

AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOSS, RANDOLPH D.	2. Court or Organization United States District Court for the District of Columbia	3. Date of Report 04/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 04/03/2014 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2013 to 03/25/2014
	5b. ☒ Amended Report	
7. Chambers or Office Address 1875 Pennsylvania Avenue, N.W. Washington, D.C. 20006		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Wilmer Cutler Pickering Hale and Dorr LLP
2.	Board Member	Glen Echo Park Partnership for Arts and Culture, Inc.
3.	Trustee	Trust No. 1
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Wilmer Cutler Pickering Hale and Dorr, LLP partnership payments	\$2,150,940.00
2. 2013	Wilmer Cutler Pickering Hale and Dorr, LLP partnership payments	\$2,379,167.00
3. 2014	Wilmer Cutler Pickering Hale and Dorr, LLP partnership payments	\$593,450.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1									
2. - FIDELITY MUNICIPAL MONEY MARKET	A	Interest	P1	T	Exempt				
3. - VANGUARD LIMITED TERM TAX EXEMPT FUND	D	Int./Div.	K	T	Exempt				
4. - VANGUARD INTERMEDIATE TERM TAX EXEMPT FUND	D	Int./Div.	K	T	Exempt				
5. - AETNA COMMON STOCK	A	Dividend	K	T	Exempt				
6. - EXXON MOBIL CORP COMMON STOCK	B	Dividend	L	T	Exempt				
7. - IBM COMMON STOCK	A	Dividend	K	T	Exempt				
8. - BARON GROWTH FUND	C	Dividend	N	T	Exempt				
9. - BBH CORE SELECT FUND	B	Dividend	L	T	Exempt				
10. - FIDELITY CONTRAFUND	D	Dividend	M	T	Exempt				
11. - FIDELITY LOW PRICED STOCK FUND	D	Dividend	M	T	Exempt				
12. - FIDELITY SPARTAN TOTAL MARKET INDEX	C	Dividend	N	T	Exempt				
13. - ROYCE TOTAL RETURN FUND	D	Dividend	M	T	Exempt				
14. - SPDR S&P MIDCAP 400 ETF	B	Dividend	M	T	Exempt				
15. - S&P 500 ETF FUND	B	Dividend	L	T	Exempt				
16. - TWEEDY BROWNE GLOBAL VALUE	D	Dividend	M	T	Exempt				
17. - VANGUARD 500 INDEX FUND	C	Dividend	M	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - BLACKROCK GLOBAL ALLOCATION FUND	E	Dividend	N	T	Exempt				
19. - IVY ASSET STRATEGY FUND	B	Dividend	N	T	Exempt				
20. IRA #1									
21. - FIDELITY CASH RESERVES	A	Interest	J	T	Exempt				
22. - BLACKROCK GLOBAL ALLOCATION FUND	A	Dividend	K	T	Exempt				
23. 401(K) PLAN #1					Exempt				
24. - TDAMERITRADE MONEY MARKET PORTFOLIO CLASS A	A	Interest	J	T	Exempt				
25. - BBH CORE SELECT FUND	A	Dividend	M	T	Exempt				
26. - BLACKROCK EQUITY DIVIDEND FUND	A	Dividend	L	T	Exempt				
27. - FIDELITY LOW PRICED STOCK FUND	A	Dividend	K	T	Exempt				
28. - SPDR S&P MIDCAP 400 ETF	A	Dividend	K	T	Exempt				
29. - TWEEDY BROWNE GLOBAL VALUE FUND	A	Dividend	L	T	Exempt				
30. - BLACKROCK GLOBAL ALLOCATION FUND	A	Dividend	M	T	Exempt				
31. - IVY ASSET STRATEGY FUND	A	Dividend	M	T	Exempt				
32. - ABERDEEN EMERGING MARKETS FUND	A	Dividend	J	T	Exempt				
33. - ALLIANZ GI MICRO CAP FUND	A	Dividend	J	T	Exempt				
34. - AMANA GROWTH FUND	A	Dividend	J	T	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	04/09/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - AMERICAN CENTURY MIDCAP VALUE FUND	A	Dividend	J	T	Exempt				
36. - ARTISAN MIDCAP FUND	A	Dividend	J	T	Exempt				
37. - FRANKLIN TEMPLETON EMERGING MARKETS DEBT OPPORTUNITY FUND	A	Dividend	J	T	Exempt				
38. - HANCOCK HORIZON DIVERSIFIED INTERNATIONAL FUND	A	Dividend	J	T	Exempt				
39. - MFS VALUE FUND	A	Dividend	J	T	Exempt				
40. - OPPENHEIMER GLOBAL FUND	A	Dividend	J	T	Exempt				
41. - VANGUARD INSTITUTIONAL INDEX FUND	A	Dividend	J	T	Exempt				
42. WILMERHALE CAPITAL ACCOUNT BALANCE	E	Interest	O	U	Exempt				
43. WILMERHALE DEFINED BENEFIT PLAN BALANCE	D	Int./Div.	O	W	Exempt				
44. CHEVRON CORP. COMMON STOCK	A	Dividend	J	T	Exempt				
45. SOURCE CAPITAL INC. COMMON STOCK	A	Dividend	J	T	Exempt				
46. NEWMONT MINING CORP. COMMON STOCK	A	Dividend	J	T	Exempt				
47. IBM COMMON STOCK	A	Dividend	K	T	Exempt				
48. SC FUNDAMENTAL LLC	C	Int./Div.	N	T	Exempt				
49. OBA BANK SAVINGS ACCOUNT	A	Interest	L	T	Exempt				
50. UTAH EDUCATIONAL SAVINGS PLAN #1									
51. - VANGUARD TOTAL STOCK MARKET INDEX	A	Dividend	J	T	Exempt				

