

FD-278
Rev. 1/88

FINANCIAL DISCLOSURE REPORT **FOR CALENDAR YEAR 1997**

*Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)*

1. Person Reporting (Last name, first, middle initial) Buttram, Horace Dean, Jr.	2. Court or Organization U. S. District Court, Northern District of Alabama	3. Date of Report 8/31/98
4. Title <i>(Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)</i> U. S. District Judge Nominee	5. Report Type (check appropriate type) ☒ Nomination, Date _____ ____ Initial ____ Annual ____ Final	6. Reporting Period 1/1/97 thru 8/31/98
7. Chambers or Office Address Post Office Drawer 603 440 West Main Street Centre, Alabama 35960	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of Instructions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions.)

1	President, Secretary & Director	H. Dean Buttram, Jr. & Associates, P.C.
2	Director	Nettie King Brown Clearing House
3	Director	Cherokee County Substance Abuse Council

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of Instructions.)*

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements.)

1	1993	H. Dean Buttram, Jr., Attorney	SEP/IRA's
2	1996	H. Dean Buttram, Jr. & Associates, P.C.	SEP/IRA
3	1996 & 1998	Potential contingent fee to be received at the end of litigation in no more than ten cases based on a fixed percentage	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

DATE

SOURCE AND TYPE

GROSS INCOME *(Yours, not spouse's)*

☐

NONE (No reportable non-investment income.)

1	1996	City of Centre, Alabama - Salary as Municipal Judge	\$ 9,380
2	1996	H. Dean Buttram, Jr. & Associates, P.C. - Salary	\$ 130,544
3	1998	Net Income from Law Practice prior to P.C. formation	\$ 220,838
4	1997	City of Centre, Alabama - Salary as Municipal Judge	\$ 10,752
5	1997	H. Dean Buttram, Jr. & Associates, P.C. - Salary	\$ 268,130

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Rev. 1/98

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101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial)	2. Court or Organization	3. Date of Report
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5. ReportType (check appropriate type) ____ Nomination, Date _____ ____ Initial ____ Annual ____ Final	6. Reporting Period
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions.)

1

2

3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATEPARTIES AND TERMS☐

NONE (No reportable agreements.)

1

2

3

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATESOURCE AND TYPEGROSS INCOME
(yours, not spouse's)☐

NONE (No reportable non-investment income.)

1

1/1-8/31, 1998 City of Centre, Al - Salary as Municipal Judge \$ 7,000

2

1/1-8/31, 1998 H. Dean Buttram, Jr. & Associates, P.C. - Salary \$ 158,000

3

1998 (S) City of Gadsden Board of Education - Salary \$

4

1998 (S) Gadsden Regional Medical Center - Speech Therapy Fees \$

5

1998 (S) Al Teachers Retirement - Beneficiary's Pension \$

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H. Dean Buttram, Jr.

Date of Report

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VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

(a)

A. Description of Assets (including trust assets) <i>Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(Q)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period:		C. Gross value at end of reporting period:		D. Transactions during reporting period:				
	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., int.)	(1) Value Code1 (I-P)	(2) Value Method Code2 (Q-W)	(1) Type (e.g., buy, sell, margin, redemption)	(2) Date: Month/Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
<u>Mutual Funds</u>									
¹ Berger 100	B	Div	J	T					
² Berger Growth & Income	A	Div	J	T					
³ Berger Small Company	A	Div	J	T					
⁴ CGM Mutual	A	Div	J	T					
⁵ CGM Realty	A	Div	J	T					
⁶ Janus Worldwide	A	Div	J	T					
⁷ Kaufman	A	Div	J	T					
⁸ Linder Dividend	A	Div	J	T					
⁹ Mutual Qualified	A	Div	J	T					
¹⁰ Davis NY Venture	A	Div	J	T					
¹¹ Openheimer MSIG	A	Div	J	T					
¹² Putnam New Op	A	Div	J	T					
¹³ Robertson-Stephens V & G	B	Div	J	T					
¹⁴ Selected American Shares	A	Div	J	T					
¹⁵ So-Gen International	A	Div	J	T					
¹⁶ T. Rowe Price Sci & Tech	A	Div	J	T					
¹⁷ Von Wagoner Mid Cap	A	Div	J	T					
¹⁸ Von Wagoner Micro Cap	A	Div	J	T					

1. Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less P=\$50,001-\$100,000	D=\$1,001-\$7,500 G=\$100,001-\$1,000,000	O=\$7,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2. Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3. Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

(b)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Type (e.g., div., rent or int.)	(2) Value Code2 (I-P)	(1) Type (e.g., div., rent or int.)	(2) Value Code3 (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (I-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	Am. Code1 (A-H)		Am. Code1 (A-H)						
☐ NONE (No reportable income, assets, or transactions.)									
1 Warburg Pincas G & I	A	Div	J	T					
2 Warburg Pincas Int	A	Div	J	T					
3 Amsouth Bank Common	A	Div	J	T					
4 AT&T Common	A	Div	K	T					
5 Bell Atlantic Common	A	Div	J	T					
6 BellSouth Common	A	Div	K	T					
7 Bristol-Myers-Squibb	A	Div	K	T					
8 CIFRA Common	B	Div	K	T					
9 Citizens Utilities Common	A	Div	J	T					
10 Colorox Common	A	Div	K	T					
11 Coca-Cola Common	A	Div	J	T					
12 Compass Bank	A	Div	J	T					
13 Emerson Electronics Common	A	Div	J	T					
14 General Electric Common	A	Div	J	T					
15 Gillette Common	A	Div	J	T					
16 IBM Common	A	Div	K	T					
17 Intel Common	A	Div	K	T					
18 Lucent Technology	A	Div	J	T					
1. Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000				
2. Value Codes: (See Col. C1, D3)	F=\$15,000 or less N=\$250,001-\$500,000 P=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000					
3. Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market					

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(c) spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period:		C. Gross value at end of reporting period:		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure:			
	Am. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 McDonald's Common	A	Div	J	T					
2 Merck Common	A	Div	K	T					
3 Microsoft Common	A	Div	K	T					
4 Monsanto Common	A	Div	J	T					
5 Netscape Common	A	Div	J	T					
6 Regions Financial Common	A	Div	J	T					
7 SBC Common	A	Div	J	T					
8 Solutia Common	A	Div	J	T					
9 Southern Company Common	A	Div	J	T					
10 Southtrust Corporation Cm	A	Div	J	T					
11 Sun Microsystems Common	A	Div	J	T					
12 Wal-Mart Common	A	Div	K	T					
13 H. Dean Buttram, Jr. & Associates, P.C. Common			J	U					
14 Municipal Bonds due 10/1/10	A	Int	J	T					
15 Al Judicial Bldg Auth 7.3% Zero due 1/1/08	B	Int	K	T					
16 Al Bldg Renovation Fin Auth 7.2% due 9/1/02	A	Int	J	T					
17 Al State Docks 7.5% due 10/1/08	A	Int	J	T					
18 Al State Docks 7.6% due 10/1/12	B	Int	J	T					
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000 J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	B=\$1,001-\$2,500 O=\$100,001-\$1,000,000 K=\$15,001-\$50,000 Q=\$500,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000 M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	E=\$15,001-\$50,000					
3 Value Method Codes: Q=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market						

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	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (e.g., buy, sell, margin, redemption)	If not exempt from disclosure:			
						(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain: Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Bham-Jeff Civic Ctr 5.5% due 9/1/14	A	Int	J	T					
2 Al State Docks Zero 7.75% due 10/1/10	C	Int	K	T					
3 Al State GO Zero 7.4% due 3/1/04	C	Int	K	T					
4 Al State Bd of Ed 6.0% due 10/1/14	A	Int	J	T					
5 Al Water Pollution Auth 6.25% due 8/15/14	A	Int	J	T					
6 Al Water Pollution Auth 6.5% due 8/15/07	A	Int	J	T					
7 Auburn U Hsg & Dining 5.25% due 6/1/12	A	Int	J	T					
8 Bham Baptist Med Ctr 7.0% due 1/1/21	B	Int	J	T					
9 Bham Baptist Med Ctr 6.0% due 11/15/24	A	Int	J	T					
10 Bham Carraway 5.875% due 8/15/25	A	Int	J	T					
11 Cherokee County Healthcare 6.0% due 7/1/08	A	Int	J	T					
12 Cherokee County Water 5.8% due 5/7/17	A	Int	J	T					
13 Chilton County Water 5.5% due 4/1/21	A	Int	J	T					
14 Columbia, Al IDB 6.5% due 9/1/23	A	Int	J	T					
15 DCH Healthcare 6.0% due 6/1/21	A	Int	J	T					
16 Elmore County Water 7% due 7/1/17	B	Int	J	T					
17 Gadsden, Al Hospital 6.9% due 7/1/07	A	Int	J	T					
18 Hoover, Al BOE 6.625% due 2/1/12	A	Int	J	T					
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500	C=\$2,501-\$5,000	D=\$5,001-\$15,000	E=\$15,001-\$50,000					
2 Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000	P=\$250,001-\$500,000					
3 Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only)	S=Assessment	T=Cash/Market						
	U=Book value	V=Other	W=Estimated						

