

AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Connell, Beverly R.	2. Court or Organization United States District Court, Central District of California	3. Date of Report 01/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge-- nominee	5a. Report Type (check appropriate type) ☒ Nomination Date 01/03/2013 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/1/2012 to 12/06/2012
	5b. ☐ Amended Report	
7. Chambers or Office Address Los Angeles Superior Court 900 Third Street San Fernando, California 91340		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2012	Loyola Law School, agreement to serve as Adjunct Professor in 2013
2. 2012	Pepperdine School of Law, agreement to serve as Adjunct Professor 2012
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Loyola Law School -- teaching	\$6,600.00
2. 2012	Pepperdine School of Law -- teaching	\$3,286.00
3. 2012	Los Angeles Superior Court	\$190,000.00
4. 2011	Loyola Law School -- teaching	\$6,600.00
5. 2011	Pepperdine School of Law -- teaching	\$3,286.00
6. 2011	Los Angeles Superior Court	\$190,000.00
7. 2011	State of California -- Court of Appeal Pro Tem	\$2,151.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Los Angeles County District Attorney's Office - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. Exempt				
3.				
4.				

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5.

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Apple stock	A	Dividend	L	U					
2. Am. Intel group stock	A	Dividend	J	U					
3. Atlas Pipeline Partners stock	A	Dividend	K	U					
4. BGC Partners stock	A	Dividend	K	U					
5. Bank of America stock	A	Dividend	K	U					
6. Calamos Strategic Total Return Fund	A	Dividend	J	U					
7. CSX Corp. stock	A	Dividend	J	U					
8. Chesapeake Graite Water stock	C	Dividend	L	U					
9. Citigroup Inc. stock	A	Dividend	J	U					
10. Clean Energy Fuels stock		None	K	U					
11. CrossTex Energy stock	B	Dividend	K	U					
12. Discovery Laboratories stock		None	J	U					
13. EMC Corp. stock		None	J	U					
14. East West Bancorp. stock	A	Dividend	J	U					
15. Enerplus Corp. stock	A	Dividend	J	U					
16. Ford Motor corp. stock	A	Dividend	K	U					
17. Frontier Communications stock	A	Dividend	J	U					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. General Electric Corp. stock	A	Dividend	K	U					
19. Genuine Parts Co. stock	A	Dividend	J	U					
20. Healthcare Tr. America stock		None	K	U					
21. Heckman Corp. stock		None	K	U					
22. Huntsman Corp. stock	A	Dividend	K	U					
23. Intel corp. stock	A	Dividend	K	U					
24. Janus Capital Group stock	A	Dividend	J	U					
25. Kronos Worldwide stock	A	Dividend							
26. Leapfrog Ent. stock		None	K	U					
27. Lexington Realty Trust stock	A	Dividend	J	U					
28. Masco Corp. stock	A	Dividend	K	U					
29. Maxwell Tech. Inc. stock		None	J	U					
30. Merek & Co. stock	A	Dividend	K	U					
31. Mercury Gen. Ins. Co. stock	A	Dividend	J	U					
32. Microsoft Corp. stock	A	Dividend	K	U					
33. Mylan, Inc. stock		None	J	U					
34. National Tech. stock		None	K	U					

1. Income Gain Codes:
(See Columns B1 and D4)

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(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
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P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

Name of Person Reporting	Date of Report
O'Connell, Beverly R.	01/03/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. NGP Capital Resources stock	A	Dividend	J	U					
36. Nokia Corp. stock	A	Dividend	J	U					
37. NVIDIA Corp. stock		None	J	U					
38. OCZ Technology stock		None	J	U					
39. Qualcomm Inc. stock	A	Dividend	K	U					
40. Quicksilver Corp. stock		None	J	U					
41. RiteAid Corp. stock		None	J	U					
42. Sandisk Corp. stock		None	J	U					
43. Seadrill Ltd. stock	A	Dividend	K	U					
44. Senior Housing Properties stock	A	Dividend	J	U					
45. Staples Corp. stock	A	Dividend	J	U					
46. Starbucks Corp. stock	A	Dividend	L	U					
47. Synovus Financial Corp. stock	A	Dividend	J	U					
48. TCP Capital Group stock	A	Dividend	K	U					
49. Uranium Energy Corp. stock		None	K	U					
50. Vanguard PRIMECAP fund	A	Dividend	K	U					
51. Vanguard Windsor II Fund	A	Dividend	J	U					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

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(See Column C2)
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H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52. Windstream Corp. stock	A	Dividend	J	U					
53. Claremont Univ. Graduate bond	B	Interest	K	U					
54. Huntington Mem. Hosp. municipal bond	B	Interest	K	U					
55. Huntington Mem. Hosp. municipal bond	B	Interest	K	U					
56. Cajon Valley Elem. School municipal bond	A	Interest	J	U					
57. California Public Works municipal bond	A	Interest	K	U					
58. Monrovia Library Proj municipal bond	A	Interest	K	U					
59. Orange CountySeries A municipal bond	A	Interest	K	U					
60. Oxnard Gas municipal bond	A	Interest	K	U					
61. Ventura County Unified School dist. municipal bond	A	Interest	K	U					
62. Woodside Elementary School municipal bond	A	Interest	K	U					
63. Chase Bank cash accounts	A	Interest	K	T					
64. Alcoa stock		None							
65. American Eagle Outfitters stock	A	Dividend							
66. Avon Products stock	A	Dividend							
67. CVR Partners stock	B	Dividend							
68. Flaherty & CRM RIMNE (PFO) stock	A	Dividend							

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69. GAMCO stock	A	Dividend							
70. GENTEX stock	A	Dividend							
71. Genworth Financial stock		None							
72. KKR Financial Holdings stock	A	Distribution							
73. Kayne Anderson Energy	A	Dividend							
74. Eli Lilly stock	A	Dividend							
75. OPKO stock		None							
76. Oracle stock	A	Dividend							
77. Penn VA resource Partners	A	Dividend							
78. Provident energy stock	A	Dividend							
79. Rentech Nitrogen stock	A	Dividend							
80. Sprint Nextel stock		None							
81. Sandridge Miss. TR I	A	Distribution							
82. Teekay Tankers Inc. stock	A	Dividend							
83. Washington Mutual stock		None							
84. CA St. EDL municipal bond		None							
85. CA st. Series A municipal bond		None							

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86. San Diego CA municipal bond		None							
87. Bristol Myers Squibb stock		None							
88. Newell Rubbermaid stock		None							
89. Easton Vance Limited stock		None							
90. Hugoton Royalty TR Tx (HGT) stock	A	Dividend							
91. Napco Security stock		None							
92. PWRSH Financial (PGF) stock		None							
93. Wendy's stock	A	Dividend							
94. CA Dept. of Vet Affairs municipal bond	A	Interest							
95. San Diego CA Public Fac municipal bond	B	Interest							
96. Eaton Vance Limited Duration Income fund	A	Dividend							
97. Powershares Exchange Traded Fund stock	A	Dividend							
98. Donnelly R R & Sons	A	Dividend							

1. Income Gain Codes:
(See Columns B1 and D4)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Beverly R. O'Connell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		33	788	Notes payable to banks-secured (auto)		34	856
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities -- see schedule		962	193	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable -- see schedule		445	372
Real estate owned -- see schedule		655	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		50	300				
Cash value-life insurance							
Other assets itemize:							
Municipal bonds		254	372				
County of LA Deferred Compensation Plan		186	834				
City of LA Pension Saving Plan		426	155	Total liabilities		480	228
				Net Worth	2	088	414
Total Assets	2	568	642	Total liabilities and net worth	2	568	642
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							