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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MOSS, RANDOLPH D.	04/09/2014

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - VANGUARD DEVELOPED MARKET INDEX	A	Dividend	J	T	Exempt				
53. - VANGUARD EMERGING MARKET STOCK INDEX	A	Dividend	J	T	Exempt				
54. - VANGUARD SHORT TERM INVESTMENT GRADE INDEX	B	Dividend	K	T	Exempt				
55. - VANGUARD SHORT TERM BOND FUND	A	Dividend	K	T	Exempt				
56. - FDIC INSURED SAVINGS ACCOUNT	A	Dividend	L	T	Exempt				
57. COLLEGE SAVINGS PLAN OF MARYLAND - PORTFOLIO 2015		None	K	T	Exempt				
58. UTAH EDUCATIONAL SAVINGS PLAN #2									
59. - VANGUARD SHORT TERM INVESTMENT GRADE FUND	A	Dividend	J	T	Exempt				
60. - VANGUARD SHORT TERM BOND INDEX	A	Dividend	J	T	Exempt				
61. - FDIC INSURED SAVINGS ACCOUNT	A	Dividend	L	T	Exempt				
62. COLLEGE SAVINGS PLAN OF MARYLAND - PORTFOLIO FOR COLLEGE		None	K	T	Exempt				
63. SCHWAB CASH ACCOUNTS	A	Interest	K	T	Exempt				
64. CAPITAL ONE 360 SAVINGS CASH ACCOUNTS	B	Interest	M	T	Exempt				
65. Trust # 1									
66. - RENTAL PROPERTY #1 (ALEXANDRIA, VA)	E	Rent	N	W	Exempt				
67. - RENTAL PROPTERY #2 (ALEXANDRIA, VA)	E	Rent	N	W	Exempt				

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MOSS, RANDOLPH D.	04/09/2014

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
68. - RENTAL PROPERTY #3 (HERNDON, VA)	E	Rent	M	W	Exempt				
69. CITIBANK CASH ACCOUNTS	A	Interest	L	T	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
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P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FILER DOES NOT CONTROL THE UNDERLYING INVESTMENTS IN THE WILMERHALE DEFINED BENEFIT PLAN LISTED ON LINE 43 OF PART VII.

Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	04/09/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ RANDOLPH D. MOSS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	2	003	500	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	4	129	704	Notes payable to relatives			
Unlisted securities – see schedule	1	331	077	Notes payable to others			
Accounts and notes receivable:		803	211	Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable			
Real estate owned – see schedule	1	825	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		100	000				
Cash value-life insurance							
Other assets itemize:							
WilmerHale Defined Benefit Plan (est.)		659	000				
Thrift Savings Plan		499	763				
				Total liabilities			0
				Net Worth	11	351	255
Total Assets	11	351	255	Total liabilities and net worth	11	351	255
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax		200	000				
Other special debt							