

1. Person Reporting (last name, first, middle initial) Donato, James J.	2. Court or Organization United States District Court for the Northern District of CA	3. Date of Report 07/02/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge nominee	5a. Report Type (check appropriate type) ☒ Nomination Date 06/20/2013 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2012 to 06/10/2013
	5b. ☐ Amended Report	
7. Chambers or Office Address Shearman & Sterling, Four Embarcadero Center, San Francisco CA 94111		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Shearman & Sterling LLP	Partner
2. Director	Berkeley Symphony
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Donato, James J.	07/02/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Shearman & Sterling LLP partner compensation	\$1,113,098.48
2. 2012	Shearman & Sterling LLP partner compensation	\$879,204.68
3. 2013 YTD	Shearman & Sterling LLP partner compensation	\$761,311.38
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2012	Santa Clara University Law School salary
2. 2013	Santa Clara University Law School salary
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Donato, James J.	07/02/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vanguard 529 Plan #1	C	Int./Div.	M	T	Exempt				
2. -- Vanguard Income Portfolio									
3. Vanguard 529 Plan #2	C	Int./Div.	M	T					
4. -- Vanguard Conservative Growth Portfolio									
5. Vanguard 529 Plan #3	C	Int./Div.	M	T					
6. -- Vanguard Moderate Growth Portfolio									
7. Brokerage Account #1									
8. -- Vanguard CA Inter Term Tx-Exempt FD Admiral Shares	A	Int./Div.	K	T					
9. -- Vanguard Inter Term Tx-Exempt FD Admiral Shares	A	Int./Div.	J	T					
10. -- Vanguard LTD Term Tx-Exempt FD Admiral Shares	A	Int./Div.	K	T					
11. -- Vanguard Short Term Tx-Exempt FD Admiral Shares	A	Int./Div.	K	T					
12. Brokerage Account #2									
13. -- Schwab Advisor Cash Reserve	A	Interest	L	T					
14. IRA #1									
15. -- SPDR S&P500 ETF TR	A	Int./Div.	J	T					
16. -- DFA US Lrg Cap Value Portfolio Instl	A	Int./Div.	J	T					
17. -- PIMCO Low Duration Ill FD Instl	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Donato, James J.	07/02/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -- PIMCO Real Return FD Instl	A	Int./Div.	J	T					
19. -- Vanguard Infl Protected Sec FD Admiral Shares	A	Int./Div.	J	T					
20. IRA #2									
21. -- SPDR S&P500 ETF TR	A	Int./Div.	K	T					
22. -- Vanguard REIT	A	Int./Div.	J	T					
23. -- PIMCO Foreign Bond FD Unhedged Instl	A	Int./Div.	J	T					
24. -- PIMCO Low Duration III FD Instl	A	Int./Div.	J	T					
25. -- PIMCO Total Return FD Instl	A	Int./Div.	J	T					
26. Brokerage 401(k) Account									
27. -- Ishares DJ Select Div FD Select Div Index FD	A	Int./Div.	J	T					
28. -- Ishares TR MSCI EAFE FD Index FD	B	Int./Div.	L	T					
29. -- PowerSHS QQQ Trust SER 1	B	Int./Div.	K	T					
30. -- SPDR S&P500 ETF TR	B	Int./Div.	L	T					
31. -- Vanguard REIT	A	Int./Div.	J	T					
32. -- DFA Emerg Mkts Core Equity Port Instl	A	Int./Div.	K	T					
33. -- DFA Intl Sm Cap Value Port Instl	A	Int./Div.	K	T					
34. -- DFA Intl Small Co Port Instl	A	Int./Div.	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -- DFA Int'l Value Port Instl	A	Int./Div.	K	T					
36. -- DFA Real Estate Securities Port Instl	A	Int./Div.	K	T					
37. -- DFA US Large Cap Value Port Instl	A	Int./Div.	K	T					
38. -- DFA US Micro Cap Port Instl	A	Int./Div.	K	T					
39. -- DFA US Small Cap Port Instl	A	Int./Div.	K	T					
40. -- DFA US Small Cap Value Port Instl	A	Int./Div.	K	T					
41. -- Gateway FD CL Y	A	Int./Div.	K	T					
42. -- PIMCO Foreign Bond FD Unhedged Instl	A	Int./Div.	K	T					
43. -- PIMCO Foreign Bond FD Instl Class	B	Int./Div.	L	T					
44. -- PIMCO Low Duration FD Instl	B	Int./Div.	L	T					
45. -- PIMCO Real Return FD Instl	A	Int./Div.	K	T					
46. -- PIMCO Short Term Instl	A	Int./Div.	J	T					
47. -- PIMCO Total Return FD Instl	D	Int./Div.	L	T					
48. -- Vanguard Equity Income FD Admiral Shares	B	Int./Div.	K	T					
49. -- Vanguard Infl Protected Securities Admiral Shares	A	Int./Div.	J	T					
50. -- Vanguard Short Term Bond Index Signal	D	Int./Div.	L	T					
51. -- Vanguard Total Bond Market Index Signal	D	Int./Div.	L	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
(See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
3. Value Method Codes P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
(See Column C2) Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -- Schwab Advisor Cash Reserve	A	Interest	J	T					
53. Shearman & Sterling HR-10 (no control)	A	Int./Div.	M	T					
54. Cooley 2001 CO Fund	A	Distribution	J	T					
55. Cooley 2002 VC Fund	A	Distribution	K	T					
56. Cooley 1999 VC Fund	A	Distribution	K	T					
57. Cooley I-2000 Fund	A	Distribution	K	T					
58. Cooley I-1999 Fund	A	Distribution	K	T					
59. New Resource Bank stock	A	Dividend	J	T					
60. IRA #3	B	Int./Div.	L	T					
61. -- Vanguard Wellington Fund									
62. Wells Fargo Cash Accounts	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James J. Donato**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		110	145	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	1	538	363	Notes payable to relatives			
Unlisted securities – see schedule		51	853	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		789	530
Real estate owned – personal residence	2	500	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		50	000				
Cash value-life insurance							
Other assets itemize:							
Shearman & Sterling HR-10 plan		181	563				
				Total liabilities		789	530
				Net Worth	3	642	394
Total Assets	4	431	924	Total liabilities and net worth	4	431	924
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							