

AO-10
Rev. 1/96**FINANCIAL DISCLOSURE REPORT**
FOR CALENDAR YEAR 1995/1996Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 6, 101-112)

1. Person Reporting (Last name, first, middle initial) Aiken, Ann L.	2. Court or Organization U.S. District Court, Oregon	3. Date of Report 12/17/96
4. Title (Article III judges indicate active or senior status. Magistrate judges indicate full- or part-time) Article III Judge (Active)	5. Report Type (check appropriate type) ☒ Nomination, Date 1/1 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/96-12/16/96
7. Chambers or Office Address Lane County Courthouse 125 E. 8th Avenue, Eugene, OR 97401	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)POSITIONNAME OF ORGANIZATION/ENTITY☐**NONE** (No reportable positions)Director Relief Nursery (Non-profit) Board of DirectorsDirector Relief Nursery (Non-profit) Board of Stewards**II. AGREEMENTS.** (Reporting individual only; see pp. 14-17 of Instructions.)DATEPARTIES AND TERMS☐**NONE** (No reportable agreements)1995-96 Public Employees Retirement System (P.E.R.S.) for the State of Oregon**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse, see pp. 18-25 of Instructions.)DATESOURCE AND TYPEGROSS INCOME
(You're not spouse)☐**NONE** (No reportable non-investment income)

1	<u>1995</u>	<u>State Board of Higher Education-State of Oregon</u>	<u>\$ (S)</u>
2	<u>1995-1996</u>	<u>State of Oregon-Judicial Branch</u>	<u>\$147,203.43</u>
3	<u>1995-1996</u>	<u>Public Employees Retirement System (P.E.R.S.)</u>	<u>\$ (S)</u>
4	_____	_____	<u>\$</u>
5	_____	_____	<u>\$</u>

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Aiken, Ann L.

Date of Report

12/17/96

IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

SOURCEDESCRIPTION☒ X

NONE (No such reportable reimbursements or gifts)

1		
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)

SOURCEDESCRIPTIONVALUE☒ X

NONE (No such reportable gifts)

1		\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of Instructions.)

CREDITORDESCRIPTIONVALUE CODE*☒ X

NONE (No reportable liabilities)

1		
2		
3		
4		
5		
6		
7		

* VALUE CODES J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Aiken, Ann L.

Date of Report

12 / 7 / 96

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse. "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. 1 Code (A-M)	(2) Type (e.g., div., rent or int.)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-M)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
2 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
3 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
4 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
5 U-Lane-O Credit Union Savings (DC)	A	INT	J	T					
6 Teachers Insurance Annuity Association (TIAA) (S)	D	DIV	K	E					
7 Openheimer Funds Investment Account	C	DIV	L	T					
8 U-Lane-O Credit Union (J)	A	INT	K	T					
9 Common Stock-State Bank Saunemin, Illinois	A	NONE	J	U					
10 I.R.A. (Jackson National Life)	C	DIV	K	T					
11 C.R.E.F. (Equity Account) (S)	F	DIV	K	T					
12									
13									
14									
15									
16									
17									
18									
1 Income/Gain Codes: (See Col. B1 & D4)	A-\$1,000 or less E-\$15,001 to \$50,000		B-\$1,001 to \$2,500 F-\$50,001 to \$100,000		C-\$2,501 to \$5,000 G-\$100,001 to \$1,000,000		D-\$5,001 to \$15,000 H-More than \$1,000,000		
2 Value Codes: (See Col. C1 & D3)	J-\$15,000 or less N-\$250,001 to \$500,000		K-\$15,001 to \$50,000 O-\$500,001 to \$1,000,000		L-\$50,001 to \$100,000 P-More than \$1,000,000		M-\$100,001 to \$250,000		
3 Value Method Codes: (See Col. C3)	Q-Appraisal R-Book Value		S-Cost (real estate only) V-Other		U-Assessment X-Estimated		T-Cash/Market		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Aiken, Ann L.

Date of Report

12/17/96

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

1. Pursuant to my mother's will, I hold the stock but my father receives the dividends from the stock until his death.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Ann Aiken

Date

January 7, 1997

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
One Columbus Circle, N.E., Suite 2-301
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	8	500	00	Notes payable to banks—secured	7	000	00
U.S. Government securities—add schedule				Notes payable to banks—unsecured	4	500	00
Listed securities—add schedule				Notes payable to relatives			
Unlisted securities—add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid tax and interest			
Doubtful				Real estate mortgages payable—add schedule	244	873	00
** Real estate owned—add schedule	332	280	00	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts—itemize:			
Autos and other personal property	50	000	00	Credit Cards	6	750	00
Cash value—life insurance	13	000	00				
Other assets—itemize:							
Oppenheimer Funds Investment Account	75	000	00				
TIAA/CREP	65	000	00				
* PERS (Jim—approximately)	191	000	00	Total liabilities	263	123	00
PERS (Ann—approximately)	63	000	00	Net Worth	564	657	00
* Total Assets (See attached also)	827	780	00	Total liabilities and net worth	827	780	00
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor	None			Are any assets pledged? (Add schedule.)	No		
On leases or contracts	None			Are you defendant in any suits or legal actions?	No		
Legal Claims	None			Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax	None						
Other special debt	None						