

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Frank, Eric L.	2. Court or Organization ED Pa - Bankruptcy Court	3. Date of Report 04/19/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 900 Market Street - Room 201 Philadelphia, PA 19107		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Author	Collier on Bankruptcy (bankruptcy treatise) (published by Matthew Bender & Co./Lexis Nexis)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Compensation as author from Matthew Bender Co./Lexis-Nexis	\$2,330.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	"of counsel" attorney for law firm, Greenblatt, Pierce, Funt & Flores, LLC
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AXA Financial, Inc	A	Dividend	J	T					
2.									
3. Beneficial Bank - Acc't #1 (904) (X)	A	Interest	L	T					
4.									
5. COMMONWEALTH ANNUITY									
6. ----- GA BlackRock Disciplined Core	A	Int./Div.	J	T	Buy	11/17/17	J		
7. ----- GA BlackRock Disciplined Value	A	Int./Div.	J	T	Buy	11/17/17	K		
8. ----- GS VIT Strategic Growth	A	Int./Div.	J	T	Open	11/17/17	J		
9. ----- Janus Henderson Research Portfolio	A	Int./Div.	J	T	Open	11/17/17	J		
10. ----- Alliance Bernstein Growth and Income	A	Int./Div.			Sold	11/17/17	J	A	
11. ----- Alliance Bernstein Large Cap Growth	A	Int./Div.			Sold	11/17/17	J	A	
12. ----- Fidelity VIP Equity Income	A	Dividend			Sold	11/17/17	J	A	
13. ----- GS VIT Capital Growth	A	Dividend			Sold	11/17/17	J	A	
14. ----- GS VIT Core Fixed Income	A	Int./Div.	K	T					
15. ----- GS VIT Equity Index	A	Int./Div.	J	T					
16. ----- GS VIT International Equity	A	Int./Div.	J	T					
17. ----- GS VIT Money Market	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ----- Janus Aspen Large Cap Growth	A	Int./Div.			Closed	11/17/17	J	A	
19. ----- T. Row Price International - common stock	A	Dividend	J	T					
20.									
21. Equity Income Fund Units First S&P 500 Index Ser Tech	A	Dividend	J	T					
22.									
23. Fairview, PA Area - municipal bond	A	Interest	J	T					
24.									
25. Fidelity 403(b) Account									
26. ----- Fidelity Equity Income Fund - mutual fund	E	Dividend	M	T					
27. ----- Fidelity Cash Reserves - moneymarket	A	Interest	K	T					
28.									
29. Morgan Stanley									
30. -----First Eagle Sog Glob-mut. fund	A	Dividend	J	T					
31. ----- Franklin Funds FDS Alloc C	A	Dividend	K	T					
32. ----- Pa St Tpke Commn bond	A	Interest	J	T					
33. ----- Morgan Stanley Bank cash account	A	Int./Div.	J	T					
34. ----- AT&T, Inc.	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ----- Verizon Communications	A	Dividend	K	T					
36. ----- SPDR Trust Series I	A	Dividend	K	T					
37.									
38. Zurich Adv. III - 403(b) TSA (fixed)	B	Int./Div.	K	T					
39.									
40. Learning Quest 529 - Very Conservative - ACCT #1	A	Dividend	L	T					
41. Learning Quest 529 - Short-Term - ACCT #2	A	Dividend	K	T					
42.									
43. OPPENHEIMER ACCT #1 (238)									
44. ----- Advantage Municipal Liq Fd - money market	A	Dividend			Closed	02/14/17	L		
45. ----- Advantage Bank deposits (various FDIC insured banks)	A	Interest	L	T	Open	02/14/17	J		
46. ----- American International Group - common stock	A	Dividend	J	T					
47. ----- AT&T, Inc - common stock	A	Dividend	J	T					
48. -----Boeing Co. - common stock	C	Dividend	N	T					
49. ----- Cellegy Genetics	A	Int./Div.	J	T					
50. ----- Chemours, Inc.	A	Int./Div.	J	T					
51. ----- Comcast Corp. - common stock	A	Dividend	K	T					

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52. ----- ConocoPhillips - common stock	A	Dividend	K	T					
53. ----- Corning - common stock	A	Dividend	K	T					
54. ----- DuPont El de Nemours - common stock	A	Dividend	K	T					
55. ----- Duke Energy Corp. - common stock	A	Dividend	J	T					
56. ----- Express Scripts Hldg Co. - common stock	A	Dividend	J	T					
57. ----- Merck & Co. - common stock	A	Dividend	J	T					
58. ----- Microsoft - common stock	A	Dividend	K	T					
59. ----- Monsanto Co. - common stock	A	Dividend	J	T					
60. ----- Nextera Energy - common stock	A	Dividend	K	T					
61. ----- Pfizer, Inc. - common stock	A	Dividend	J	T					
62. ----- Phillips 66 - common stock	A	Dividend	K	T					
63. ----- Public Storage - common stock	A	Dividend	K	T					
64. ----- Black Rock Income Tr - mutual fund	A	Dividend	J	T					
65. ----- Black Rock Pa Strat Mun Tr - mutual fund	A	Dividend	J	T					
66. ----- Invesco Pa Val Munc Inc Tr - mutual fund	A	Dividend	J	T					
67. Nuveen Pa Prem Inc Mun Fd - mutual fund	A	Dividend	K	T					
68. ----- Van Eck Fds Intl Inv Gold Fd	A	Dividend	J	T					

