

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Srinivasan, Srikanth	2. Court or Organization U.S. Court of Appeals for the D.C. Circuit	3. Date of Report 01/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 01/03/2013 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Office of the Solicitor General Department of Justice 950 Pennsylvania Ave., N.W. Washington, D.C. 20530	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Visitors	Stanford University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Srinivasan, Srikanth	01/03/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	O'Melveny & Myers LLP - partnership income	\$1,338,000.00
2. 2012	NO REPORTABLE NON-INVESTMENT INCOME	
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. EXEMPT				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Srinivasan, Srikanth	01/03/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. EXEMPT		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1					Exempt				
2. - Spartan 500 Index FUSVX	A	Dividend	K	T					
3. - Aston River Road Independent Value ARIVX	A	Dividend	J	T					
4. - Aberdeen Emerging Markets GEGAX	A	Dividend	J	T					
5. - Columbia Dividend Opportunity INUTX	A	Dividend	K	T					
6. - Columbia Select Lrg Cap Growth ELGAX	A	Dividend	L	T					
7. - Delaware Value DDVAX	A	Dividend	K	T					
8. - Doubleline Total Rt Bond DLTNX	A	Dividend	K	T					
9. - Dreyfus Bond Market Index DBMIX	A	Dividend	K	T					
10. - Driehaus Active Income LCMAX	A	Dividend	K	T					
11. - Federated Intern Corp Bond INISX	A	Dividend	J	T					
12. - Listed Private Equity LPEFX	A	Dividend	J	T					
13. - Merk Hard Currency MERKX	A	Dividend	J	T					
14. - Forward EM Corp Debt Fund FFXRX	A	Dividend	J	T					
15. - JP Morgan Strategic Income JSOAX	A	Dividend	J	T					
16. - JP Morgan High Yield Bond OHYAX	A	Dividend	J	T					
17. - MFS International Value MGIAX	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

Name of Person Reporting	Date of Report
Srinivasan, Srikanth	01/03/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Morgan Stanley Global Real Estate MRLBX	A	Dividend	J	T					
19. - Pimco Total Return PTTDX (X)	A	Dividend	J	T					
20. - T.Rowe Price Growth Stock TRSAX	A	Dividend	K	T					
21. - RS Global Natural Resources RSNRX	A	Dividend	K	T					
22. - Ridegworth Mid Cap Value SMVTX	A	Dividend	K	T					
23. - Rivernorth Core Opportunity RNCOX	A	Dividend	K	T					
24. - Riverpark Short Term High Yield RPHYX (X)	A	Dividend	J	T					
25. - T.Rowe Price Int'l Stock PAITX	A	Dividend	K	T					
26. - TCW Dividend Focused TGIGX	A	Dividend	K	T					
27. - TCW Small Cap Growth TGSNX	A	Dividend	J	T					
28. - Touchstone Focused Equity TFEAX	A	Dividend	J	T					
29. - Wasatch Int'l Opps WAIOX	A	Dividend	J	T					
30. - Wasatch Frontier Emerging Small Cos WAFMX (X)	A	Dividend	J	T					
31. - Wasatch Emerging Markets Small Cap WAEMX	A	Dividend	K	T					
32. - Fidelity Cash Reserves FDRXX	A	Interest	J	T					
33. - Leuthold Asset Allocation LAALX	A	Dividend							
34. - Merger Fund MERFX	A	Dividend							

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Pimco Emerging Markets PLDMX	A	Dividend							
36. - Westcore Select WTS LX	A	Dividend							
37. Brokerage Account #1									
38. - Spartan 500 Index FUSVX	A	Dividend	K	T					
39. - Fidelity Interm Muni Income FLT MX	A	Interest	L	T					
40. - Aston River Road Independent Value ARIVX	A	Dividend	J	T					
41. - Aberdeen Emerging Markets GEGAX	A	Dividend	J	T					
42. - Columbia Dividend Opp INUTX	A	Dividend	K	T					
43. - Columbia Select Large Cap Growth ELGAX	A	Dividend	K	T					
44. - Delaware Value DDVAX	A	Dividend	K	T					
45. - Doubleline Total Return Bond DLT NX	A	Dividend	K	T					
46. - Driehaus Active Income LC MAX	A	Dividend	J	T					
47. - Listed Private Equity LPEFX	A	Dividend	J	T					
48. - Merk Hard Currency MERKX	A	Dividend	J	T					
49. - Forward EM Corp Debt Fund FFXR X	A	Dividend	J	T					
50. - JP Morgan Tax Aware TXRSX	A	Dividend	K	T					
51. - MFS Int'l Value MGIAX	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - Merger Fund MERFX	A	Dividend	J	T					
53. - Morgan Stanley Global Real Estate MRLBX	A	Dividend	J	T					
54. - Northern Intern Tax Exempt NOITX	A	Dividend	L	T					
55. - T.Rowe Price Growth Stock TRSAX	A	Dividend	K	T					
56. - RS Global Natural Resources RSNRX	A	Dividend	J	T					
57. - Ridegworth Mid Cap Value SMVTX	A	Dividend	J	T					
58. - Rivernorth Core Opp RNCOX	A	Dividend	K	T					
59. - T. Rowe Price Int'l Stock PAITX	A	Dividend	J	T					
60. - TCW Dividend Focused TGIGX	A	Dividend	K	T					
61. - TCW Small Cap Growth TGSNX	A	Dividend	J	T					
62. - Touchstone Focused EquityTFEAX	A	Dividend	J	T					
63. - Wasatch Int'l Opps WAIOX	A	Dividend	J	T					
64. - Wasatch Emerging Markets Small Cos WAFMX (X)	A	Dividend	J	T					
65. - Wasatch Emerging Markets Small Cap WAEMX	A	Dividend	J	T					
66. - Wells Fargo Ultra Short Term Muni SMAVX	A	Dividend	J	T					
67. - Fidelity Cash Reserves	A	Interest	J	T					
68. - Leuthold Asset Allocation LAALX	A	Dividend							

