

AO-10
Rev. 1/91

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) Lungstrum, John W.	2. Court or Organization United States District Court	3. Date of Report 25 July 1991
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) District Judge (Active)	5. Report Type (check appropriate type) ☒ X Nomination, Date <u>24 July 1991</u> ☒ X Initial ☐ Annual ☐ Final	6. Reporting Period 1 January 1990 through 30 June 1991
7. Chambers or Office Address Suite 502 First National Bank Tower Lawrence, KS 66044		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Partner

(law
Stevens, Brand, Lungstrum, Golden & Winter fir

Lecturer in Law

University of Kansas School of Law

(see attachment 1)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

Partnership Agreement of Stevens, Brand law firm provides

for payment of my share of income earned before resignation

from the firm to begin service as District Judge

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE
(Honoraria only)

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)☐

NONE (No reportable non-investment income)

1 January 1991

through 30 June 1991

Stevens, Brand law firm partnership income \$ 53,677.50

1 January 1990

through 31 Dec 1990

Stevens, Brand law firm partnership income \$ 197,682.00

1 January 1989

through 31 Dec 1989

Stevens, Brand law firm partnership income \$ 149,759.00

1
5\$
\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

John W. Lungstrum

Date of Report

25 July 1991

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCEDESCRIPTION☐

NONE (No such reportable reimbursements or gifts)

1 Exempt

2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCEDESCRIPTIONVALUE☐

NONE (No such reportable gifts)

1 Exempt

2		\$
3		\$
4		\$
		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITORDESCRIPTIONVALUE CODE*☐

NONE (No reportable liabilities)

1	Bank of Kansas/Lawrence	Loan to Stevens, Brand law firm	K
2			
3		for remodeling, furniture and	
4		fixtures (contingent liability)	
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

John W. Lungstrum

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25 July 1991

VII. INVESTMENTS AND TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

2. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical for joint ownership of reporting individual and spouse, (S) for separate ownership by spouse, (DC) for ownership by dependent child. Place "(1)" after each asset exempt from prior disclosure.	3. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1) Type (e.g., buy, sell, mortgage, redemption)	If not exempt from disclosure			
	Int. Code (A-E)	Type (e.g., div., rent or int.)	Value Code (J-P)	Value Method Code (Q-W)		(2) Date: Month/Day	(3) Value Code (J-P)	(4) Gain Code (A-E)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Dean Witter/Sears (money Liquid Asset Fund mkt)	C	div	J	T	Exempt				
2. Dean Witter/Sears (money Liquid Asset Fund (DC))	A	div	K	T	Exempt				
3. Bank of Kansas/Lawrence (J) (checking account)	None	checking account	J	T	Exempt				
4. Bank IV Kansas City NA (DC) (certificates of deposit)	B	int	K	T	Exempt				
5. Kansas Power & Light Company (DC) (common stock)	C	div	J	T	Exempt				
6. Beneficial interest in Stevens Grand Profit Sharing Plan, administered by Dean Witter	A	divs, int, cap gains	M	T	Exempt				
7 (See Attachment 2)									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes:	A=\$1,000 or less (See Col. B1 & D4)	B=\$1,001 to \$2,500 F=\$50,001 to \$100,000	C=\$2,501 to 5,000 G=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 H=More than \$1,000,000
2 Value Codes:	J=\$15,000 or less (See Col. C1 & F1)	K=\$15,001 to \$50,000 O=\$500,001 to \$1,000,000	L=\$50,001 to \$100,000 P=More than \$1,000,000	M=\$100,001 to \$250,000 Q=Assessment
3 Value Method Codes:	U=Book Value (See Col. C2)	V=Other	W=Estimated	T=Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

John W. Lungstrum

Date

25 July 1991

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544