

AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rceves, Pamela L.	2. Court or Organization United States District Court Eastern District of Tennessee	3. Date of Report 05/16/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 05/16/2013 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 05/15/2013
7. Chambers or Office Address 2607 Kingston Pike, Suite 130 Knoxville, TN 37919		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	Reeves, Herbert & Anderson, P.A.
2.	Secretary and member Board of Directors	Medic Regional Blood Bank
3.	Chair elect and Member Board of Trustees	Tennessee Bar Foundation
4.	Past president and Member Board of Directors	American College of Civil Trial Mediators
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Reeves, Pamela L.	05/16/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Self employed attorney net income	\$223,563.00
2. 2012	Self employed attorney gross income	\$370,631.48
3. 2013	Self employed attorney gross income	\$155,598.12
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Salary City of Knoxville
2. 2013	Salary City of Knoxville
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Reeves, Pamela L.	05/16/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Reeves, Pamela L.	05/16/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental property #1 Salisbury N.C.	B	Rent	K	W	Exempt				
2. Rental Property #2 Salisbury, N.C.	B	Rent	K	W					
3. Business property #1 Knoxville TN	E	Rent	M	W					
4. Charles Schwab Money Market Fund	A	Int./Div.	K	T					
5. Advanced Auto Parts Common Stock		None							
6. Alliance SE Common Stock		None							
7. American Express Common Stock	A	Int./Div.							
8. American Intl Group Common Stock		None	J	T					
9. Apple Computer		None	J	T					
10. Aspen Insurance Holding LTD. Common Stock	A	Int./Div.	J	T					
11. Astrazeneca PLC Common Stock	A	Int./Div.	J	T					
12. BP PLC Common Stock	A	Int./Div.							
13. Bank of America Corp. Common Stock	A	Int./Div.	J	T					
14. Berkshire Hathaway Common Stock		None	K	T					
15. Biglari Holdings Inc.Common Stock		None	J	T					
16. Calamos Asset Management Inc. Common Stock		None	J	T					
17. Chesapeake Energy Corp. Common Stock	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	I =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Cisco Sys Inc Common Stock	A	Int./Div.	J	T					
19. Conoco Phillips Common Stock	A	Int./Div.							
20. Crimson Wine Group Common Stock		None							
21. Dell, Inc. Common Stock	A	Int./Div.							
22. DirecTV Common Stock		None	J	T					
23. Douglas Dynamics, Inc. Common Stock	A	Int./Div.	J	T					
24. Fairfax Financial Holdings LTD. Common Stock	A	Int./Div.	J	T					
25. First Financial Bancorp Common Stock	A	Int./Div.	J	T					
26. General Electric Common Stock	A	Int./Div.							
27. Goldman Sachs Common Stock	A	Int./Div.	J	T					
28. H & R Block Common Stock	A	Int./Div.							
29. Ingram Micro, Inc. Common Stock	A	Int./Div.							
30. Intel Common Stock	A	Int./Div.	J	T					
31. J.P. Morgan & Co. Common Stock	A	Int./Div.	J	T					
32. Legg Mason, Inc. Common Stock	A	Int./Div.							
33. Leggett & Platt, Inc. Common Stock	A	Int./Div.							
34. Leucadia National Corp. Common Stock	A	Int./Div.	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

Name of Person Reporting	Date of Report
Reeves, Pamela L.	05/16/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Lockheed Martin Common Stock	A	Int./Div.	J	T					
36. Loews Corp. Common Stock	A	Int./Div.	J	T					
37. Markel Corp. Common Stock		None	J	T					
38. Microsoft Common Stock	A	Int./Div.	J	T					
39. Oaktree Capital Group Common Stock	A	Int./Div.	J	T					
40. Pfizer, Inc. Common Stock	A	Int./Div.							
41. Phillips 66 Common Stock		None							
42. Plum Creek Common Stock	A	Int./Div.							
43. ProShares UltraShort Barclays 20+ Trsy Common Stock		None							
44. Quest Diagnostics, Inc. Common Stock	A	Int./Div.							
45. RenaissanceRe Holdings Ltd. Common Stock	A	Int./Div.	J	T					
46. Tesco PLC ADRF Common Stock		None	J	T					
47. The Charles Schwab Corp. Common Stock	A	Int./Div.							
48. Unilever PLC ADR Common Stock		None	J	T					
49. UnitedHealth Group Common Stock	A	Int./Div.	J	T					
50. Verizon Communications Common Stock	A	Int./Div.							
51. Vodafone Group Common Stock	A	Int./Div.	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Reeves, Pamela L., 05/16/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 52-68 include assets like Walgreen Co. Common Stock, Waste Mangement Common Stock, Xerox Corp. Common Stock, etc.

