

AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pryor, Jr., William H.	2. Court or Organization United States Sentencing Commission	3. Date of Report 04/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☒ Nomination Date 4/15/2013 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/01/2012 to 4/01/2013
	5b. ☐ Amended Report	
7. Chambers or Office Address 1729 Fifth Avenue North Suite 900 Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Adjunct Professor	Cumberland School of Law
2. Visiting Professor	University of Alabama School of Law
3. Director and Vice President	Alabama Center for Law & Civic Education
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

04/15/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Cumberland School of Law	\$7,500.00
2. 2012	University of Alabama School of Law	\$17,500.00
3. 2011	University of Alabama School of Law	\$10,000.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2013	Warren, Averett, LLC
2. 2012	Wilson, Price, Barranco, Blankenship & Billingsley, P.C.
3.	
4.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. - TOCQUEVILLE GOLD FUND	B	Dividend	K	T					
3. IRA #2									
4. - JANUS OVERSEAS		None							
5. -FIDELITY SELECT MEDICAL DELIVERY	A	Dividend	J	T					
6. -FIDELITY OTC PORT		None							
7. -FIDELITY SELECT NATURAL RESOURCES		None							
8. -FIDELITY SMALL CAP DISCOVERY FUND	B	Dividend	K	T					
9. -FIDELITY SELECT BIOTECHNOLOGY	A	Dividend	K	T					
10. -PIMCO INCOME FD CL D	B	Dividend	K	T					
11. -FIDELITY BLUE CHIP GROWTH	A	Dividend	K	T					
12. IRA #3									
13. -FIDELITY REAL ESTATE INCOME	C	Dividend	L	T					
14. IRA #4									
15. -FIDELITY SELECT COMPUTERS		None							
16. -MATTHEWS ASIA SCIENCE & TECHNOLOGY FUND		None							
17. -FIDELITY SELECT MATERIALS PORTFOLIO	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes: (See Columns C1 and D3)
3. Value Method Codes: (See Column C2)
A=\$1,000 or less
B=\$1,001 - \$2,500
C=\$2,501 - \$5,000
D=\$5,001 - \$15,000
E=\$15,001 - \$50,000
F=\$50,001 - \$100,000
G=\$100,001 - \$1,000,000
H1=\$1,000,001 - \$5,000,000
H2=More than \$5,000,000
J=\$15,000 or less
K=\$15,001 - \$50,000
L=\$50,001 - \$100,000
M=\$100,001 - \$250,000
N=\$250,001 - \$500,000
O=\$500,001 - \$1,000,000
P1=\$1,000,001 - \$5,000,000
P2=\$5,000,001 - \$25,000,000
P3=\$25,000,001 - \$50,000,000
P4=More than \$50,000,000
Q=Appraisal
R=Cost (Real Estate Only)
S=Assessment
T=Cash Market
U=Book Value
V=Other
W=Estimated

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Pryor, Jr., William H., 04/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 18-34 include assets like FIDELITY NASDAQ COMPOSITE INDEX, FIDELITY SELECT MULTIMEDIA, FIDELITY SELECT BIOTECHNOLOGY, etc.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2. Value Codes: F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H=\$1,000,001 - \$5,000,000, I=\$5,000,001 - \$25,000,000, J=\$25,000,001 - \$50,000,000
3. Value Method Codes: Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market, U=Book Value, V=Other, W=Estimated

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -MATTHEWS CHINA FUND		None							
36. -BROKERAGE ACCT #2									
37. -FIDELITY SELECT BIOTECHNOLOGY		None	J	T					
38. -FIDELITY BLUE CHIP GROWTH		None							
39. -FIDELITY SMALL CAP DISCOVERY FUND	A	Dividend							
40. -CAMBIAR AGGRESSIVE VALUE-INVESTOR		None							
41. -SECTION 401(K)/PROFIT SHARING PLAN #1									
42. -MATTHEWS CHINA FUND		None							
43. -COHEN&STEERS REALTY SHARES	A	Dividend							
44. -MATTHEWS PACIFIC TIGER FUND		None							
45. -FIDELITY SELECT TRANSPORT	B	Dividend							
46. -FIDELITY SELECT ENERGY SERVICE		None							
47. -FIDELITY SELECT MULTIMEDIA		None							
48. -FIDELITY BLUE CHIP GROWTH		None							
49. -FIDELITY SELECT CHEMICAL	A	Dividend							
50. -FIDELITY CASH RESERVES	A	Interest							
51. -PIMCO COMDTY REAL RET STRAT INST	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Pryor, Jr., William H.	04/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -DODGE & COX INTERNATIONAL STOCK	A	Dividend	K	T					
53. -FIRST EAGLE OVERSEAS FUND CLASS I	A	Dividend	J	T					
54. -FEDERATED INSTL HIGH YLD BD INST	A	Dividend	J	T					
55. -PIMCO TOTAL RETURN INSTL	A	Dividend	J	T					
56. -VANGUARD TOTAL BD MKT IDX	A	Dividend	J	T					
57. -VANGUARD GROWTH INDEX SIGNAL	A	Dividend	J	T					
58. -AQR MANAGED FUTURES STRATEGY FUND CLASS I	A	Dividend	J	T					
59. -STEELPATH MLP ALPHA FUND CLASS I	A	Dividend	J	T					
60. -VANGUARD MIDCAP GROWTH INDEX FUND ADMIRAL	A	Dividend	K	T					
61. -VANGUARD MIDCAP VALUE INDEX FUND ADMIRAL	A	Dividend	K	T					
62. -LAZARD EMERGING MARKETS MULTI STRATEGY	A	Dividend	K	T					
63. -VANGUARD EQUITY INCOME	A	Dividend	K	T					
64. -LOOMIS SAYLES BOND INSTL	A	Dividend	J	T					
65. -PIONEER STRATEGIC INCOME A	A	Dividend	J	T					
66. -T ROWE PRICE REAL ESTATE FUND	A	Dividend	J	T					
67. -DFA US MICRO CAP	A	Dividend	J	T					
68. -VULCAN VALUE PARTNERS SMALL CAP FUND	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Pryor, Jr., William H., 04/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 69-71: -LEGG MASON CLEARBRIDGE SMALL, -WELLS FARGO STABLE VALUE, COMPASS BANK- A/C #1

- 1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
- 2. Value Codes: J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000
- 3. Value Method Codes: Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market, U=Book Value, V=Other, W=Estimated

FINANCIAL DISCLOSURE REPORT Page 9 of 10	Name of Person Reporting	Date of Report
	Pryor, Jr., William H.	04/15/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William H. Pryor, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		26	698	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		771	214	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			194
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – primary residence		336	361
Real estate owned – personal residence		600	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		164	193				
Cash value-life insurance							
Other assets itemize:							
Thrift Savings Plan		4	030				
				Total liabilities		336	361
				Net Worth	1	229	774
Total Assets	1	566	135	Total liabilities and net worth	1	566	135
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							