

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thurman, William T.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 350 South Main Street, Rm 358 Salt Lake City, Utah 84101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Utah Bankruptcy Lawyers Forum
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bankruptcy Institute	Jan. 22, 23, 24 2015	Denver, Colorado	Speak at seminar	meals, lodging, travel expense and tuition waiver
2.	Norton Institute on Bankruptcy Law	Feb. 23 and 24, 2015	Park City, Utah	Speak at seminar	meals, lodging and tuition waiver
3.	National Conference of Bankruptcy Judges	April 13, 14 and 15 2015	San Antonio, Tx	Committee Chair; Mid year meeting	meals, lodging, travel expenses
4.	Turnaround Management Association	July 15, 16 and 17, 2015	Carlsbad, Ca	Speak at seminar	means, lodging, travel expenses
5.	Federal Bar Association of Utah	May 8, 2015	St. George, Utah	Speak at seminar	tuition, meals

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6.	National Conference of Bankruptcy Judges	April 21, 22 and 23, 2015	Washington, D.C.	Visit selected members of Congress regarding duties as chair of NCBJ legislative comm	meals, lodging, travel expense
7.	National Conference of Bankruptcy Judges	September 15, 16 and 17 2015	Washington, D.C.	Participate in Congressional Reception with officers of the FJA and the MJA	meals, lodging, travel expenses
8.	Federal Bar Association (National)	September 10 and 11, 2015	Salt Lake City, Utah	Participate at annual meeting	meals
9.	National Conference of Bankruptcy Judges	September 25-30, 2015	Miami, FL	Attend Annual Meeting; Committee Chair	meals, lodging, travel expense

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Savings, checking Accts									
2. ---Mountain America Credit Union	D	Interest	J	T					
3. ---Zions First National Bank checking and savings	D	Interest	K	T					
4.									
5. Broker #1 Account									
6. ---Eaton Vance Tx Mngd Value C Fund	A	Int./Div.	K	T					
7.									
8. New England Life (funds held for filer as cash values)									
9. ---BlackRock Bnd Inc. Prt fund	A	Dividend	J	T					
10. ---BR Money Market Fund	A	Dividend	J	T					
11. ---MetLife Stock Index Fund	A	Dividend	J	T					
12. ---MFS Value Portfolio Fund	A	Dividend	J	T					
13. ---L/S Small Cap Fund	A	Dividend	J	T					
14. ---Baillie Intn'l Stock fund	A	Dividend	J	T					
15. ---WMC Corre Equity Fund	A	Dividend	J	T					
16. ---Jenison Growth Fund	A	Dividend	J	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA (Same Broker as #1)See Part VIII									
19. ---DA Davidson Money Market Fund	A	Int./Div.	J	T					
20. ---Davis NY Venture Value Cl C Fund.	A	Dividend	J	T					
21. ---Franklin Rising Dividends Cl C Fund	A	Dividend	J	T					
22. ---Thornburg Inv. Inc Builder Class C Fund	A	Dividend	J	T					
23. ---Capital Inc. Builder Class C fund	A	Dividend	J	T					
24. ---Growth Fund of Am Cl C Fund.	A	Dividend	J	T					
25.									
26. 401(K) Broker #4 Account; see part VIII									
27. ---American Century One Choice 2015 Inst. Fund	A	Dividend			Matured	08/06/15	M	B	
28. ---American Century One Choice 2020 Inst. Fund	B	Dividend			Buy	08/06/15	M		
29.									
30. Utah Educational Savings Plan, Age Based Gr; see Part VIII	A	Dividend	K	T					
31.									
32. \Broker #3 Account									
33. ---Fidelity Focused Stock Fund	A	Int./Div.	K	T					
34. ---Janus Balanced Fund Cl T	A	Int./Div.	K	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. ---United Comm Bk Sr Nt 6%	A	Int./Div.	J	T					
36. ---Zions Bancorp. Mtn. 3.6% note	A	Int./Div.	K	T					
37.									
38.									
39.									
40.									
41.									
42.									
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 18 Part VII. Filer's [REDACTED] withdraws nominal amounts of \$500 per month from these accounts. The broker liquidates so much of the accounts each month and deposits the cash into the money market account to make the distribution to filer's [REDACTED].

Line 26 Part VII. This fund matured and another time centered fund was substituted in with a longer maturity date with the same fund provider.

Line 30 Part VII. The full name of the fund is the Utah Educational Savings Plan. Age-Based Agressive Growth (529) Plan. Five separate funds are maintained for five separate [REDACTED] under the filer's and [REDACTED] names. Monthly contributions are made by the filer.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William T. Thurman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544