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)
A=\$1,000 or less
B=\$1,001 - \$2,500
C=\$2,501 - \$5,000
D=\$5,001 - \$15,000
E=\$15,001 - \$50,000
F=\$50,001 - \$100,000
G=\$100,001 - \$1,000,000
H1=\$1,000,001 - \$5,000,000
H2=More than \$5,000,000
J=\$15,000 or less
K=\$15,001 - \$50,000
L=\$50,001 - \$100,000
M=\$100,001 - \$250,000
N=\$250,001 - \$500,000
O=\$500,001 - \$1,000,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. SunAmerica Focused StarALPHA CL C	A	Int./Div.							
70. Prudential Guaranteed Income Fund	A	Int./Div.	J	T					
71. PIMCO Total Return Fund	A	Int./Div.	J	T					
72. Black Rock Equity Fund Dividend R	A	Int./Div.	J	T					
73. Wells Fargo Advantage Growth A	A	Int./Div.	J	T					
74. Victory Established Value A		None							
75. Prudential Jenn Mid Cap Growth A	A	Int./Div.	J	T					
76. JPMorgan Mid Cap Value A	A	Int./Div.	J	T					
77. Fidelity Adv Small Cap Fund	A	Int./Div.	J	T					
78. Perkins Small Cap Value R	A	Int./Div.	J	T					
79. American Funds EuroPac Gr R3	A	Int./Div.	J	T					
80. CREF Money Market	A	Int./Div.	J	T					
81. CREF Bond Market Fund	A	Int./Div.	J	T					
82. CREF Global Equities	A	Int./Div.	J	T					
83. CREF Growth	A	Int./Div.	J	T					
84. CREF Equity Index	A	Int./Div.	J	T					
85. Calvert Short Duration Income A	A	Int./Div.	J	T					

1. Income/Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)
A = \$1,000 or less
F = \$50,001 - \$100,000
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P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value
B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
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H1 = \$1,000,001 - \$5,000,000
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P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
E = \$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Reeves, Pamela L., 05/16/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 86-99: Transamerica Stable Value, American Funds AMCAP A, Ivy International Core Equity A, Calvert Income A, Pioneer Equity Income A, Sit Dividend Growth Fund, AllianceBertein Small Cap Growth A, Columbia Small Cap Value Fund I Class A, City of Knoxville TN Pension Plan G Option I, First Tennessee Bank cash accounts, Avivia Advantage Builder Series III whole life Insurance pol, Guardian Modified Benefit whole life insurance policy, Hartford whole life insurance policy, Lincoln National universal whole life insurance policy.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001-\$2,500, C=\$2,501-\$5,000, D=\$5,001-\$15,000, E=\$15,001-\$50,000
2. Value Codes: J=\$15,000 or less, K=\$15,001-\$50,000, L=\$50,001-\$100,000, M=\$100,001-\$250,000, P2=\$5,000,001-\$25,000,000
3. Value Method Codes: Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market

Name of Person Reporting	Date of Report
Reeves, Pamela L.	05/16/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The firm where I currently practice law changed names in September 2012 when Robert Murrian retired and Heather Anderson joined us. At that time the name changed from Reeves, Herbert & Murrian, P.A. to Reeves, Herbert & Anderson, P.A.

Name of Person Reporting	Date of Report
Reeves, Pamela L.	05/16/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Pamela L. Reeves**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		32	000	Notes payable to banks-secured (auto)		22	242
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		381	705	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		9	700
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		162	511
Real estate owned – see schedule		875	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		75	000				
Cash value-life insurance		87	253				
Other assets itemize:							
City of Knoxville Pension Plan		2	092				
				Total liabilities		194	453
				Net Worth	1	258	597
Total Assets	1	453	050	Total liabilities and net worth	1	453	050
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							