

AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bough, Stephen R.	2. Court or Organization U.S. District Court, Western District of Missouri	3. Date of Report 01/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☒ Nomination Date 01/16/2014 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 917 W. 43rd Street, Suit 100 Kansas City, MO 64111		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. President	The Law Offices of Stephen R. Bough
2. Board member	UMKC Law Foundation
3. Governor	Missouri Association of Trial Attorneys
4. Director	Lawyers Encouraging Academic Performance
5. Governor	Missouri State University
6. Board Member	Justice Institute of Missouri
7. Director	Missouri Institute for Justice
8. Board Member	St. Paul's Episcopal Day School
9. Director	Safer Families for Missouri

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	The Law Offices of Stephen R. Bough	\$204,554.00
2. 2012	The Law Offices of Stephen R. Bough	\$179,927.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Stinson Morrison Hecker, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Department of Education - Direct Loan, MOHELA	Education Loans	M
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Kansas City, MO	D	Rent	M	W	Exempt				
2. Rental Property #2, Kansas City, MO	D	Rent	M	W					
3. Rental Property #3, Belton, MO	D	Rent	M	W					
4. The Law Offices of Stephen R. Bough		None	L	W					
5. Missouri Bank & Trust (CD, checking)	B	Interest	L	T					
6. American Funds AMCAP Fund	A	Dividend	J	T					
7. American Funds Capital World Bond Fund	A	Dividend	J	T					
8. American Funds EuroPacific Growth Fund	A	Dividend	J	T					
9. American Funds Fundamental Investors Fund	A	Dividend	J	T					
10. American Funds New Perspective Fund	A	Dividend	J	T					
11. Ivy Asset Strategy Fund	A	Dividend	M	T					
12. Ivy Cundill Global Value Fund	A	Dividend	J	T					
13. Ivy Dividend Opportunities Fund	A	Dividend	M	T					
14. Ivy Energy Fund		None	J	T					
15. Ivy European Opportunities Fund	A	Dividend	J	T					
16. Ivy Global Bond	A	Dividend	J	T					
17. Ivy Global Income Allocation Fund	B	Dividend	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

Name of Person Reporting	Date of Report
Bough, Stephen R.	01/16/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset - exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Ivy Global Natural Resources Fund		None	K	T					
19. Ivy High Income Fund	A	Dividend	K	T					
20. Ivy Managed International Opportunities Fund	A	Dividend	L	T					
21. Ivy Mid Cap Growth Fund		None	J	T					
22. Ivy Science and Technology Fund		None	K	T					
23. Ivy Small Cap Value Fund	A	Dividend	K	T					
24. Vanguard Target Retirement 2035 Fund		None	J	T					
25. Waddell & Reed Core Investment	A	Dividend	L	T					
26. Waddell & Reed Asset Strategy Fund	A	Dividend	M	T					
27. Waddell & Reed Continental Income Fund	A	Dividend	J	T					
28. Waddell & Reed Dividend Opportunities Fund	A	Dividend	K	T					
29. Waddell & Reed Global Bond Fund	A	Dividend	K	T					
30. Waddell & Reed High Income Fund	B	Dividend	K	T					
31. Waddell & Reed InvestEd Balanced Portfolio		None	L	T					
32. Waddell & Reed InvestEd Conservative Portfolio		None	J	T					
33. Waddell & Reed InvestEd Growth Portfolio		None	J	T					
34. Waddell & Reed New Concepts Fund		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
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P4 = More than \$50,000,000
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W = Estimated

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Name of Person Reporting

Bough, Stephen R.

Date of Report

01/16/2014

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Waddell & Reed Science and Technology Fund		None	M	T					
36. Waddell & Reed Small Cap Fund		None	J	T					
37. The Law Offices of Stephen R. Bough		None	L	W					

1. Income Gain Codes:
(See Columns B1 and D4)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen R. Bough

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks (including CDs)		75	811	Notes payable to banks-secured (auto)		7	534
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	1	024	077	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		333	200
Real estate owned – see schedule		988	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		112	000	Education loans		137	852
Cash value-life insurance							
Other assets itemize:							
The Law Offices of Stephen R. Bough		75	000				
				Total liabilities		478	586
				Net Worth	1	796	302
Total Assets	2	274	888	Total liabilities and net worth	2	274	888
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor		270	000	Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							