

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting RENDELL, MARJORIE O.	Date of Report 05/13/96 (rev. 8/14/96)
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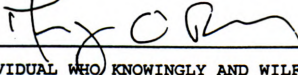
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 7, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date August 14, 1996

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. APP. 6, SECTION 104).

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

AO-10
Rev. 1/96

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Recovery Act of 1989, Pub. L.
101-194, November 10, 1989
(5 U.S.C. App. 6, 101-112)

NOMINATION

1. Person Reporting (Last name, first, middle initial) RENDELL, MARJORIE O.	2. Court or Organization U.S.D.C. (E.D. Pa.)	3. Date of Report 01/07/97
4. Title (Article III judges indicate active or senior status; District judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5. Report Type (check appropriate type) ☒ Nomination, Date 01/07/97 ____ Initial ____ Annual ____ Final	6. Reporting Period 01/01/96 - 12/31/96
7. Chambers or Office Address UNITED STATES DISTRICT COURT 601 MARKET STREET, ROOM 3114 PHILADELPHIA, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTE: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-12 of Instructions.)

☐ POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions)	

Director and Vice-Chair Avenue of the Arts, Inc.

Member, Board of Overseers College of Arts&Sciences-Univ. of Pennsylvania

Trustee Board of Trustees, University of Pennsylvania

II. AGREEMENTS. (Reporting individual only; see pp. 14-17 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements)	

none

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 18-25 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (Year, Per Report)
☐ NONE (No reportable non-investment income)		

1	<u>1996</u> <u>City of Philadelphia (spouse salary - amount n/a)</u>	\$ <u>0.</u>
2	_____	\$ _____
3	_____	\$ _____
4	_____	\$ _____
5	_____	\$ _____

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RENDELL, MARJORIE O.Date of Report
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IV. REIMBURSEMENTS AND GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of instruction

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1

EXEMPT

2

3

4

5

6

7

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of instructions

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1

EXEMPT

\$ 0

2

\$

3

\$

4

\$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities)

1

United Savings Bank

1/2 mortgage on vacation duplex

L

2

(one rental prop.; one personal use

3

4

5

6

7

* VALUE CODES: J = \$15,000 or less E = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

RENDELL, MARJORIE O.

Date of Report

01/07/97

VII. Page 1 INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(1)" for (a) spouse of reporting individual and spouse, "(2)" for separate ownership by spouse, "(3)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Am. I Code (A-I)	Type (a) Div., (b) Int., (c) Inc.	Value Code (C-F)	Value Method Code (G-H)	Type (a) Buy, (b) Sell, (c) Div., (d) Reg., (e) Trans.	Date: Month, Day	Value Code (J-P)	Gain Code (A-H)	Exemption of Spouse/Child (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Schwab Money Market Fund (IRA rollover)	B	Dividend	K	T					
2 Vanguard P/I Short Term Corp. (IRA rollover)	C	Dividend	L	T					
3 Brandywine (IRA rollover)	C	Dividend	L	T					
4 Oakmark Fund (IRA rollover)	C	Dividend	K	T					
5 Kauffman Fund (IRA rollover)	A	Dividend	K	T					
6 Scudder Global Fund (IRA rollover)	A	Dividend	K	T					
7 20th Century Int'l (IRA Rollover)	A	Dividend	K	T					
8 Federated 3-5 yr. Govt. (IRA R/O)	B	Dividend	K	T					
9 Cowen Opportunity (IRA R/O)	B	Dividend	K	T					
10 Dodge & Cox stock (IRA R/O)	A	Dividend	L	T					
11 Dreyfus S.I. Govt. (IRA R/O)	C	Dividend	L	T					
12 FAN Value (IRA R/O)	A	Dividend	K	T					
13 Janus Fund (IRA R/O)	A	Dividend	K	T					
14 PA. Mutual (IRA R/O)	C	Dividend	K	T					
15 RMS Equity (IRA R/O)	D	Dividend	K	T					
16 SoGen International (IRA R/O)	A	Dividend	K	T					
17 Schwab Money Mkt (IRA contrib)	A	Dividend	J	T					
18 Kaufmann (IRA contrib)	A	Dividend	J	T					
1 Income/Gain Codes: (See Col. B1 & D4)	A-\$1,000 or less B-\$15,001 to \$50,000		B-\$1,001 to \$2,500 F-\$250,001 to \$100,000		C-\$2,501 to \$5,000 G-\$100,001 to \$1,000,000		D-\$5,001 to \$15,000 H-More than \$1,000,000		
2 Value Codes: (See Col. C1 & D3)	J-\$15,000 or less N-\$250,001 to \$500,000		K-\$15,001 to \$50,000 O-\$500,001 to \$1,000,000		L-\$50,001 to \$100,000 P-More than \$1,000,000		M-\$100,001 to \$250,000		
3 Value Method Codes: (See Col. C2)	Q-Appraisal U-Book Value		R-Cost (real estate only) V-Other		S-Statement W-Listed		T-Cash/Market		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

RENDELL, MARJORIE O.

