

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stoll, Kara F.	2. Court or Organization United States Court of Appeals, Federal Circuit	3. Date of Report 11/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Nominee Circuit Judge - Active Status	5a. Report Type (check appropriate type) ☒ Nomination Date 11/12/2014 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 11/10/2014
7. Chambers or Office Address Finnegan, Henderson, Farabow, Garrett & Dunner, LLP 901 New York Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	Finnegan, Henderson, Farabow, Garrett & Dunner, LLP
2. Co-Chair	Rules Committee for the Federal Circuit Bar Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Finnegan - Partnership distribution	\$677,297.00
2. 2013	Finnegan - Partnership distribution	\$811,218.00
3. 2012	Finnegan - Partnership distribution	\$787,435.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2014	The Boeing Company - salary
2. 2013	The Boeing Company - salary
3.	
4.	

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. PNC Bank - Accounts	A	Interest	M	T	Exempt				
2. Wells Fargo - Accounts	A	None	J	T	Exempt				
3. Finnegan Capital Accounts	A	None	N	T	Exempt				
4. Finnegan "LEO" Retirement Plan	A	Int./Div.	M	T	Exempt				
5. American Funds College 2027 Fund - 529	A	Int./Div.	L	T	Exempt				
6. American Funds College 2024 Fund - 529	A	Int./Div.	M	T	Exempt				
7. American Funds College 2021 Fund - 529	A	Int./Div.	M	T	Exempt				
8. College Counts Age-Based Moderate 9-12 Years C	A	Int./Div.	J	T	Exempt				
9. IRA #1	B	Dividend	K	T	Exempt				
10. - Vanguard 500 Index Fund Admiral Shares					Exempt				
11. 401(k) Account #1	C	Dividend	O	T	Exempt				
12. - Vanguard Retirement Savings Trust					Exempt				
13. - T Rowe Price New Horizons Fund					Exempt				
14. - Vanguard Windsor II Admiral Fund					Exempt				
15. - PIMCO Total Return Institutional Fund					Exempt				
16. - Vanguard Institutional Index Fund					Exempt				
17. - American Beacon Small Cap Value Institutional Fund					Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
P = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - MainStay Large Cap Growth Fund					Exempt				
19. iShares Russell 2000 Growth ETF	A	Dividend	K	T	Exempt				
20. PowerShares FTSE RAFI US 1000 Portfolio Fund	A	Dividend	J	T	Exempt				
21. SPDR DJ Wilshire REIT ETF	A	Dividend	J	T	Exempt				
22. Vanguard Mid-Cap Value Index Fund	A	Dividend	J	T	Exempt				
23. Vanguard Growth Index Fund	A	Dividend	J	T	Exempt				
24. Franklin Small Cap Value Fund	A	None	J	T	Exempt				
25. Mainstay Epoch Global Equity Yield Fund	A	Dividend	J	T	Exempt				
26. Oppenheimer Developing Markets Fund	A	Int./Div.	J	T	Exempt				
27. Oppenheimer International Growth Fund	A	Dividend	J	T	Exempt				
28. T Rowe Price Mid-Cap Growth Fund	A	None	J	T	Exempt				
29. Fidelity Advisor Floating Rate High Income Fund	A	Dividend	J	T	Exempt				
30. PIMCO Unconstrained Bond Fund Instl	A	Dividend	J	T	Exempt				
31. T Rowe Price VA - Tax Free Bond	A	Dividend	K	T	Exempt				
32. Templeton Global Bond Fund	A	Dividend	J	T	Exempt				
33. Boeing Lifecycle 2030 Fund	A	Dividend	J	T	Exempt				
34. SSgA S&P 500 Index Fund	A	Dividend	J	T	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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Name of Person Reporting

Stoll, Kara F.

Date of Report

11/13/2014

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35. PIMCO Global Bond Fund	A	Dividend	J	T	Exempt				
36. Boeing VIP Stable Value Fund	A	Dividend	J	T	Exempt				
37. Boeing International Companies Fund	A	Dividend	J	T	Exempt				
38. Boeing US Small/Mid Companies Fund	A	Dividend	J	T	Exempt				

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(See Columns B1 and D4)

2. Value Codes
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Kara F. Stoll**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		247	214	Notes payable to banks-secured (auto)		35	550
U.S. Government securities (Series EE Bonds)		1	000	Notes payable to banks-unsecured			
Listed securities – see schedule	1	275	026	Notes payable to relatives			
Unlisted securities – see schedule		524	430	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable (vacation home)		950	000
Real estate owned – see schedule	2	600	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		62	000				
Cash value-life insurance							
Other assets itemize:							
Thrift Savings Plan		332	792				
				Total liabilities		985	550
				Net Worth	4	056	912
Total Assets	5	042	462	Total liabilities and net worth	5	042	462
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							