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A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
(1) (2)		(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure:			
Include, where the owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(P)" for ownership by dependent child		Type (e.g., div., int.)	Value: Code: (I-P)	Value: Method: Code: (Q-W)	Type: (e.g., buy, sell, merger, redemption)	(2) Date: Month/Day	(3) Value: Code: (I-P)	(4) Gain: Code: (A-H)	(5) Identity of buyer/seller (if private transaction)	
Place "(Q)" after each asset exempt from prior disclosure.										
NONE (No reportable income, assets, or transactions.)										
1	Hoover, Al BOE 6.2% due 2/15/19	B	Int	K						
2	Hoover, Al BOD 6.7% due 2/1/16	A	Int	J						
3	Huntsville HCH 6.375% due 6/1/22	B	Int	J						
4	Lauderdale County 6.0% due 7/1/21	A	Int	J						
5	Mobile Water & Sewer 5% due 1/1/09	A	Int	J						
6	Mountain Brook BOE Zero due 2/1/13	A	Int	J						
7	Russell County Water 6.25% due 4/1/20	A	Int	J						
8	UAB Hospital 5.5% due 10/1/10	A	Int	J						
9	Univ of South Al 6.0% due 11/15/09	A	Int	J						
10	West Jeff IDB 6.05% due 5/1/23	B	Int	J						
11	Wilsonville, Al Pollution Control 5.5% due 1/1/24	A	Int	J						
12	Wilsonville, Al IDB 6.75% due 7/1/28	B	Int	J						
13	Annuities									
	Annuity with Fidelity	C	Div	K						
14	Metropolitan Life Ins Annuity	B	Div	K						
15	Cash Value Life Insurance									
	Travelers Life Cash Value	B	Int	K						
16	Met Life Cash Value	A	Int	J						
17	New England Life Cash Value	C	Int	J						
18	Bank Accounts									
	Union State Bank Checking	None		J						
1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000 N=\$35,001-\$50,000 P=\$1,000,001-\$500,000	B=\$1,001-\$2,500 G=\$100,001-\$100,000 O=\$500,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 I=\$5,000,001-\$5,000,000	E=\$15,001-\$50,000					
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 P=\$1,000,001-\$5,000,000 R=\$5,000,001-\$10,000,000 S=\$10,000,001-\$50,000,000									
3	Value Method Codes: U=Appraised O=Book value V=Other W=Estimated	X=Cost (real estate only)	Y=Assessment	Z=Market						

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A. Description of Assets (including trust assets) <i>Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(Q)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g. div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month/Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (If private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Attorney's office & lot West Main, Centre, Al	None		M	W					
2 211 Acre Farm, Lookout Mountain, Al	A	rents	N	W					
3 A 1/2 interest in 162 acres on Lookout Mountain	None		N	W					
4 A 1/2 interest in 3.14 acres commercial real estate Centre, Al	None		L	W					
5 1/3 interest lot, Centre	None		K	W					
6 1/3 interest in 18 acres Centre, Al	None		M	W					
7 Real Estate Mortgage Garris 8.0% due 12/1/02	A	Int	J	T					
8 (S) IRA Merrill Lynch Cust Washington Mutual Fund	B	Div	J	T					
9 (S) Jefferson County Sewer Rev Bond 5.65% 9/1/08	A	Int	J	T					
10 (S) Retirement System of Al 403-B	B	Int	J	T					
11 Bank Accounts Regions Bank - CD	B	Int	K	T					
12 Farmers & Merchants- SVG	A	Int	J	T					
13 Union State Bank - CD	A	Int	J	T					
14									
15									
16									
17									
18									