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A =\$1,000 or less
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H1 =\$1,000,001 - \$5,000,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. - Pimco Emerging Markets PLDMX	A	Dividend							
70. - Westcore Select WTS LX	A	Dividend							
71. Roth IRA #1									
72. - American Funds AMCAP A AMCPX (X)	A	Dividend	J	T					
73. - American Funds New World A NEWFX (X)	A	Dividend	J	T					
74. - American Funds Small Cap World A SMCWX (X)	A	Dividend	J	T					
75. - American Funds Capital World Growth & Income A CWGIX (X)	A	Dividend	J	T					
76. - American Funds Washington Mutual A AWSHX (X)	A	Dividend	J	T					
77. - American Funds Income Fund of America A AMECX (X)	A	Dividend	J	T					
78. Citibank Checking Account	A	Interest	K	T					
79. Wells Fargo (various cash accounts)	A	Interest	PI	T					
80. American Express (various cash accounts)	D	Interest	J	T					
81. College Savings Plans #1									
82. - American Funds AMCAP 529A	A	Dividend	M	T					
83. - American Funds Balanced Funds 529A	B	Dividend	M	T					
84. - American Funds Mutual Fund 529A	A	Dividend	K	T					
85. - American Funds EuroPacific Growth 529A	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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E =\$15,001 - \$50,000

Name of Person Reporting	Date of Report
Srinivasan, Srikanth	01/03/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - American Funds New Perspective 529A	A	Dividend	L	T					
87. - American Funds Growth Fund of America 529A	A	Dividend	L	T					
88. - American Funds Washington Mutual Investors Fund 529A	A	Dividend	J	T					
89. Brokerage Account #2									
90. - American Funds Tax Exempt Bond Fund of America A AFTEX	A	Dividend	J	T					
91. - American Funds Growth Fund of America A AGTHX	A	Dividend	J	T					
92. - American Funds New Economy Fund A ANEPX	A	Dividend	J	T					
93. - American Funds Tax Exempt Fund of VA A TFVAX	B	Dividend							
94. - American Funds Fundamental Investors A ANCFX	A	Dividend	J	T					
95. - American Funds Bond Fund of America A ABNDX	A	Dividend	J	T					
96. Mass Mutual VULG Guaranteed Principal	A	Interest	L	T					
97. Mass Mutual VULG American Funds Growth-Income		None	J	T					
98. Mass Mutual VULG Fidelity Contrafund		None	J	T					
99. Mass Mutual VULG DWS Small Cap Index		None	K	T					
100. Mass Mutual VULG MML Small/Mid Cap Equity		None	J	T					
101. Keough #1									
102. - Fidelity Diversified International FDIVX	A	Dividend							

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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- B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other
- C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated
- D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market
- E =\$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Srinivasan, Srikanth, 01/03/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 103-116 contain data for various investment funds like American Beacon Large Cape Val Inv, American Funds Growth of America Class, etc.

- 1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
- 2. Value Codes: J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P2=\$5,000,001 - \$25,000,000
- 3. Value Method Codes: Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Srikanth Srinivasan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	1	164	200	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	1	532	700	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		961	900
Real estate owned – personal residence	1	700	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		11	000				
Cash value-life insurance		98	100				
Other assets itemize:							
Thrift Savings Plan		33	700				
				Total liabilities		961	900
				Net Worth	3	577	800
Total Assets	4	539	700	Total liabilities and net worth	4	539	700
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							