney General	State of Wyoming
2.	Wyoming Peace Officers Standards & Training Commissioner	State of Wyoming
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2011	State of Wyoming - Salary	$126,851.00
2.	2012	State of Wyoming - Salary	$143,328.00
3.	2013	State of Wyoming - Salary	$11,944.00
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	Laramie County School District #1 - Salary
2.	2013	Laramie County School District #1 - Salary
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Exempt				
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Phillips, Gregory A.	01/31/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -Columbia Marsico Intl Opportunities MAIOX		None	J	T					
3. -Federated Kaufmann LG Cap KLCAX	A	Dividend	J	T					
4. -Goldman Sachs Small Mid Cap Growth GSMAX		None	J	T					
5. -JP Morgan US LG Cap JLCAX	A	Dividend	J	T					
6. -Lord Abbett Fundamental Equity LDFVX	A	Dividend	J	T					
7. -Lord Abbett Dev Growth LAGWX		None	J	T					
8. -Nuveen Tradewinds Intl Value NAIGX	A	Dividend	J	T					
9. -Oppenheimer Steelpath MLP Income MLPDX	A	Dividend	J	T					
10. -Virtus Real Estate Securites PHRAX	A	Dividend	J	T					
11. -JP Morgan Core Bond PGBOX	A	Dividend	J	T					
12. -Franklin/Templeton Global Bond TPINX	A	Dividend	J	T					
13. -Federated Prudent Bear BEARX		None	J	T					
14. -Rydex/SGI L/S Commodities RYLBX		None	J	T					
15. -Rydex/SGI Managed Futures RYMTX		None	J	T					
16. -Franklin Income FKINX	A	Dividend	J	T					
17. 403B #1									

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)

A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Phillips, Gregory A.	01/31/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -Columbia Marsico Intl Opportunities MAJOX		None	J	T					
19. -Federated Kaufmann LG Cap KLCAX	A	Dividend	J	T					
20. -Goldman Sachs Small Mid Cap Growth GSMAX		None	J	T					
21. -JP Morgan US LG Cap JLCAX	A	Dividend	J	T					
22. -Lord Abbett Fundamental Equity LDFVX	A	Dividend	J	T					
23. -Lord Abbett Dev Growth LAGWX		None	J	T					
24. -Nuveen Tradewinds Intl Value NAIGX	A	Dividend	J	T					
25. -Oppenheimer Steelpath MLP Income MLPDX	A	Dividend	J	T					
26. -Virtus Real Estate Securities PHRAX	A	Dividend	J	T					
27. -JP Morgan Core Bond PGBOX	A	Dividend	J	T					
28. -Franklin/Templeton Global Bond TPINX	A	Dividend	J	T					
29. -Federated Prudent Bear BEARX		None	J	T					
30. -Rydex/SGI L/S Commodities RYLBX		None	J	T					
31. -Rydex/SGI Managed Futures RYMTX		None	J	T					
32. -Franklin Income FKINX	A	Dividend	J	T					
33. Brokerage Account #1									
34. -Blackrock Global Allocation MDLOX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
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3. Value Method Codes (See Column C2)

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Phillips, Gregory A.	01/31/2013

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35. -First Eagle Global SGENX	A	Dividend	J	T					
36. -Ivy Asset Strategy WASAX	A	Dividend	J	T					
37. Franklin 529 Stable Value Fund		None	M	T					
38. Wyoming Retirement 457									
39. -Life Path Index 2030		None	K	T					
40. Bank of the West Cash Accouts	A	Interest	M	T					
41. Mineral Royalty, Uinta County, Wyoming		None	J	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Phillips, Gregory A.	01/31/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory A. Phillips

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		160	002	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		365	042	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable			
Real estate owned – see schedule		443	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		38	000				
Cash value-life insurance							
Other assets itemize:							
Thrift Savings Plan		211	551				
Wyoming Retirement System		149	423				
				Total liabilities			0
				Net Worth	1	352	144
Total Assets	1	352	144	Total liabilities and net worth	1	352	144
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							