

FD-278
Rev. 1-79**FINANCIAL DISCLOSURE REPORT**Report Required by the Judicial
Confidentiality Act of 1978, Pub. Law No.
95-116, 91 Stat. 1481, 1482
(5 U.S.C.A. App. 3, §§501-515)

1. Person Reporting (Last name, first, middle initial) HAGEN, DAVID W.	2. Court or Organization N/A	3. Date of Report 10/11/93
4. Title (Article III Judges indicate active or senior status; Appellate Judges indicate full- or part-time) N/A	5. Report Type (check appropriate type) ☒ Notification, Date _____ ____ Initial ____ Annual ____ Final	6. Reporting Period 1992
7. Residence or Office Address N/A	8. On the basis of the information contained in this report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reporting Officer's Signature _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of instructions.)**POSITION****NAME OF ORGANIZATION/ENTITY**☐**NONE** (No reportable positions)

President BRUCE R. THOMSON INN, AMERICAN INNS OF COURT

President GUILD & HAGEN, LTD.

Trustee GUILD & HAGEN, LTD. PROFIT SHARING TRUST

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of instructions.)**DATE****PARTIES AND TERMS**☐**NONE** (No reportable agreements)

GUILD & HAGEN, LTD. - CORPORATE STOCK BUY/SELL AGREEMENT,
PAYABLE OVER 36 MONTHS FOLLOWING SALE OF STOCK,
GUILD & HAGEN, LTD. PROFIT SHARING TRUST - PARTICIPANTS
ACCOUNT BALANCE PAYABLE UNDER TERMS OF INTERNAL REVENUE SERVICE
APPROVED PLAN.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of instructions.)**DATE****SOURCE AND TYPE****GROSS INCOME**

(Honoraria only)

(yours, not spouse's)

☐**NONE** (No reportable non-investment income)

1	1992	GUILD & HAGEN, LTD. - SALARY	\$ 165,000.
2			\$
3			\$
4			\$
5			\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

HAGEN, DAVID W.

Date of Report

10/11/93

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE

DESCRIPTION

☒ X

NONE (No cash reportable reimbursements or gifts)

1		
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☒ X

NONE (No cash reportable gifts)

1		\$
2		\$
3		\$
4		\$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities)

1	WINDTREE MORTGAGE CO.	FIRST TRUST DEED ON STAR HARBOR	K
2		RENTAL CONDOMINIUM	
3			
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

Date of Report:
10/11/93

[illegible]

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

HAGEN, DAVID W.

Date of Report

10/11/93

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

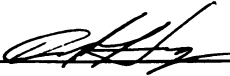
X. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

October 11, 1993

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks <i>L1</i>		<i>2,400</i>	Notes payable to banks—secured <i>L5</i>		<i>9,000</i>
U.S. Government securities—add schedule		—	Notes payable to banks—unsecured <i>L6</i>		<i>7,000</i>
Listed securities—add schedule		—	Notes payable to relatives <i>L7</i>		<i>4,300</i>
Unlisted securities—add schedule		—	Notes payable to others <i>L8</i>		<i>48,000</i>
Accounts and notes receivable:		—	Accounts and bills due		—
Due from relatives and friends		—	Unpaid income tax		—
Due from others <i>L2</i>		<i>48,000</i>	Other unpaid tax and interest		—
Doubtful		—	Real estate mortgages payable—add schedule <i>L9</i>		<i>154,000</i>
Real estate owned—add schedule <i>L3</i>		<i>437,000</i>	Chattel mortgages and other loans payable		—
Real estate mortgages receivable		—	Other debts—itemize:		—
Automobile and other personal property		<i>15,000</i>	CREDIT CARD		<i>650</i>
Cash value—life insurance		—			—
Other assets—itemize:		—			—
<i>IRA</i>		<i>10,000</i>			—
<i>PROFIT SHARING TRUST</i>		<i>450,000</i>			—
<i>EQUITY IN FIRM L4</i>		<i>240,000</i>	Total Liabilities		<i>261,650</i>
		—	Net Worth		<i>940,800</i>
Total Assets		<i>1,202,400</i>	Total Liabilities and net worth		<i>1,202,450</i>
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor		<i>NONE</i>	Are any assets pledged? (Add schedule.) <i>SEE L2 AND L8</i>		—
On leases or contracts		<i>NONE</i>	Are you defendant in any suits or legal actions?	<i>NO</i>	—
Legal Claims		<i>NONE</i>	Have you ever taken bankruptcy?	<i>NO</i>	—
Provision for Federal Income Tax		<i>NONE</i>			—
Other special debt		<i>NONE</i>			—