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000 J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P=\$25,000,001-\$50,000,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 K=\$15,001-\$50,000 O=\$50,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000 M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$50,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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					(2) Date: Month-Day	(3) Value Code2 (J-F)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
☐ NONE (No reportable income, assets, or transactions.)									
1 IRA – A. G. Edwards Custodian									
U. S. Treasury Zero Coupon D notes	Int	L	T						
2 Washington Mutual Fund	A	Div	J	T					
3 McDonald's Common Stock	A	Div	J	T					
4 Wal-Mart Common Stock	A	Div	J	T					
5 FT DJIA Target 5 Trust Series 228	A	Div	J	T					
6 Berger Mutual Fund Custodian IRA	A	Div	J	T					
7 CGM Mutual Fund Custodian IRA	A	Div	J	T					
8 Fidelity Investment Cust IRA – Fidelity Mutual Fund	A	Div	J	T					
9 H. DEAN BUTTRAM, JR PC SEP U. S. Treasury Zeros	A	Int	J	T					
10 Davis Financial Mutual Fund	A	Div	J	T					
11 Davis NY Venture Mutual Fund	A	Div	J	T					
12 Fidelity Latin Am Mutual Fund	A	Div	J	T					
13 Fidelity Select Financial Mutual Fund	A	Div	J	T					
14 Fidelity Select Regional Banks Mutual Fund	A	Div	J	T					
15 Fidelity Select Home Finance Mutual Fund	A	Div	J	T					
16 Fidelity Select Electronic Mutual Fund	A	Div	J	T					
17 Seligman Com & Info Mutual Fund	A	Div	J	T					
18 Templeton Latin Am Mutual Fund	A	Div	J	T					
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H=\$1,000,001-\$5,000,000 I=\$5,000,001-\$50,000,000 J=More than \$50,000,000									
2 Value Codes: (See Col. C1, D3) K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P=\$1,000,001-\$5,000,000 Q=\$5,000,001-\$25,000,000 R=More than \$25,000,000									
3 Value Method Codes: O=Appraisal U=Book value R=Cost (real estate only) V=Other S=Assessment T=Cash/Market W=Estimated									

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

H. Dean Buttram, Jr.

Date of Report

August 31, 1998

VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of
 (h) spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Indicate, where applicable, owner of the asset by using the parenthetical: "(J)" for joint ownership of reporting individual and spouse; "(S)" for separate ownership by spouse; "(DC)" for ownership by dependent child. Place "(Q)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value: Code2 (J-P)	Value: Method: Code3 (Q-W)	Type (e.g., buy, sell, margin, redemption)	(2) Date: Month-Day	(3) Value: Code2 (J-P)	(4) Gain: Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 H. DEAN BUTTRAM, JR., PC, SEP - REGIONS BANK CUSTODIAN									
Am Home Products Common	A	Div	J	T					
2 Clorox Co Common Stock	A	Div	J	T					
3 Pfizer Inc. Common	A	Div	J	T					
4 Templeton Russia Fd	A	Div	J	T					
5 Warner Lamber Co Common	A	Div	J	T					
6 H. DEAN BUTTRAM, JR, SEP/IRA - REGIONS BANK CUSTODIAN									
U. S. Treasury Zeros	D	Int	L	I					
7 AIM Constellation Fund	A	Div	J	T					
8 AIM WeingartenFund	A	Div	J	T					
9 Davis NY Venture	A	Div	J	T					
10 Fidelity Contrafund	A	Div	K	T					
11 Fidelity Magellan	A	Div	J	T					
12 Fidelity Select Health Care A	A	Div	J	T					
13 Fidelity Select Paper & Forrest	A	Div	J	T					
14 Fidelity Select Telecommunications	A	Div	J	T					
15 Fidelity Select Electronics	A	Div	J	T					
16 Fidelity Select Computer	A	Div	J	T					
17 John Hancock Special Equities	A	Div	J	T					
18 Seligman Comm & Info Fund	A	Div	J	T					
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H=\$1,000,001-\$5,000,000 H2=More than \$5,000,000 2 Value Codes: J=\$15,000 or less (See Col. C1, D3) K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 Q=\$25,000,001-\$50,000,000 3 Value Method Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market U=Book value V=Other W=Estimated									

