

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) McHugh Jr., Gerald A.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 08/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge, Nominee	5a. Report Type (check appropriate type) ☒ Nomination Date 08/01/2013 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2012 to 07/16/2013
	5b. ☐ Amended Report	
7. Chambers or Office Address 1845 Walnut Street - Suite 2000 Philadelphia, PA 19103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Board Member, President Emeritus	Pennsylvania Legal Aid Network
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Name of Person Reporting

McHugh Jr., Gerald A.

Date of Report

08/01/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Salary and Bonus - Raynes McCarty	\$404,601.00
2. 2011	Author Fees - Thompson West Publishing	\$4,500.00
3. 2012	Salary and Bonus - Raynes McCarty	\$179,318.00
4. 2012	Author Fees - Thompson West Publishing	\$4500.00
5. 2013	Salary - Raynes McCarty	\$44,248.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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McHugh Jr., Gerald A.	08/01/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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McHugh Jr., Gerald A.	08/01/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dodge & Cox Stock Fund	C	Dividend			Exempt				
2. Dodge & Cox International Stock Fund	B	Dividend			Exempt				
3. Vanguard Capital Opportunity Fund	D	Dividend	O	U	Exempt				
4. Vanguard GNMA Fund	E	Dividend	O	U	Exempt				
5. Vanguard Health Care Fund	E	Dividend	O	U	Exempt				
6. Vanguard High Yield Tax Exempt Fund	B	Dividend	N	U	Exempt				
7. Vanguard Intermediate Term Tax-Exempt Fund	E	Dividend	O	U	Exempt				
8. Vanguard International Explorer Fund	D	Dividend			Exempt				
9. Vanguard Prime Money Market Fund	D	Dividend	N	U	Exempt				
10. Vanguard Wellington Fund	E	Dividend	P1	U	Exempt				
11. PNC Bank cash accounts	A	Interest	J	U	Exempt				
12. T Rowe Price Target Retirement 2020 401k Fund	D	Dividend	N	U	Exempt				
13. T. Rowe Price Small Cap Value 401k Fund	A	Dividend	J	U	Exempt				
14. T. Rowe Price Growth Stock 401k Fund	A	Dividend	K	U	Exempt				
15. T. Rowe Price Equity Income 401k Fund	A	Dividend	K	U	Exempt				
16. Vanguard Select Value Fund	B	Dividend	N	U	Exempt				
17. Vanguard Emerging Markets Stock Index Fund	A	Dividend	M	U	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Vanguard Equity Income Fund	C	Dividend	N	U	Exempt				
19. Vanguard Global Equity Fund	B	Dividend			Exempt				
20. Vanguard Primecap Core Fund	D	Dividend	N	U	Exempt				
21. Vanguard STAR Fund	C	Dividend	M	U	Exempt				
22. T. Rowe Price Spectrum International Fund	A	Dividend	J	U	Exempt				
23. Vanguard Dividend Growth Fund	E	Dividend	M	U	Exempt				
24. Vanguard Small Cap Growth Index	A	Dividend	M	U	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gerald A. McHugh Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		12	448	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	6	543	354	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable			
Real estate owned – see schedule	2	425	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		137	643				
Cash value-life insurance							
Other assets itemize:							
				Total liabilities			0
				Net Worth	9	118	445
Total Assets	9	118	445	Total liabilities and net worth	9	118	445
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							