

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1998Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial) Haynes, William, J., Jr.	2. Court or Organization Middle District of Tennessee	3. Date of Report 5/28/99
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final	6. Reporting Period 1/1/98 to 5/28/99
7. Chambers or Office Address United States Courthouse Room 649, 801 Broadway Nashville, TN 37203	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐ NONE (No reportable positions.)

1	Co-Guardian with Sister	William J. Haynes, Sr., Father
2	Lecturer-in-Law	Vanderbilt School of Law
3	Director	American Judicature Society

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☐ NONE (No reportable agreements.)

1	10/97	Vanderbilt Law School - To teach the Spring Trial
2		Advocacy Course for \$3,500
3		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)☒ X NONE (No reportable non-investment income.)

1		\$
2		\$
3		\$
4		\$
5		\$

FINANCIAL DISCLOSURE REPORT

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

SOURCE	DESCRIPTION
X NONE (No such reportable reimbursements.)	
1	
2	
3	
4	
5	
6	
7	

V. GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

SOURCE	DESCRIPTION	VALUE
X NONE (No such reportable gifts.)		
1		\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate, where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

CREDITOR	DESCRIPTION	VALUE CODE*
NONE (No reportable liabilities.)		
1 U.S. Group Loan Services	Son's College Loans	L
2 Fleet Bank	Daughter's College Loan	K
3 Student Loan Corp.	Daughter's College Loan	L
4 Fifth Third Bank	Mortgage on Condominium	L
5 American Express	Credit Card	J
6 American Express Education	Daughter's College Loan	J

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
G=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

William J. Haynes, Jr.

Date of Report

5/28/99

VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions)

A Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "Q" after each asset coming from prior disclosure	B Income during reporting period		C Gross value as of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	Indicate all transactions except from disclosure				
	Asset Code 1 (A-H)	Type of Div. Part or Int.	Value Code 1 (Q-P)	Value Method Code 1 (U-W)	Asset Code 2 (A-H)	Type of Div. Part or Int.	Value Code 2 (Q-P)	Value Method Code 2 (U-W)	Indicate if private transaction
☐ NONE (No reportable income, assets, or transactions.)									
1 Condominium	B	Rent	L	Q					
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									

1

Income/Gain Codes:
(See Col. B1, D4)

A=\$1,000 or less
F=\$50,001-\$100,000

B=\$1,001-\$2,500
G=\$100,001-\$1,000,000

C=\$2,501-\$5,000
H=\$5,001-\$15,000

D=\$15,001-\$50,000
E=\$50,001-\$100,000

F=\$100,001-\$1,000,000
G=\$1,000,001-\$5,000,000

H=\$5,000,001-\$15,000,000
I=\$15,000,001-\$50,000,000

J=\$50,000,001-\$100,000,000
K=\$100,000,001-\$500,000,000

L=\$500,000,001-\$1,000,000,000
M=\$1,000,000,001-\$5,000,000,000

N=\$5,000,000,001-\$15,000,000,000
O=\$15,000,000,001-\$50,000,000,000

P=\$50,000,000,001-\$100,000,000,000
Q=\$100,000,000,001-\$500,000,000,000

R=\$500,000,000,001-\$1,000,000,000,000
S=\$1,000,000,000,001-\$5,000,000,000,000

T=\$5,000,000,000,001-\$15,000,000,000,000
U=\$15,000,000,000,001-\$50,000,000,000,000

V=\$50,000,000,000,001-\$100,000,000,000,000
W=\$100,000,000,000,001-\$500,000,000,000,000

X=\$500,000,000,000,001-\$1,000,000,000,000,000
Y=\$1,000,000,000,000,001-\$5,000,000,000,000,000

Z=\$5,000,000,000,000,001-\$15,000,000,000,000,000
AA=\$15,000,000,000,000,001-\$50,000,000,000,000,000

2

Value Codes:
(See Col. C1, D3)

J=\$15,000 or less
N=\$250,001-\$500,000
P=\$25,000,001-\$50,000,000

K=\$15,001-\$50,000
O=\$500,001-\$1,000,000
Q=\$1,000,001-\$5,000,000

L=\$50,001-\$100,000
P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000

M=\$100,001-\$250,000
P3=\$25,000,001-\$50,000,000
P4=\$50,000,001-\$100,000,000

3

Value Method Codes:
(See Col. C2)

Q=Appraisal
U=Book value

R=Cost (real estate only)
V=Other

S=Assessment
W=Estimated

T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

William J. Haynes, Jr.

