

AC 10
Rev. 1/94FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1994Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 13, 1989
(5 U.S.C. App. 4, 161-212)

1. Person Reporting (Last name, first, middle initial) Aiken, Ann L.	2. Court or Organization U.S. District Court, Oregon	3. Date of Report 11/30/95
4. Title (Article III Judges indicate active or senior status. Magistrate Judges indicate full- or part-time) Article III Judge (Active)	5. Report Type (check appropriate type) ☒ Nomination, Date 1/1 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/94-11/30/95
7. Chambers or Office Address Lane County Courthouse 125 East 8th Avenue, Eugene, OR 97401	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐ NONE (No reportable positions)

Director	Relief Nursery (Non-profit)
Director	Relief Nursery (Non-profit)
Director	Court Appointed Special Advocates (Non-profit)

II. AGREEMENTS. (Reporting individual only; see pp. 14-17 of Instructions.)

DATE PARTIES AND TERMS

☐ NONE (No reportable agreements)

1994-95	Public Employees Retirement System (P.E.R.S.) for the State of Oregon

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 18-25 of Instructions.)

DATE SOURCE AND TYPE

GROSS INCOME
(Spouse's Tax Exemption)☐ NONE (No reportable non-investment income)

1994 & 1995	State Board of Higher Education-State of Oregon (spouse)	\$ 63,250.00
1994 & 1995	State of Oregon-Judicial Branch	\$ 146,546.35
1995	Public Employees Retirement System (P.E.R.S.) (spouse)	\$ 35,200.00
		\$
		\$

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IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
☒ X	NONE (No such reportable reimbursements or gifts)	
1		
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
☒ X	NONE (No such reportable gifts)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of Instructions.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
☒ X	NONE (No reportable liabilities)		
1			
2			
3			
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = More than \$1,000,000

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VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(K)" after each asset exempt from prior disclosure.	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amt. 1 Code (A-B)	(2) Type (e.g., div., synt. or int.)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month/Day	(3) Value2 Code (J-P)	(4) Gain/Loss Code (A-W)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
2 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
3 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
4 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
5 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
6 Teachers Insurance Annuity Association (TIAA) (S)	D	DIV	K	E					
7 Connecticut Mutual (S) Investment Account (CMIA)	C	DIV	L	T					
8 U-Lane-O Credit Union (J)	A	INT	K	T					
9 Common Stock ¹ -State Bank Saunemin, Illinois	A	NONE	J	U					
10 I.R.A. (Jackson National Life)	C	DIV	K	T					
11 C.R.E.F. (Equity Account) (S)	F	DIV	K	T					
12									
13									
14									
15									
16									
17									
18									
1 Income/Gain Codes: (See Col. B1 & D6)	A=\$1,000 or less E=\$15,001 to \$50,000	B=\$1,001 to \$2,500 F=\$250,001 to \$100,000	C=\$2,501 to \$5,000 G=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 H=more than \$1,000,000					
2 Value Codes: (See Col. C1 & D3)	J=\$15,000 or less K=\$250,001 to \$500,000	L=\$15,001 to \$50,000 M=\$500,001 to \$1,000,000	N=\$50,001 to \$100,000 O=more than \$1,000,000	P=\$100,001 to \$250,000					
3 Value Method Codes: (See Col. C2)	Q=Appraisal U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market					

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

1. Pursuant to my mother's will, I hold the stock but my father receives the dividends from the stock until his death.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Ann Aiken

Date

November 30, 1995

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
One Columbus Circle, N.E., Suite 2-301
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations, of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	9	373.00	Notes payable to banks—secured	9	500.00
U.S. Government securities—self schedule			Notes payable to banks—unsecured	9	147.00
Joint securities—self schedule			Notes payable to relatives		
Jointed securities—self schedule			Notes payable to others		
Accounts and notes receivable:			Accounts and bills due		
Due from relatives and friends			Unpaid income tax		
Due from others			Other unpaid tax and interest		
Deferred			Real estate mortgages payable—self schedule	234	000.00
Joint notes—asset—self schedule	317	000.00	Other mortgages and other loans payable		
Joint notes—mortgages receivable			Other debts—secured		
Notes and other personal property	48	000.00	Credit Cards	7	514.00
Cash value—life insurance	9	000.00			
Joint notes—secured					
Investment in Mutual Investment Company, Inc.	45	000.00			
Life	74	000.00			
Joint—non-recourse—self schedule	281	000.00	Total liabilities	260	491.00
Joint—non-recourse—self schedule	51	000.00	Net Worth	517	882.00
Joint notes—Sec. attached also	762	373.00	Total liabilities and net worth	762	373.00
CONCURRENT LIABILITIES			GENERAL INFORMATION		
Are you owner, partner or guarantor	None		Are any assets pledged? (self schedule, etc.)	None	
Are you a co-owner	None		Are you indebted in any state or legal action?	None	
Are you a co-owner	None		Have you ever taken bankruptcy?	None	
Are you a co-owner	None				
Are you a co-owner	None				
Are you a co-owner	None				

• OTH

IRA (

Shares

Public

Decem

\$3,200

• REA

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