

AO 10 Rev. 1/2013		FINANCIAL DISCLOSURE REPORT NOMINATION FILING		Report Required by the Ethics in Government Act of 1975 (5 U.S.C. app. §§ 101-111)	
1. Person Reporting (last name, first, middle initial) Rayes, Douglas L.		2. Court or Organization U.S. District Court - District of Arizona		3. Date of Report 01/06/2014	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge		5a. Report Type (check appropriate type) ☒ Nomination Date 01/06/2014 ☐ Initial ☐ Annual ☐ Final		6. Reporting Period 01/01/2013 to 12/31/2013	
		5b. ☐ Amended Report			
7. Chambers or Office Address 201 West Jefferson Phoenix, AZ 85003					
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Vice President	Rayes Properties Inc.
2.	Trust	Trust #1
3.	Managing Partner	KJET Limited Partnership
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2000	Arizona Elected Officials Retirement Plan; No control.
2.	
3.	

Name of Person Reporting	Date of Report
Rayes, Douglas L.	01/06/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Maricopa County, Salary	\$123,240.00
2. 2013	Maricopa County, Salary	\$109,434.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	State of Arizona, Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Rayes, Douglas L.	01/06/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Apple Inc.	A	Dividend	K	T					
2. AT & T Inc. Stock	A	Dividend							
3. American Express Company (AXP) Stock	A	Dividend	L	T					
4. BMO Lloyd George EMRG MKTS EQ fund	B	Dividend	M	T					
5. BMO Mid Cap Value Fund	A	Dividend	K	T					
6. Chevron Corporation Stock	A	Dividend	K	T					
7. Cohen & Steers INSTL RLTY SCH	A	Dividend	J	T					
8. Cia De Bebidas PFD ADR Esponsored ADR (ABV) Stock	A	Dividend							
9. Cisco Systems Inc (CSCO) Stock	A	Dividend	K	T					
10. Disney Walt Co (DIS) Stock	A	Dividend	K	T					
11. Dodge & Cox Stock Fund #145	A	Dividend	N	T					
12. Golden Sacks Money Market	A	None	K	T					
13. Gateway Fund Y	A	Dividend	L	T					
14. General Electric Stock	A	Dividend	K	T					
15. Glaxosmithkline PLC (GSK) Stock	B	Dividend	K	T					
16. Intel Corporation (INTC) Stock	A	Dividend	K	T					
17. JP Morgan Chase & Co (JPM) Stock	A	Dividend							

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
I = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. McDonalds Corp (MCD)	A	Dividend	K	T					
19. Microsoft Corp (MSFT)	A	Dividend	K	T					
20. Novartis AG Spon ADR B Div (NVS) Stock	B	Dividend	K	T					
21. Nestle SA Reg B ADR (NSRGY) Stock	B	Dividend	K	T					
22. Novo-Nordisk A-S ADR F (NVO) Stock	B	Dividend	J	T					
23. PIMCO Commodity RR	A	Dividend	J	T					
24. Oakmark International Fund		None	K	T					
25. Rio Tinto PLC Spon ADR F sponsored ADR Stock	B	Dividend							
26. Royal BK CDA Montreal (RY) Stock	A	Dividend	K	T					
27. S A P AG ADR F (Sap) Stock	B	Dividend	K	T					
28. Schlumberger LD (SLB) Stock	A	Dividend	K	T					
29. Templeton INST Foreign SM Fund		None	K	T					
30. Toyota Stock	A	Distribution	K	T					
31. Tweedy Browne FD Global Value		None	K	T					
32. Visa (V) Stock	A	Dividend	L	T					
33. Wells Fargo & Co (WFC) Stock	A	Dividend	K	T					
34. SANOFI (SNY) Stock	B	Dividend	K	T					

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$30,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. EXXON (XOM)	A	Dividend	K	T					
36. HSBC Holding PLC ADR New F (HBC) Stock	B	Dividend							
37. Comcast Corp (CMCSA) Stock	A	Dividend	K	T					
38. SPDRS & P500 ETFTR Expiring 01/22/18 (SPY) Stock	B	Dividend							
39. Bank of America (BAC) Stock	A	Dividend							
40. Basf SE ADR (BASFY) Stock	A	Dividend							
41. Billiton Ltd. ADRF (BHP) Stock	B	Dividend							
42. China Mobile LTC ADR (CHL) Stock	A	Dividend							
43. Home Depot Inc. (HD) Stock	A	Dividend							
44. IBM Stock	A	Dividend							
45. Johnson & Johnson (JNJ) Stock	B	Dividend							
46. Coca Cola (KO) Stock	A	Dividend							
47. L'oreal (LRLCY)	A	Dividend							
48. LVMH MOET New ADR F (LVMUY) Stock	B	Dividend							
49. Oracle Corp (ORCL)	A	Dividend							
50. PepsiCo Inc. (PEP) Stock	B	Dividend							
51. Pfizer Inc. (PFE) Stock	B	Dividend							

1. Income Gain Codes.
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$30,001 - \$100,000
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Q = Appraisal
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R = Cost (Real Estate Only)
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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52. Proctor & Gamble (PG) Stock	A	Dividend							
53. Qualcomm Inc. (QCOM) Stock	A	Dividend							
54. State Street Equity 500 Index Fund		None	K	T					
55. United Technologies Corp (UTX) Stock	A	Dividend							
56. Vanguard INSTL Index Fund		None	N	T					
57. Vodafone Group New ADR (VOD) Stock	A	Dividend							
58. Nationwide Destination 2050 Fund		None	K	T					
59. Fidelity Contra Fund		None	J	T					
60. American Funds Euro Pacific Growth Fund		None	L	T					
61. T Rowe Price Mid-Cap Growth Fund		None	L	T					
62. Dodge and Cox International Fund		None	K	T					
63. Rayes Properties Inc Stock		None	J	W					
64. Bank of America Checking / Savings Accounts		None	J	T					
65. BMO Private Bank Money Market Funds MT		None	J	T					
66. US Savings Bonds Series EE		None	J	T					
67. American Funds - The Growth Fund of America		None	K	T					
68. Wasatch Core Growth Fund		None	K	T					

1. Income Gain Codes.
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

Name of Person Reporting	Date of Report
Rayes, Douglas L.	01/06/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. AVIVA Annuity	E	Distribution							

1. Income-Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes (See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT Page 9 of 10	Name of Person Reporting	Date of Report
	Rayes, Douglas L.	01/06/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I
Trust #1 Family Trust - No reportable assets
KJET Limited Partnership - Managing Partner - The assets held in KJET limited partnership are included in the list of assets in Part VII.
Asset listed in part VII, Line 2, was inadvertently omitted from the previous nomination report

FINANCIAL DISCLOSURE REPORT Page 10 of 10	Name of Person Reporting	Date of Report
	Rayes, Douglas L.	01/06/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas L. Rayes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		12	742	Notes payable to banks-secured			
U.S. Government securities--Series EE bonds		3	000	Notes payable to banks-unsecured			
Listed securities -- see schedule	2	228	945	Notes payable to relatives			
Unlisted securities -- see schedule		2	750	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned -- see schedule		706	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		62	000				
Cash value-life insurance							
Other assets itemize:							
Arizona Elected Officials Retirement Plan		243	058				
				Total liabilities			0
				Net Worth	3	258	495
Total Assets	3	258	495	Total liabilities and net worth	3	258	495
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							