

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MONTALI, DENNIS	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 10/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 450 Golden Gate Avenue Mail Box 36099 San Francisco, CA 947054		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JP Morgan Chase	Mortgage	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Real estate - ██████ Cty (\$340,000;fractional int.;acq 2/01		None	N	W	Donated (part)	01/31/17	K		
2. Acct. Union Bank of California, SF		None	J	T					
3. Acct. Wells Fargo Bank, Berkeley	A	Interest	J	T					
4. Alphabet		None	L	T					See Part VIII
5. AMG Managers Total Return Fund	B	Dividend	M	T					
6. American Century Global Fund	A	Dividend	J	T					
7. American Century Gvt Inc	B	Int./Div.	M	T					
8. American Water Works	C	Int./Div.	M	T	Buy	01/23/17	M		
9. Apple	B	Int./Div.	M	T	Buy (add'l)	11/29/17	J		See Part VIII
10. Audubon Cellars, Inc. common		None	J	U					
11. Automatic Data Processing common	D	Dividend	M	T					
12. Cardinal Health common	B	Dividend	K	T					
13. Cognizant Tech. Solutions		None	M	T					
14. Colgate Palmolive & Co common	C	Dividend	M	T					
15. Duke Energy	C	Dividend	L	T					
16. Dreyfus Active Midcap Fund A	A	Dividend	L	T					
17. Dreyfus Midcap Index Fund	B	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Dreyfus Muni Bond Fund	A	Dividend	K	T					
19. Dreyfus Cal Tax Ex Fund	D	Dividend	M	T					
20. Dow Du Pont	A	Dividend	K	T					Merger was Du Pont
21. Exxon Mobil Corporation common	E	Dividend	O	T					
22. Federated High Yield Trust	A	Dividend	J	T					
23. Genuine Parts common	D	Dividend	M	T					
24. Gilead Sciences Inc	B	Dividend	L	T					
25. Honeywell Int'l common	C	Dividend	M	T					
26. Intel	B	Dividend	L	T					
27. Ishares MSCI Japan	A	Dividend	K	T					
28. Johnson & Johnson	C	Dividend	M	T					
29. Kimberly Clark	D	Dividend	M	T					
30. Matthews Japan Fund Inc fka Japan Fund	A	Dividend	L	T					
31. McDonalds Corp common	D	Dividend	O	T					
32. Mid American Apt. Common	C	Dividend	M	T	Buy (add'l)	01/23/17	J		
33. Nestle SA	C	Dividend	M	T					
34. Novo-Nordisk	D	Dividend	N	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Pentair	B	Dividend	M	T					
36. Pepisco common	C	Dividend	M	T					
37. Pimco Commodity Real Ret Strat	B	Interest	J	T					
38. Proctor & Gamble Co. common	C	Dividend	M	T					
39. Purefunds ISE Cyber	A	Dividend	M	T	Buy (add'l)	01/23/17	K		
40. Schlumberger	C	Dividend	M	T					
41. Schwab Government MMF	A	Interest	N	T					
42. Schwab Corp common	B	Dividend	M	T					
43. Schwab 1000 Fund	A	Dividend	L	T					
44. Select Sector - Materials	B	Dividend	M	T					
45. SPDR Energt Select	A	Dividend	J	T					
46. S&P 500 Depository Receipts Unit)	B	Dividend	M	T					
47. SPDR Utilities Select	B	Dividend	L	T					
48. Stryker Corp	C	Dividend	M	T					
49. Sysco common	B	Dividend	L	T					
50. T. Rowe Price Int'l Stk Fund	B	Dividend	M	T					
51. T. Rowe Price Equity Fund	B	Dividend	L	T					

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52. T. Rowe Price Growth	A	Dividend	M	T					
53. T. Rowe Price - New Horizon	A	Dividend	L	T					
54. Templeton Developing Mkt	A	Dividend	K	T					
55. Templeton Global Inc. Fund	A	Dividend	K	T					
56. Templeton Global Bond	B	Dividend	K	T					
57. 3M	D	Dividend	N	T					
58. United Parcel Service	C	Dividend	M	T					
59. USAA Short term fund	B	Interest	L	T					
60. USAA Long term fund	C	Interest	L	T					
61. USX-US Steel Corp	A	Dividend	L	T					
62. United Technologies	C	Dividend	M	T					
63. Vanguard Intl Growth Fund	B	Dividend	M	T					
64. Vanguard 500 Fund	D	Dividend	N	T					
65. Vanguard Wellesley Inc. Fund	A	Interest	K	T					
66. Vanguard Intl. Equity Index (Eur. stk)	C	Dividend	L	T					
67. Vanguard Wellington Fund	B	Dividend	K	T					
68. Vanguard Fxd Inc. GNMA	C	Interest	L	T					

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69. Vanguard F-I Short Term	B	Interest	M	T					
70. Verizon	A	Dividend	K	T					
71. Vodafone	B	Dividend	K	T					
72. Walt Disney Hldg common	C	Dividend	M	T					
73. Wells Fargo Adv ST	A	Dividend	L	T					
74. Wisconsin Energy, fka Wisconsin Energy CP Hldg Co	C	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Alphabet stock bought 6/9/2016; Value K; no div; inadverantly omitted from 2016 report

Apple stock bought 6/9/2016 and 8/15/2016 Value L; ; div/int income B - inadvertantly omitted from 2016 report.A

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DENNIS MONTALI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544