

AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pepper, Pamela	2. Court or Organization United States District Court, E.D. Wisconsin	3. Date of Report 05/02/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 05/02/2014 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2013 to 05/02/2014
	5b. ☐ Amended Report	
7. Chambers or Office Address 517 East Wisconsin Avenue Room 140 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2. Ex-officio director	Eastern District of Wisconsin Bar Association
3. Associate Editor	American Bankruptcy Law Journal
4. Seventh Circuit Governor; Secretary	National Conference of Bankruptcy Judges
5. Member, Board of Directors	American Bankruptcy Institute

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 04/08/2012	American Bankruptcy Law Journal, pay for editing work	\$1,317.75
2. 06/27/2012	American Bankruptcy Law Journal, pay for editing work	\$1,317.75
3. 09/25/2012	American Bankruptcy Law Journal, pay for editing work	\$1,317.75
4. 12/12/2012	American Bankruptcy Law Journal, pay for editing work	\$1,317.75
5. 04/02/2013	American Bankruptcy Law Journal, pay for editing work	\$1,287.75
6. 07/01/2013	American Bankruptcy Law Journal, pay for editing work	\$1,287.75
7. 09/30/2013	American Bankruptcy Law Journal, pay for editing work	\$1,287.75
8. 12/15/2013	American Bankruptcy Law Journal, pay for editing work	\$1,287.75

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. Exempt				
3.				

4.

5.

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2. Exempt		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Pershing, LLC	Loan from Profit Sharing Plan #1	J
2. Chase	Revolving credit account	J
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds High-Income Municipal Bond Fund	B	Dividend	K	T	Exempt				
2. American Funds Growth Fund of America	A	Dividend	J	T					
3. Penn Mutual Variable Universal Life: Ssg Index 500 Fund	A	Interest	J	T					
4. Penn Mutual Variable Universal Life: T. Rowe Price Flexibly Mg'd Fund	A	Interest	J	T					
5. The Principal Adjustable Life	A	Dividend	K	T					
6. Profit Sharing Plan #1									
7. --Principal Investors Real Estate Sec.	A	Dividend	K	T					
8. --Principal Investors Diversified International Fund	A	Dividend	J	T					
9. --Principal Investors Small Cap Blend	A	Dividend	J	T					
10. --Principal Investors Mid Cap Blend Fund	A	Dividend	L	T					
11. --Principal Capital Appreciation Fund	A	Dividend	K	T					
12. --Principal Equity Income Fund	A	Dividend	J	T					
13. --Principal Investors Money Market Fund	A	Interest	J	T					
14. IRA #1									
15. --Principal Mutual Funds MidCap	A	Dividend							
16. --Principal Mutual Funds Small Cap Blend	A	Dividend							
17. U.S. Savings Bonds, Series EE	A	Interest	J	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
(See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
(See Column C2) U=Book Value V=Other W=Estimated

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Trust #1									
19. --Equitable Bank cash accounts	A	Interest	J	T					
20. 401k #1									
21. --American Funds EuroPacific Growth	A	Dividend							
22. --American Funds Fundamental Investors	A	Dividend							
23. --Nuveen Equity Index	A	Dividend							
24. PNC Bank cash accounts		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value
- B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
- C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
- D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
- E = \$15,001 - \$50,000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Pamela Pepper**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		3	700	Notes payable to banks-secured			
U.S. Government securities--Series EE bonds			150	Notes payable to banks-unsecured			
Listed securities -- see schedule		170	302	Notes payable to relatives			
Unlisted securities				Notes payable to others		13	142
Accounts and notes receivable:		13	142	Accounts and bills due		11	600
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable			
Real estate owned				Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		9	000				
Cash value-life insurance		33	640				
Other assets itemize:							
Thrift Savings Plan		207	527				
FERS		5	500				
				Total liabilities		24	742
				Net Worth		418	219
Total Assets		442	961	Total liabilities and net worth		442	961
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts		149	000	Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							