

AO 10* Rev. 1/2013		FINANCIAL DISCLOSURE REPORT NOMINATION FILING		Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)	
1. Person Reporting (last name, first, middle initial) Peterson, James D.		2. Court or Organization District Court - Western District of Wisconsin		3. Date of Report 01/06/2014	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge Active Status		5a. Report Type (check appropriate type) ☒ Nomination Date 01/06/2014 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report		6. Reporting Period 01/01/2013 to 12/22/2013	
7. Chambers or Office Address Godfrey & Kahn, S.C. One East Main Street, Suite 500 Madison, Wisconsin 53703					
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Shareholder	Godfrey & Kahn, S.C
2. President	Western District Bar Association
3. President-elect	Western District Bar Association
4. Co-trustee	Trust No. 1
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998-1999	Wisconsin Retirement System Plan; balance payable at retirement
2. 2009	Promissory Note - Godfrey & Kahn, S.C.; payable from 2019-2023
3.	

Name of Person Reporting	Date of Report
Peterson, James D.	01/06/2014

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Godfrey & Kahn, S.C. - salary	\$171,167.00
2. 2012	Godfrey & Kahn, S.C. - salary	\$293,772.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2013	Associated Banc-Corp - salary and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Peterson, James D.	01/06/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank cash account	A	Interest	M	T	Exempt				
2. Associated Bank cash account	A	Interest	J	T					
3. UW Credit Union cash account	A	Interest	J	T					
4. Promissory Note - Godfrey & Kahn, S.C.	B	Interest	K	T					
5. Associated Banc-Corp Stock	A	Dividend	K	T					
6. BMO Financial Group Stock	A	Dividend	K	T					
7. Fidelity National Information Services Stock	A	Dividend	J	T					
8. American Funds EuroPacific Growth Fund	A	Int./Div.	J	T					
9. BMO Short-Intermediate Bond Fund	B	Int./Div.	M	T					
10. Columbia Acorn Fund	E	Int./Div.	N	T					
11. Columbia Mid Cap Value Fund	B	Int./Div.	J	T					
12. CREF Stock		None	L	T					
13. Dodge & Cox Stock Fund	A	Int./Div.	K	T					
14. Fidelity Government Money Market Fund	A	Int./Div.	J	T					
15. Fidelity Small Cap Value Fund	A	Int./Div.	J	T					
16. Franklin Templeton Moderate Allocation Fund	A	Int./Div.	K	T					
17. Harbor International Institutional Fund	A	Int./Div.	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Spartan 500 Index Fund	A	Int./Div.	M	T					
19. Spartan Mid Cap Index Fund	A	Int./Div.	J	T					
20. Spartan Small Cap Index Fund	A	Int./Div.	J	T					
21. T. Rowe Price Growth Stock Fund	A	Int./Div.	K	T					
22. Vanguard Institutional Index Fund	A	Int./Div.	M	T					
23. Vanguard Balanced Index Fund	B	Int./Div.	N	T					
24. Godfrey & Kahn, S.C. Stock		None	J	U					
25. GK Phenomenelle Fund LLC Membership		None	K	U					
26. 2011 Water Street Investors I		None	J	U					
27. 2011 Water Street Investors II		None	J	U					
28. Northwestern Mutual Universal Life	A	Interest	J	T					
29. Vanguard 500 Index Fund	B	Int./Div.							
30. Alger Spectra Fund	A	Int./Div.							
31. Nuveen Real Estate Securities Fund	A	Int./Div.							

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
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Q =Appraisal

U =Book Value
- B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other
- C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated
- D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market
- E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT Page 6 of 7	Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust No. 1 has no reportable assets.
The Alger Spectra Fund and the Naveen Real Estate Securities Fund listed in Part VII were inadvertently omitted from the previous Nomination Report dated November 7, 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James D. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		169	495	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	1	322	701	Notes payable to relatives			
Unlisted securities – see schedule		36	294	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others		50	000	Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		197	202
Real estate owned – personal residence		347	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		82	000				
Cash value-life insurance		5	851				
Other assets itemize:							
Wisconsin Retirement System Pension Plan		5	210				
				Total liabilities		197	202
				Net Worth	1	821	314
Total Assets	2	018	551	Total liabilities and net worth	2	018	551
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor		7	650	Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							