Date of Report

5/28/99

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Section VI. Liabilities.

CREDITORDESCRIPTIONVALUE CODE

Associates Capital Bank

Line of Credit (Spouse)

K

Attached is a copy of my Financial Disclosure Report for calendar year 1998.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities and to the best of my knowledge after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

5-28-99

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1998Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial) HAYNES, William J. Jr.	2. Court or Organization Middle District of Tennessee	3. Date of Report 5/15/99
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full time	5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final	6. Reporting Period 1/1/98 to 12/31/98
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions.)

1	Cotewardian with Sister	William J. HAYNES, Sr. Father
2	Lecturer - in - law	Vanderbilt School of Law
3	Director	American Judicature Society

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements.)

1	10/97	Vanderbilt Law School - to teach the Spring
2		Trial Advocacy Course for \$3,500
3		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)☒

NONE (No reportable non-investment income.)

1		\$
2		\$
3		\$
4		\$
5		\$

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

William J. Haynes Jr

5/15/99

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

SOURCE

DESCRIPTION



NONE (No such reportable reimbursements.)

1

2

3

4

5

6

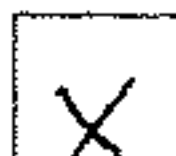
7

V. GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

SOURCE

DESCRIPTION

VALUE



NONE (No such reportable gifts.)

1

\$

2

\$

3

\$

4

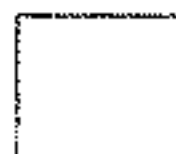
\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate, where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE



NONE (No reportable liabilities.)

1

U.S. Group Loan Services

Son's College Loans

L

2

Fleet Bank

Daughter's College loan

K

3

Student Loan Corp

Daughter's College loan

L

4

Fifth Third Bank

Mortgage on Condominium

L

5

American Express

Credit Card

J

6

American Express Education

Daughter's College loan

J

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

William J. Hayes Jr. 5/15/99

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets or transactions.)									
1 <i>RENTAL INCOME</i>		<i>RENT</i>	<i>L</i>	<i>G</i>					
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									

1	Income/Gain Codes (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

William J. Haynes, Jr.

Date of Report

5/15/99

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Section VI Liabilities (creditor)	Description	Value Code
Associates Capital Bank (Spouse)	Line of credit	K

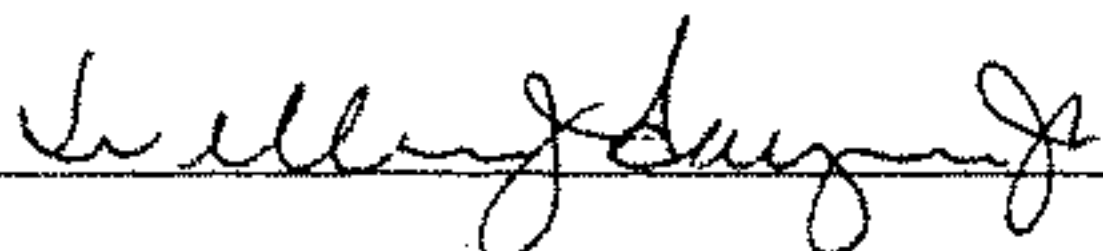
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Acti and to the best of my knowledge after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period c by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the ou of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if a accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because applicable statutory provisions permitting non-disclosure.

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Signature



Date

5/15/99

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United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544