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69.									
70. OPPENHEIMER ACCT #2 (825)									
71. ----- Advantage Primary Liquid Fund (money market)	A	Int./Div.	K	T					
72. ----- Eaton Vance Ltd - common stock	A	Dividend	J	T					
73. ----- Master Asset Tr - bond	A	Interest	J	T					
74.									
75. OPPENHEIMER ACCT # 3 (717)									
76. ----- Advantage Primary Liquid Fund (money market)	A	Int./Div.	K	T					
77. ----- Self Storage Group Inc. (X)	A	Dividend	J	T					
78.									
79. OPPENHEIMER ACCT # 4 (082)									
80. ----- Advantage Primary Liquid Fund (money market)	A	Int./Div.	L	T					
81. ----- Global Self Storage Group Inc. (X)	A	Int./Div.	J	T					
82.									
83. OPPENHEIMER ACCT #5 (494)									
84. ----- Advantage Bank Deposit	C	Interest	L	T					
85. ----- Blackrock Res & Comm Strat Tr - mut fund	A	Dividend	K	T					

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86. ----- CBRE Clarion Global R.E. - mutual fund	A	Dividend	J	T					
87. ----- Cohen & Steers Infrastructure - mutual fund	A	Dividend	K	T					
88. ----- Eaton Vance Senior Income Trust Fund - mutual fund	A	Dividend	J	T					
89. ----- Invesco Sr Income Tr -mutual fund	A	Dividend	L	T					
90. ----- Putnam Master Income Tr	A	Dividend			Sold	06/16/17	K	B	
91. ----- First Tr Sr Floating Rate	A	Dividend	K	T	Buy	08/30/17	J		
92. ----- Eaton Vance Ltd Dur, Inc. - common stock	B	Dividend	J	T					
93. ----- Western Aset Clym Infl Opp In - mutual fund	A	Dividend	K	T					
94. ----- General Electric - common stock	A	Dividend	K	T					
95. ----- Loral Space & Comm. - common stock	A	Dividend	J	T					
96. ----- CenturyLink, Inc. - common stock	A	Dividend	J	T					
97. ----- Hershey - common stock	A	Dividend	K	T					
98. ----- Pfizer - common stock	A	Dividend	K	T					
99. ----- Rite Aid	A	Dividend	K	T	Buy	04/24/17	J		
100. ----- Global Self Storage Group, Inc.	A	Dividend	K	T					
101. ----- Mastr Asset 2003-12 6A1 - gov't bond	A	Interest	J	T					
102.									

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103. Oppenheimer ACCT #6 (274)									
104. ----- Advantage bank deposits	A	Interest	J	T					
105. ----- Putnam Master Inc Tr	A	Dividend			Sold	06/16/17	J	A	
106.									
107. Oppenheimer ACCT #7 (719)									
108. ----- Advantage Bank	A	Interest	K	T					
109. ----- Virtus Total Return Fd	A	Distribution	J	T	Sold	02/22/17	J	A	
110.									
111. Oppenheimer ACCT #8 (439)									
112. ----- Advantage Bank deposit	A	Interest	J	T	Open	04/11/17	J		
113.									
114. PAL Capital Income IA	A	Dividend	J	T					
115.									
116. PNC Bank - checking & savings accounts	A	Interest	J	T					
117.									
118. Nexterra Energy, Inc. - common stock	A	Dividend	J	T					
119.									