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

H. Dean Buttram, Jr.

Date of Report

August 31, 1998

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of

(i) spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by listing the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(D)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.) (J-P)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month- Day	(3) Value Code2 (U-F)	(4) Claim Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 H. DEAN BUTTRAM, JR., SEP/IRA - REGIONS BANK CUSTODIAN									
Vista Growth & Income Fund	A	Div	J	T					
2 Amsouth Bancorp Common	A	Div	J	T					
3 AT&T Corp Common	A	Div	J	T					
4 CIFRA SA Class V	A	Div	J	T					
5 Coca Cola Common	A	Div	J	T					
6 Johnson & Johnson Common	A	Div	J	T					
7 Lucent Technologies Common	A	Div	J	T					
8 NCR Corp		none	J	T					
9 Wal-Mart Common	A	Div	J	T					
10									
11									
12									
13									
14									
15									
16									
17									
18									

1. Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$25,001-\$50,000	C=\$2,501-\$5,000 H=\$5,001-\$10,000	D=\$10,001-\$15,000 I=\$15,001-\$20,000	E=\$20,001-\$25,000 J=\$25,001-\$30,000
2. Value Codes: (See Col. C1, D3)	A=\$15,000 or less N=\$250,001-\$500,000	B=\$15,001-\$50,000 O=\$50,001-\$100,000	C=\$50,001-\$100,000 P=\$100,001-\$250,000	D=\$100,001-\$250,000 Q=\$250,001-\$500,000	E=\$500,001-\$1,000,000 R=\$1,000,001-\$2,500,000
3. Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
H. Dean Buttram, Jr.	August 31, 1998

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☒ 1	NONE (No such reportable reimbursements.)	
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☒ 1	NONE (No such reportable gifts.)		
2			\$
3			\$
4			\$
5			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate, where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(C)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE	CODE*
☐ 1	NONE (No reportable liabilities.)			
2	Regions Bank	Open Signature Loan		K
3	Nathan Pearson, David Pearson, and Forrest Pearson	Real Estate Mortgage owed jointly on 162 acres on Lookout Mt, Al		M
4	Rebecca Jean Pritchett	Real Estate Mortgage on 7 acres		K
5				
6				

*Value Codes:	J-\$15,000 or less	K-\$15,001-\$50,000	L-\$50,001-\$100,000	M-\$100,001-\$250,000	N-\$250,001-\$500,000
	O-\$500,001-\$1,000,000	P1-\$1,000,001-\$5,000,000	P2-\$5,000,001-\$25,000,000		
	P3-\$25,000,001-\$50,000,000	P4-\$50,000,001 or more			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Horace Dean Buttram, Jr.	8/31/98

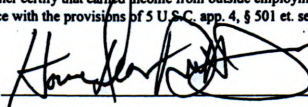
VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**IX. CERTIFICATION.**

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date August 31, 1998

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

LYONS & MORGAN, P.C.
Certified Public Accountants

105 MAE STREET • CENTRE, ALABAMA 35960
 OFFICE (205) 927-5431
 FAX (205) 927-8517

WALLACE E. LYONS, CPA
 DAVID L. MORGAN, CPA

MEMBERS
 ALABAMA SOCIETY OF CPAs
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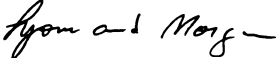
Mr. H. Dean Buttram, Jr.
 Centre, Alabama

We have compiled the accompanying statement of net worth of H. Dean Buttram, Jr. as of August 31, 1998, included in the accompanying prescribed form in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. We also have compiled the supplementary information presented in the prescribed form.

Our compilation was limited to presenting in the form prescribed by the United States Senate Judiciary Committee information that is the representation of the individual whose financial statement is presented. We have not audited or reviewed the financial statement and supplementary information referred to above and, accordingly, do not express an opinion or any other form of assurance on them.

The financial statement and the supplementary information are presented in accordance with the requirements of the United States Senate Judiciary Committee, which differ from generally accepted accounting principles. Accordingly, this financial statement and the supplementary information are not designed for those who are not informed about such differences.

