

FD-278
Rev. 1/93**FINANCIAL DISCLOSURE REPORT**Report Required by the United States
Federal Act of 1965, Pub. L. No.
111-114, November 20, 1968
(8 U.S.C.A. App. 6, 88101-112)

1. Person Reporting (Last name, first, middle initial) Jones, II, Okla	2. Court or Organization United States District Judge -Eastern District of Louisiana	3. Date of Report 8-29-94
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) United States District Judge-Active	5. Report Type (check appropriate type) ☒ Nomination, Date 8-25-94 ___ Initial ___ Annual ___ Final	6. Reporting Period 1/1/93 - 8/25/94
7. Chambers or Office Address 421 Loyola Avenue, Room 302 New Orleans, LA 70112	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations ___ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)**POSITION****NAME OF ORGANIZATION/ENTITY**☐ **NONE** (No reportable positions)Co-proprietorCommercial building, 755 Carondelet StreetNew Orleans, Louisiana**II. AGREEMENTS.** (Reporting individual only; see p. 8-9 of Instructions.)**DATE****PARTIES AND TERMS**☒ **NONE** (No reportable agreements)**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 9-12 of Instructions.)**DATE****SOURCE AND TYPE****GROSS INCOME**

(Honoraria only)

(Yours, not spouse's)

☐ **NONE** (No reportable non-investment income)

1	1993 Judicial Salary	\$ 72,746
2	Year to date 1994 Judicial Salary	\$ 55,600
3		\$
4		\$
5		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Jones, II, Okla

Date of Report

8-29-94

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE

DESCRIPTION

☒

NONE (No such reportable reimbursements or gifts)

1		
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☒

NONE (No such reportable gifts)

1		
2		\$
3		\$
4		\$
		\$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities)

1			
2	National Mortgage Co. (S)	Mortgage payments, Residential rental property	K
3	RTC	Mortgage payments, Commercial property	K
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$200,000
 N = \$200,001 to \$500,000 O = \$500,001 to \$1,000,000 P = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

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VII. INVESTMENTS AND TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(C)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Asset Code (A-E)	Type (S-P) Div. rent ac. int.)	Value Code (J-F)	Value Method Code (Q-W)	(1) Type (S-P) Buy, Sell, Mktcr, redemption	(2) Date Month-Day	(3) Value Code (J-F)	(4) Gain/Loss Code (X-Z)	(5) Identity of Buyer/Seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 NYL Mainstay Mutual Fund	A	int.	J	T					
2 NYL Mainstay Mutual Fund (S)	A	int.	J	T					
3 MFS Emerging Growth Mut. Fd. (DC)	A	div.	J	T					
4 Residential Rental Property 1415 Elysian Fields, New Orleans, LA (S)	B	rent	K	Q					
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes: A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to 5,000 D=\$5,001 to \$15,000
(See Col. B1 & B4) E=\$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=more than \$1,000,000
2 Value Codes: J=\$15,000 or less K=\$15,001 to \$50,000 L=\$50,001 to \$100,000 M=\$100,001 to \$250,000
(See Col. C1 & C2) N=\$250,001 to \$500,000 O=\$500,001 to \$1,000,000 P=more than \$1,000,000
3 Value Method Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(See Col. C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Jones, II, OklaDate of Report
8-29-94

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

8/29/94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks		9 078	Notes payable to banks—secured		
U.S. Government securities—add schedule			Notes payable to banks—unsecured No. 5		4 500
Listed securities—add schedule No. 3		12 512	Notes payable to relatives		
Unlisted securities—add schedule			Notes payable to others		
Accounts and notes receivable:			Accounts and bills due No. 4		11 100
Due from relatives and friends			Unpaid income tax		
Due from others			Other unpaid tax and interest		
Doubtful			Real estate mortgages payable—add schedule No. 2		174 000
Real estate owned—add schedule No. 2		290 000	Chattel mortgages and other liens payable No. 6		28 565
Real estate mortgages receivable			Other debts—itemize:		
Autos and other personal property		41 575			
Cash value—life insurance sched No. 1					
Other assets—itemize:					
Personal household items					
jewelry & artwork		228 000			
State of IA Retirement Plan		43 718	Total liabilities		218 165
			Net Worth		406 818
Total Assets		624 983	Total liabilities and net worth		624 983
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor	None		Are any assets pledged? (Add schedule.)	No	
On leases or contracts	None		Are you defendant in any suits or legal actions?	No	
Legal Claims	None		Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax	None				
Other special debt	None				

SCHEDULES

No. 1. Life Insurance.

Name of Person Insured	Name of Beneficiary	Name of Insurance Company	Type of Policy	Face Amount of Policy	Year Cash Surrender Value	Total Loans Against Policy	Amount of Yearly Premium	Is Policy Assigned? To Whom?
Kla Jones, II	Carolyn Jones	Old Line	Life Term	\$50,000		—	\$1,000	No

No. 2. Real Estate. The legal and equitable title to all the real estate listed in this statement is solely in the name of the undersigned, except as follows:

Location City/County	Description	Mortgages or Liens	Due Dates and Amounts of Payments	Mortgage or Lien Holder	Original Cost	Present Market Value	Unpaid Taxes	
							Year	Amount
3310 Annette	Personal Hh	109,000	1st-\$1,424	Sunbelt	\$150,000	\$165,000	—	—
1415 Elysian Fields	Rental-Bes.	25,000	1st- 424	Natl. Mort.	31,000	45,000	—	—
755 Carondelet	Commercial	40,000 (ownership)	1st-500	FDIC	125,000	75,000 (ownership)	—	—
Natchitoches Parish	Res. 1st	-00-	-00-	-00-	Gift	5,000	—	—

No. 3. Stocks and Bonds

Face Value Bonds No. Stock Shares	Description of Security	Registered in Name Of	Cost	Present Market Value	Income Received Last Year	If Placed Sale to Whom
382 Shares	Mainstay Mutual Fd	Carolyn Jones	\$8.70	\$3,323.40	\$220.66	—
827 shares	Mainstay Mutual Fd	Okla Jones, II	8.70	7,194.90	478.20	—
104 shares	NFS Emerging Growth B	O. Jarred Jones, III	14.11	1,993.68	39.04	—
TOTAL			\$31.51	\$12,511.98	\$737.99	—

No. 4 Accounts & Bills

Citibank VISA	4,700
MENNA Mastercard	1,500
Citibank VISA	4,900
	<u>11,100</u>

No. 5 Notes Payable to Banks-Unsecured

Liberty Bank and Trust	4,500
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No. 6 Chattel Mortgages and Other Liens Payable

Liberty Bank and Trust	
(1991 Mercedes 190E)	10,565

Lacap Federal Credit Union	
(1994 Nissan Quest Van)	18,000
	<u>28,565</u>