Date of Report

01/07/97

VII. Page 2 INVESTMENTS AND TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amt. Code (A-H)	Type div. or rent or inc. (I-J)	Value Code (U-P)	Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	Date: Month-Day	Value Code (J-P)	Gain Code (A-B)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
19 SoGen Int'l (IRA contrib)	A	Dividend	J	T					
20 Schwab Money Market (IRA R/O) (S)	A	Dividend	J	T					
21 SoGen Int'l (IRA R/O) (S)	A	Dividend	J	T					
22 Schwab Money Market (IRA contrib) (S)	A	Dividend	J	T					
23 William Blair Growth (IRA contrib) (S)	A	Dividend	K	T					
24 AT&T (J)	A	Dividend	J	T					
25 Abbott Laboratories (J)	A	Dividend	J	T					
26 Allergan (J)	A	Dividend	K	T					
27 Atlantic Energy (J)	A	Dividend	J	T					
28 Atlantic Richfield (J)	B	Dividend	L	T					
29 Beckman Instruments (J)	A	Dividend	J	T					
30 Coca Cola (J)	A	Dividend	L	T					
31 Diebold Inc. (J)	A	Dividend	J	T					
32 Dresser Industries (J)	A	Dividend	J	T					
33 DuPont E I DeMour (J)	A	Dividend	L	T					
34 Walt Disney Co. (J)	A	Dividend	J	T					
35 Equitable Cos. Inc. (J)	A	Dividend	J	T					
36 Federal Nat'l Mortgage Assoc. (J)	A	Dividend	K	T					
1 Income/Gain Codes: (See Col. B1 & D4)	A-\$1,000 or less B-\$15,001 to \$50,000		E-\$1,001 to \$2,500 F-\$50,001 to \$100,000		C-\$2,501 to \$5,000 G-\$100,001 to \$1,000,000		D-\$5,001 to \$15,000 H-More than \$1,000,000		
2 Value Codes: (See Col. C1 & C3)	J-\$15,000 or less K-\$250,001 to \$500,000		L-\$15,001 to \$50,000 O-\$500,001 to \$1,000,000		M-\$50,001 to \$100,000 P-More than \$1,000,000		N-\$100,001 to \$250,000		
3 Value Method Codes: (See Col. C2)	Q-Appraisal R-Book Value		S-Cost (real estate only) V-Other		T-Assessment W-Estimated				

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VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(K)" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. 1 Code (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value 1 Code (J-P)	(2) Value 2 Code (Q-W)	(1) Type (e.g., buy, sell, margin, redemption)	(2) Date: Month- Day	(3) Value 1 Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
	If not exempt from disclosure								
☐ NONE (No reportable income, assets, or transactions)									
37 Gannett (J)	A	Dividend	J	T					
38 General Electric (J)	A	Dividend	K	T					
39 Gillette Co. (J)	A	Dividend	K	T					
40 Household International (J)	A	Dividend	J	T					
41 Loews International (J)	A	Dividend	K	T					
42 Lucent Technologies (J)	A	Dividend	J	T					
43 McDonalds Corp. (J)	A	Dividend	K	T					
44 Mobil (J)	A	Dividend	K	T					
45 Motorola Inc. (J)	A	Dividend	J	T					
46 NL Industries (J)	A	Dividend	J	T					
47 PepsiCo Inc. (J)	A	Dividend	J	T					
48 Public Service Enterprise Grp. (J)	A	Dividend	J	T					
49 SmithKline Beecham ADR (J)	A	Dividend	M	T					
50 Sprint Corporation (J)	A	Dividend	K	T					
51 360 Communications Co. (J)	A	Dividend	J	T					
52 Tremont Corp. (J)	A	Dividend	J	T					
53 Vulcan Materials (J)	A	Dividend	J	T					
54 Wal-Mart Stores, Inc. (J)	A	Dividend	J	T					
1 Income/Gain Codes: A-\$1,000 or less (See Col. B1 & D4) B-\$1,001 to \$50,000 C-\$51,001 to \$5,000 D-\$5,001 to \$15,000 E-\$15,001 to \$50,000 F-\$50,001 to \$100,000 G-\$100,001 to \$1,000,000 H-more than \$1,000,000									
2 Value Codes: (See Col. C1 & D3) J-\$15,000 or less K-\$15,001 to \$50,000 L-\$50,001 to \$100,000 M-\$100,001 to \$250,000 N-\$250,001 to \$500,000 O-\$500,001 to \$1,000,000 P-More than \$1,000,000									
3 Value Method Codes: (See Col. C3) Q-Appraisal R-Cost (real estate only) S-Assessment T-Cash/Market U-Book Value V-Other W-Estimated									