This financial statement is intended to present the assets of H. Dean Buttram, Jr. at estimated current values and his liabilities at estimated current amounts.



Lyons & Morgan, P.C.
 September 1, 1998

FINANCIAL STATEMENT

NET WORTH

H. Dean Ruttray, Jr.

August 31, 1998

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	31,	600	Notes payable to banks—secured		
U.S. Government securities—add schedule			Notes payable to banks—unsecured Regions Bank, Centre, AL	44,	000
Listed securities—add schedule	805,	360	Notes payable to relatives		
Unlisted securities—add schedule	1,	000	Notes payable to others		
Accounts and notes receivable			Accounts and bills due		
Due from relatives and friends			Unpaid income tax		
Due from others			Other unpaid tax and interest		
Dividend			Real estate mortgages payable—add schedule	177,	857
Real estate owned—add schedule	1,735,	000	Chattel mortgages and other loans payable		
Real estate mortgages receivable	13,	750	Other debts—Number		
Automobile and other personal property	124,	000	Travelers Bank Master Card	4,	000
Cash value—life insurance	62,	572			
Other assets—Number					
Annuities	69,	604			
IRAs and SEPs (Schedule attached)	311,	959			
			Total Liabilities	225,	857
			Net Worth	2,928,	988
Total Assets	3,154,	845	Total Liabilities and net worth	3,154,	845
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor See Schedule	159,	857	Are any assets pledged? (Add schedule.)	No	
On leases or contracts			Are you defendant in any suits or legal actions?	No	
Legal Claims			Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax					
Other special debt					

H. DEAN BUTTRAM, JR.
STATEMENT OF FINANCIAL NET WORTH
AUGUST 31, 1998
SUPPORTING SCHEDULES (Page 1)

LISTED SECURITIES**Mutual Funds**

Berger 100	3,376
Berger G&I	888
Berger Small Company	1,353
CGM Mutual	4,428
CGM Realty	2,611
Janus Worldwide	2,666
Kaufman	1,737
Lindner Dividend	2,837
Mutual Qualified	4,582
Davis NY Venture	3,469
Openheimer MSIG	1,918
Putnam New OP/A	2,860
Robertson-Stephens Value & Growth	5,958
Selected American Shares	1,054
So-Gen International	800
T. Rowe Price Sci & Tech	4,843
Von Wagoner Mid Cap	735
Von Wagoner Micro Cap	717
Warburg Pincas G&I	1,397
Warburg Pincas International	<u>2,624</u>
Total Mutual Funds	50,853

Common Stocks

223.38 Shares AmSouth	7,679
316.32 Shares AT&T	15,856
310 Shares Bell Atlantic	13,680
324.48 Shares BellSouth	22,247
371.9 Shares Bristol-Myers-Squibb	36,400
2,539 Shares CIFRA	28,564
397.49 Shares Citizens Utilities	2,931
119.29 Shares Clorox	11,504
694.41 Shares Coca-Cola	45,223
169 Shares Compass Bank	5,577
196.4 Shares Emerson Electronics	11,195
165.72 Shares General Electric	13,258
149.85 Shares Gillette	12,325
144.24 Shares IBM	16,245
272.82 Shares Intel	19,421

See Accountants' Compilation Report.

H. DEAN BUTTRAM, JR.
 STATEMENT OF FINANCIAL NET WORTH
 AUGUST 31, 1998
 SUPPORTING SCHEDULES (Page 2)

LISTED SECURITIES**Common Stocks (Continued)**

107.73 Shares Lucent Technology	7,635
149.45 Shares McDonald's	8,425
189.64 Shares Merck	21,980
400 Shares Microsoft	38,360
100.50 Shares Monsanto	5,528
200 Shares Netscape	3,600
164.51 Shares Regions Financial	5,696
255.16 Shares SBC	9,721
20 Shares Solutia	450
352.62 Shares Southern Company	9,917
417.43 Shares SouthTrust Corporation	13,514
100 Shares Sun Microsystems	3,962
454.47 Shares Wal-Mart	<u>26,814</u>
Total Common Stocks	417,707

Municipal Bonds

State of Alabama Source Bonds	336,800
Total Municipal Bonds	336,800

TOTAL LISTED SECURITIES**805,360**UNLISTED SECURITIES

100 Shares H. Dean Buttram, Jr. & Associates, P.C.	1,000
<u>TOTAL UNLISTED SECURITIES</u>	<u>1,000</u>

See Accountants' Compilation Report.

