

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Woods, Gregory H.	2. Court or Organization Southern District of New York	3. Date of Report 05/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 05/09/2013 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 4/30/2013
7. Chambers or Office Address United States Department of Energy 1000 Independence Avenue, S.W. Washington, D.C. 20585		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Trustees	Williams College
2. Member, Board of Directors	Union Settlement Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/1999	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP.
2. 01/2005	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP.
3. 01/2005	Debevoise & Plimpton LLP Cash Balance Retirement Plan for Partners.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2012	Golson Realty Corporation--Salary
2. 2013	Golson Realty Corporation--Salary
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vanguard Federal Money Market Fund	A	Dividend	K	T	Exempt				
2. Vanguard Infla-Protected Sec.	A	Dividend	J	T					
3. Vanguard Prime Money Market	A	Dividend	K	T					
4. Vanguard Total Bond Mkt Index	B	Dividend	J	T					
5. Vanguard Wellington Fund	A	Dividend	J	T					
6. Vanguard Selected Value Fund	A	Dividend	J	T					
7. Vanguard Windsor Fund	A	Dividend	K	T					
8. Vanguard Mid-Cap Growth Fund	A	Dividend	J	T					
9. Vanguard Morgan Growth Fund	A	Dividend	K	T					
10. Vanguard Explorer Fund	A	Dividend	J	T					
11. Vanguard Health Care Fund	A	Dividend	J	T					
12. Vanguard US Value Fund	A	Dividend	J	T					
13. Vanguard Total Int'l Stock Index	A	Dividend	K	T					
14. Vanguard Inst Index Fund	C	Dividend	M	T					
15. Vanguard Retire Savings Trust III	A	Dividend	J	T					
16. Vanguard Extended Mkt Index	A	Dividend	J	T					
17. Blackrock Large Cap Growth Fund C	B	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Merrill Lynch CMA NY Municipal Money	A	Dividend	O	T					
19. CREF Stock Account		None	J	T					
20. CREF Growth Account		None	J	T					
21. CREF Social Choice Account		None	J	T					
22. Cash Balance Plan (Defined Benefit Plan from Debevoise)	D	Interest	L	T					
23. JPMorgan Chase Cash Accounts	A	Interest	M	T					
24. New York 529 College Savings Direct Plan									
25. --Aggressive Age-Based Option: Growth Portfolio		None	L	T					
26. --Moderate Age-Based Option: Moderate Growth Portfolio		None	L	T					
27. --Conservative Age-Based Option: Conservative Growth		None	L	T					
28. --Inflation-Protected Securities Portfolio		None	L	T					
29. MGJ Realty Corporation (Common Stock of Private Corp.)	E	Distribution	L	W					
30. Golson Realty Corporation (Common Stock of Private Corp.)	G	Distribution	O	W					
31. Golson Realty Corporation (Note of Private Corp.)	F	Interest	O	U					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

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Q=Appraisal
U=Book Value

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000
K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000
R=Cost (Real Estate Only)
V=Other

C=\$2,501 - \$5,000
I11=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000
S=Assessment
W=Estimated

D=\$5,001 - \$15,000
H2=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000
T=Cash Market

E=\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory H. Woods

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		205	443	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities -see schedule	1	294	067	Notes payable to relatives			
Unlisted securities - see schedule	1	360	000	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned - see schedule		425	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		8	500				
Cash value-life insurance							
Other assets itemize:							
Debevoise & Plimpton Cash Balance Plan		61	718				
Thrift Savings Plans		113	517				
				Total liabilities			0
				Net Worth	3	468	245
Total Assets	3	468	245	Total liabilities and net worth	3	468	245
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							