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VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(K)" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
	(1) Asset Code (A-E)	(2) Type (e.g., div., rent, or int.)	(1) Value1 Code (J-P)	(2) Value2 Method Code (G-H)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure				(8) Identity of buyer/seller (if private transaction)
						(2) Date, Month, Day	(3) Value1 Code (J-P)	(4) Value2 Code (J-P)	(5) Gain Code (A-H)	
☐ NONE (No reportable income, assets, or transactions)										
55 Wells Fargo & Co. (J)	A	Dividend	K	T						
56 Xerox Corp. (J)	A	Dividend	K	T						
57 US T-Note 5.625% 8/97 (J)	A	Interest	J	T						
58 US T-Note 7.5% 12/98 (J)	A	Interest	K	T						
59 Muni Inv Trust Series 14 (J)	A	Dividend	J	T						
60 Muni Inv Trust Series 13 (J)	A	Dividend	J	T						
61 Dreyfus Short/Interm Tax Exempt Bond Fund (J)	C	Dividend	L	T						
62 Schwab Tax Exempt Muni (J)	C	Dividend	M	T						
63 Vanguard Muni Short Term Bond Fund (J)	B	Dividend	L	T						
64 Allergan, Inc. (DC)	A	Dividend	J	T						
65 Atlantic Energy (DC)	A	Dividend	J	T						
66 Atlantic Richfield (DC)	A	Dividend	J	T						
67 Beckman Instruments (DC)	A	Dividend	J	T						
68 Dresser Industries (DC)	A	Dividend	J	T						
69 DuPont (DC)	A	Dividend	J	T						
70 Huffy Corp. (DC)	A	Dividend	J	T						
71 Mobil (DC)	A	Dividend	J	T						
72 NL Industries (DC)	A	Dividend	J	T						
1 Income/Gain Codes: A-\$1,000 or less (See Col. B1 & D4) B-\$1,001 to \$2,500 C-\$2,501 to \$5,000 D-\$5,001 to \$15,000 E-\$15,001 to \$50,000 F-\$50,001 to \$100,000 G-\$100,001 to \$1,000,000 H-more than \$1,000,000 2 Value Codes: J-\$15,000 or less (See Col. C1 & D3) K-\$15,001 to \$50,000 L-\$50,001 to \$100,000 M-\$100,001 to \$250,000 N-\$250,001 to \$500,000 O-\$500,001 to \$1,000,000 P-more than \$1,000,000 3 Value Method Codes: Q-Appraisal U-Book Value R-Cost (real estate only) S-Assessment V-Other T-Cash/Market W-Estimated										

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VII. Page 5 INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children. See pp. 37-54 of instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(U)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Net. 1 Code (A-H)	Type (e.g., div., Int., Inst.)	Value3 Code (J-F)	Value Methods Code (G-W)	Type (e.g., buy, sell, margin, redemption)	(2) Date: Month/Day	(3) Value3 Code (J-F)	(4) Gain/ Loss Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
73 SmithKline Beecham ADR (DC)	A	Dividend	K	T					
74 Toys R Us (DC)	A	Dividend	J	T					
75 Off Acctri Trsy Srm 01 11/98 (DC)	A	Interest	J	T					
76 Schwab Money Markt FD (DC)	A	Dividend	K	T					
77									
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86									
87									
88									
89									
90									
1 Income/Gain Codes: (See Col. B1 & D4)	A-\$1,000 or less B-\$11,001 to \$50,000		S-\$1,001 to \$1,500 T-\$15,001 to \$100,000		C-\$1,501 to \$5,000 D-\$100,001 to \$1,000,000		E-\$5,001 to \$10,000 F-\$100,001 to \$1,000,000		
2 Value Codes: (See Col. C1 & D3)	J-\$11,000 or less K-\$110,001 to \$500,000		L-\$11,001 to \$50,000 M-\$500,001 to \$1,000,000		N-\$50,001 to \$100,000 O-More than \$1,000,000		P-\$100,001 to \$250,000 Q-More than \$250,000		
3 Value Method Codes: (See Col. C2)	Q-Appraisal R-Book Value		S-Cost (real estate only) V-Other		T-Assessment W-Estimated		Y-Cash/Market		