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120. Stiffel Nicolaus - Account #1 (099)									
121. ----- money market (Stiffel Insured Bank Program)	A	Int./Div.	J	T	Buy	02/03/17	J		
122. ----- Hanover PA Area Sch Dist bond	A	Interest			Redeemed	02/01/17	J	A	
123. ----- Octorora Pa Area Sch Dist bond	A	Interest	J	T					
124. ----- Altoona CPA City Auth Watr Rev bond	A	Interest			Redeemed	10/31/17	J	A	
125. ----- State Pub Sch Bldg Auth bond	A	Interest	J	T	Buy	02/09/17	J		
126.									
127. Stiffel Nicolaus - Account #2 (027)									
128. ----- money market (Stiffel Insured Bank Program)	A	Int./Div.	J	T					
129. ----- Marple Newtown Pa Sch Dist bond	A	Interest	J	T					
130. ----- West Shore P Sch Dist Asrd Gty bond	A	Interest	J	T					
131.									
132. Stiffel Nicolaus - Account # 3 (127)									
133. ----- money market (Stiffel Insured Bank Program)	A	Int./Div.	J	T	Open	04/11/17	J		
134. ----- Hancock Holding Co. - common stock	A	Dividend	J	T	Buy	10/31/17	J		
135.									
136. Stiffel Nicolaus - Account # 4 (014)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Frank, Eric L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ----- Pa ST Tpk Commn Tpk RFDG - bond	A	Interest	J	T		02/20/17	J		
138.									
139.									
140. Seneca Valley, PA - municipal bond	A	Interest	J	T					
141.									
142. T. Rowe Price International mutual fund	C	Dividend	J	T					
143.									
144. TRUST #1 - AGGREGATE OWNERSHIP									
145. -----Schwab Money Market Fund	B	Interest	K	T					
146. ----- Schwab Cash Reserves	A	Interest	J	T					
147. ----- Apple - common stock	A	Dividend	K	T					
148. ----- Auto Data Processing	A	Dividend	K	T					
149. ----- Boeing Co. - common stock	A	Dividend	J	T					
150. ----- Colgate-Palmolive Co. - common stock	A	Dividend	K	T					
151. ----- Conoco Phillips Co. - common stock	A	Dividend	J	T					
152. ----- CVS Health Corp. - common stock	A	Dividend	K	T	Buy	03/31/17	J		
153. ----- CVS Health Corp. - common stock	A	Dividend	K	T	Sold (part)	12/28/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Frank, Eric L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ----- Diageo PLC - common stock	A	Dividend	K	T					
155. ----- Discover Financial Svs - common stock	A	Dividend			Sold	02/13/17	J	A	
156. ----- Disney, Walt - common stock	A	Dividend	K	T					
157. ----- Exxon Mobil Corp. - common stock	A	Dividend	K	T					
158. ----- Fed Ex Corp. - common stock	A	Dividend	K	T					
159. ----- FMC Technologies - common stock	A	Dividend	K	T					
160. ----- Home Depot, Inc. - common stock	A	Dividend	K	T					
161. ----- Honeywell Int'l - common stock	A	Dividend	K	T					
162. ----- Johnson & Johnson - common stock	A	Dividend	K	T					
163. ----- McCormick & Co. - common stock	A	Dividend	K	T					
164. ----- McDonald's Corp. - common stock	A	Dividend	K	T					
165. ----- Medtronic PLC - common stock	A	Dividend	K	T					
166. ----- Microsoft Corp. - common stock	A	Dividend	K	T					
167. ----- Nordson Corp. - common stock	A	Dividend	K	T					
168. ----- Pepsico, Inc. - common stock	A	Dividend	K	T					
169. ----- Proctor & Gamble - common stock	A	Dividend	K	T					
170. ----- Schlumberger Ltd - common stock	A	Dividend	J	T	Buy	05/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -----South Jersey Inds., Inc. - common stock	A	Dividend	K	T					
172. ----- Starbucks Corp. - common stock	A	Dividend	K	T					
173. ----- Stryker Corp. - common stock	A	Dividend	K	T					
174. ----- Texas Instruments - common stock	A	Dividend	K	T					
175. ----- Under Armour, Inc. - common stock	A	Dividend			Sold	11/09/17	J	A	
176. ----- Valley National Bancorp	A	Dividend			Sold	11/09/17	J	A	
177. ----- Verizon Communications - common stock	B	Dividend	K	T					
178. ----- VF Corp. - common stock	A	Dividend	J	T					
179. ----- Wash Real Est Inv Trust SBI REIT	A	Dividend			Sold	12/28/17	J	A	
180.									
181. TIAA-CREF (3C-9)									
182. ----- TIAA traditional annuity	A	Int./Div.	J						
183. ----- CREF bond market	A	Int./Div.	J						
184.									
185. TIAA-CREF (273)									
186. ----- UMB Bank	A	Int./Div.	J	T					
187. ----- TIAA-CREF Lifestyle Growth Fd	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ----- TIAA-CREF Managed Allocation Fd	A	Int./Div.	J	T					
189.									
190. T. Rowe Price - brokerage account - aggregate disclosure									
191. ----- T. Rowe Price European St - mut. fund	A	Int./Div.	J	T					
192. ----- T. Rowe Price Small Cap - mut. fund	D	Int./Div.	M	T					
193.									
194. US Treasury securities	A	Interest	J	T					
195.									
196. Van Eck Global Fund	A	Dividend	J	T					
197.									
198. Voya									
199. ----- VY Franklin Income	A	Int./Div.	J	T					
200. ----- Voya Large Cap (formerly Franklin Mutual)	A	Int./Div.	J	T					
201. ----- VY Templeton Global	A	Int./Div.	J	T					
202.									
203. Vanguard Money Market Funds									
204. ----- Federal Money Market	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ----- Prime Money Market	A	Interest	J	T					
206.									
207. Wells Fargo - sav; checking; CD and Roth IRA	A	Int./Div.	J	T					
208.									
209.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, Line 81: Global Self Storage, Inc. was reported previously as Self Storage, Inc.
2. Part VII, Line 84: Advantage Bank Deposit was reported previously as Advantage Primary Liquid Fd.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eric L. Frank

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544