

AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Claire R.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 01/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade Judge - Nominee	5a. Report Type (check appropriate type) ☒ Nomination Date 01/03/2013 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2012 to 12/14/2012
	5b. ☐ Amended Report	
7. Chambers or Office Address Brooklyn Law School 250 Joralemon Street Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Vice President - Cooperative Board	10 Holder Apartments Corporation
2. Board Member	Customs and International Trade Bar Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Kelly, Claire R.	01/03/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Brooklyn Law School-salary	\$170,101.12
2. 2011	Kaplan, Inc. - teaching	\$12,460.00
3. 2012	Brooklyn Law School-salary	\$173,066.51
4. 2012	Kaplan, Inc. - teaching	\$5,250.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2012	Hewlett-Woodmere Union Free School District - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. EXEMPT				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Citibank - Cash Accounts	A	Interest	L	T					
2. Nassau Educators Federal Credit Union - Cash Account	A	Interest	J	T					
3. BROKERAGE ACCOUNT #1									
4. - Allianz NFJ Small Cap Value Fund	A	Dividend	J	T					
5. - American Century Heritage Fund		None	J	T					
6. - Davis New York Venture Fund		None	K	T					
7. - American Funds Europacific Growth Fund		None	K	T					
8. - Gabelli Value Fund		None	J	T					
9. - American Funds Growth Fund of America		None	K	T					
10. - Oppenheimer Limited Term New York Muni Fund	A	Dividend	J	T					
11. - American Funds Washington Mutual Investors	A	Dividend	K	T					
12. - Raymond James Cash Account	A	Interest	J	T					
13. IRA / #1									
14. - Furnanite Corp. Stock (common)		None	J	T					
15. - Revlon Inc. Stock (common)		None	K	T					
16. - American Funds American High Income Trust	A	Dividend	J	T					
17. - American Funds Bond Fund of America	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

Name of Person Reporting	Date of Report
Kelly, Claire R.	01/03/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - American Funds Europacific Growth Fund		None	K	T					
19. - Gabelli Value Fund		None	J	T					
20. - American Funds - Growth Fund of America		None	K	T					
21. - American Funds New Perspective Fund		None	J	T					
22. - RS Small Cap Growth Fund		None	J	T					
23. - American Funds Washington Mutual Investors	A	Dividend	K	T					
24. COLLEGE FUND / #1									
25. - JP Morgan NY 529 Moderate Growth Age-Based		None	K	T					
26. IRA / #2									
27. - Fidelity Contrafund	A	Dividend	L	T					
28. - Fidelity Export & Multinational Fund	A	Dividend	J	T					
29. - Fidelity Low Priced Stock Fund	C	Dividend	L	T					
30. - Fidelity Diversified International Fund	A	Dividend	K	T					
31. - Fidelity Pacific Basin Fund	B	Dividend	K	T					
32. BROKERAGE ACCOUNT #2									
33. - TD Ameritrade - Cash Account	A	Interest	J	T					
34. BROKERAGE ACCOUNT #3									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A =\$1,000 or less
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P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

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H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - TD Ameritrade - Cash Account	A	Interest	J	T					
36. - Citigroup Inc Stock (common)	A	Dividend	J	T					
37. - General Electric Stock (common)	A	Dividend	K	T					
38. CUSTODIAL ACCOUNT / #1									
39. - General Electric Stock (common)	A	Dividend	J	T					
40. IRA / #3									
41. - CREF Stock		None	L	T					
42. - CREF Growth		None	L	T					
43. - CREF Equity Index		None	L	T					
44. - CREF Global Equities		None	M	T					
45. - TIAA Real Estate		None	K	T					
46. - CREF Bond Market		None	K	T					
47. Met Life - whole life / life insurance	A	Dividend	J	T					
48. Note - W.J. Vollack - loan		None	J	T					
49. Series EE US Savings Bonds		None	J	T					
50.									
51.									

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(See Columns B1 and D4)

2. Value Codes
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FINANCIAL DISCLOSURE REPORT Page 7 of 8	Name of Person Reporting	Date of Report
	Kelly, Claire R.	01/03/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Jndicial Conference regulations.

Signature: **s/ Claire R. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		82	513	Notes payable to banks-secured			
U.S. Government securities – Series EE		2	633	Notes payable to banks-unsecured			
Listed securities -- see schedule	1	021	837	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends		5	000	Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful		10	000	Real estate mortgages payable – see schedule		628	140
Real estate owned-add schedule	1	035	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		38	000				
Cash value-life insurance		6	550				
Other assets itemize:							
				Total liabilities		628	140
				Net Worth	1	573	393
Total Assets	2	201	553	Total liabilities and net worth	2	201	533
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							