

Report Required by the Ethics
Reform Act of 1990, Pub. L. No.
101-194, November 30, 1990
(5 U.S.C.A. App. 6, §§101-112)

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

NAME OF ORGANIZATION/ENTITY

See attached Attachment #1

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

PARTIES AND TERMS

participant Powers & Hall Professional Corporation Profit Sharing Plan and Trust -
a 401(k) profit sharing plan of my law firm from which I expect to
resign if confirmed

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of instructions.)

GROSS INCOME
(Yours, not spouse's)

3	attorney	Powers & Hall Professional Corporation (Boston, MA) 1991	\$ 130,852
3	attorney	Powers & Hall Professional Corporation (Boston, MA) 1992	\$ 34,000
		(to date)	\$
3			\$
3			\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Nathaniel M. GortonDate of Report
May 1, 1992

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1	EXEMPT	
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1	EXEMPT	\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities)

1	Grace Linnell (mother-in-law) (J)	mortgage note on condominium	L
2		occupied by Mrs. Linnell	
3			
4			
5			
6			
7			

* VALUE CODES: J = \$10,000 or less S = \$10,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$500,000
 K = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Nathaniel M. Gorton

Date of Report

May 1, 1992

VII. INVESTMENTS and TRUSTS — Income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (S) for joint ownership of separate individual and spouse, (J) for separate ownership by spouse, (DC) for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Net Capital Gain (A-M)	(2) Type of Income (div., rent, or int.)	(1) Value (J-S)	(2) Value (J-S)	If not exempt from disclosure				
					(1) Type of Transaction (buy, sell, exchange, etc.)	(2) Date	(3) Value	(4) Gain/Loss (A-M)	(5) Identity of counterparty (if private transaction)
☐ NONE (No reportable income, assets, or transactions)					E X E M P T - - - - -				
¹ common stock, Slade Gorton & Co., Inc. (MA corp.)	F*	S corp income	P	Q					
² common stock, Slade Gorton & Co., Inc. (MA corp.) (DC)	F*	S corp income	P	Q					
³ General Mills, Inc. (common stock)	D	div'd	N	T					
⁴ Fidelity Cash Reserves	D	div'd	M	T					
⁵ Vanguard World Fund	A	div'd	J	T					
⁶ Alex. Brown & Sons, Inc. Money Fund	C	div'd	M	T					
⁷ Crystal Brands (common stock)	A	div'd	J	T					
⁸ South Shore Savings Bank Waltham, MA (J)	B	int.	K	T					
⁹ Bank of New England Boston, MA (J)	A	int.	J	T					
¹⁰ Alex. Brown & Sons, Inc. Money Fund (DC)	C	div'd	M	T					
¹¹ Fidelity Cash Reserve (DC)	D	div'd	M	T					
¹² McCaw Communications (common stock)	A	(none)	J	T					
¹³ 38 Winchester St., Brookline MA condominium (S)	A	rent	L	W					
¹⁴ Powers & Hall, P.C. Profit Sharing Plan and Trust	A	(none)	G	T					
¹⁵ Boston Federal Savings IRA	A	(none)	J	T					
¹⁶									
¹⁷									
¹⁸									
¹⁹									
²⁰									

¹ Income/Gain Codes: A-\$1,000 or less (See Col. B1 & B4) B-\$1,001 to \$2,500 C-\$2,501 to \$5,000 D-\$5,001 to \$15,000 E-More than \$15,000
² Value Codes: J-\$15,000 or less K-\$15,001 to \$50,000 L-\$50,001 to \$100,000 M-\$100,001 to \$250,000 N-More than \$250,000
³ (See Col. C1 & C2) O-\$250,001 to \$500,000 P-\$500,001 to \$1,000,000 Q-More than \$1,000,000
⁴ Value Method Codes: U-Stock Value V-Other W-Estimated X-Cash/Market

* see explanation in Section VIII below

FINANCIAL DISCLOSURE REPORT (Form 278)

Name of Person Reporting

Richard M. Jones

Date of Report

May 1, 1962

VI. ADDITIONAL INFORMATION or EXPLANATIONS (Indicate page of Report)

Income reported in Section III by virtue of equity stock holdings of the reporting person and his dependent children in State Street & Co., Inc., a Massachusetts corporation, in accordance to their pro rata share of the income of that company which is based on its 5 companies whereby all corporate income is shared passed through and taxable to the individual stockholders whether or not such income is in fact distributed.

IX. CERTIFICATION

I am compliant with the provisions of 28 U.S.C. § 405 and of Advisory Opinion No. 59 of the Advisory Committee on Judicial Administration, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any substantially function in any business during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), at the outcome of such business.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it was applicable statutory provisions permitting non-disclosure.

I further certify that actual income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 2, § 301 et. seq., 5 U.S.C. § 1553 and Judicial Conference regulations.

Signature

Richard M. Jones

Date May 1, 1962

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSELY OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 4, § 304, AND 18 U.S.C. § 1001.)

FILED INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544