H. DEAN BUTTRAM, JR.
 STATEMENT OF FINANCIAL NET WORTH
 AUGUST 31, 1998
 SUPPORTING SCHEDULES (Page 3)

REAL ESTATE OWNED

Personal residence and 4 waterfront lots on County Road 61, Centre, Al	370,000
Office and lot, West Main Street, Centre, Al	200,000
10 lots in J. B. Burkhalter Subdivision #2, and approximately 3 unimproved acres adjacent thereto, County Road 61, Centre, Al	75,000
211 acre farm on Lookout Mountain near Sand Rock, Al	422,000
1.25 Acre recreational lot on Weiss Lake	20,000
4 lots on the brow of Lookout Mountain near Sand Rock, Al	40,000
An undivided 1/2 interest in a 162 acre tract on the brow of Lookout Mountain owned with Charles Mauney, a Fort Payne, Al attorney	400,000
An undivided 1/2 interest in 3.14 acres of commercial property on Highway 411 West, Centre, Al owned with J. Wayne O'Neal, a Centre, Al realtor	60,000
An undivided 1/3 interest in a commercial lot in front of the Piggly Wiggly Shopping Center on Highway 411 West, Centre, Al owned with Bill Turner, a Centre, Al grocer and businessman and J. Kevin Grimes, a Centre, Al attorney	20,000
An undivided 1/3 interest in 18 acres of commercial property at the corner of Chestnut By Pass and King Street, Centre, Al owned with W. A. Ellis, III, a Centre, Al agribusinessman and Eric Ellis, a Centre, Al businessman	108,000
A remainder 2/3 interest in a residence in Piedmont, Al. The life estate is owned by Hattie Rappe, grandmother, and the 1/3 remainder interest by David Buttram, brother.	<u>20,000</u>

TOTAL REAL ESTATE OWNED

1,735,000

See Accountants' Compilation Report.

H. DEAN BUTTRAM, JR.
STATEMENT OF FINANCIAL NET WORTH
AUGUST 31, 1998
SUPPORTING SCHEDULES (Page 4)

RETIREMENT PLANS

A. G. Edwards Custodian of IRA	80,255
Regions Bank Custodian of H. Dean Buttram, Jr. SEP	180,000
Regions Bank Custodian of H. Dean Buttram, Jr. & Associates, P.C. SEP	43,000
Berger Mutual Fund custodian of IRA	1,492
CGM Mutual Fund custodian of IRA	1,412
Fidelity Investments custodian of IRA	<u>5,800</u>
<u>TOTAL RETIREMENT PLANS</u>	<u>311,959</u>

REAL ESTATE MORTGAGE PAYABLE

Mortgage and promissory note of \$35,000 to Rebecca Jean Pritchett dated April 1, 1998, due April 1, 1999, together with interest at 8%. Collateral is 7 acres of unimproved real estate. 35,000

1/2 of \$400,000 mortgage dated March 5, 1996, owed jointly with Charles Mauney to Nathan Pearson, David Pearson, and Forrest Pearson, payable in 14 semiannual installments of \$28,571.42 principal with interest of 8% added. Collateral is 162 acres of Lookout Mountain Brow property. 142.857

TOTAL REAL ESTATE MORTGAGES PAYABLE 177.857

See Accountants' Compilation Report.

H. DEAN BUTTRAM, JR.
STATEMENT OF FINANCIAL NET WORTH
AUGUST 31, 1998
SUPPORTING SCHEDULES (Page 5)

CONTINGENT LIABILITIES

Joint liability on real estate mortgage	142,857
Guarantor to Farmers & Merchants Bank, Centre, Al on note for Thomas J. Miller	2,100
Guarantor to Spencer Realty on an apartment lease for George King, III	<u>14,400</u>
<u>TOTAL CONTINGENT LIABILITIES</u>	<u>159,357</u>

See Accountants' Compilation Report.