

FINANCIAL DISCLOSURE REPORT
FOR NOMINEES

1. Person Reporting (Last name, first, middle initial) Griffin, Richard A.	2. Court or Organization US Court of Appeals Sixth Circuit	3. Date of Report 1-6-03
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (nominee)	5. Report Type (check appropriate type) X Nomination, Date 1-7-03 ___ Initial ___ Annual ___ Final	6. Reporting Period 1/1/2001 to 12/31/2002
7. Chambers or Office Address Ste 3310 10850 Traverse Hwy. Traverse City, MI 49684	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	Grand Traverse Zoological Society, Inc. (nonprofit 501(c)(3) dedicated to improve the Clinch Park Zoo, Traverse City, MI
1 President (uncompensated)	
2	
3	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 Pension Plan	Michigan Judges Retirement System, Defined Benefit 234 PA 1992
2	
3	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME
☐ NONE (No reportable non-investment income.)		
1		
2 2001	State of Michigan, salary	\$141,720.88
2 2002	State of Michigan, salary	\$151,441.00
3		\$
4		\$
5		\$

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCE

DESCRIPTION

☐ NONE (No such reportable reimbursements.)

1 Exempt

2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐ NONE (No such reportable gifts.)

1	Exempt	\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☒ NONE (No reportable liabilities.)

1		
2		
3		
4		
5		
6		

Income Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000	Value Codes: O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more
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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "X" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amt. Code (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code (I-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month Day	(3) Value Code (I-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, ...)									
1 Huntington Nat'l Bank	B	Int	J	T	Exempt				
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									

1. Income/Gain Codes (See Col. B1, D4):

A=\$1,000 or less

B=\$1,001-\$2,500

C=\$2,501-\$5,000

D=\$5,001-\$15,000

E=\$15,001-\$50,000

F=\$50,001-\$100,000

G=\$100,001-\$1,000,000

H1=\$1,000,001-\$5,000,000

H2=More than \$5,000,000

2. Value Codes (See Col. C1, D3):

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=More than \$50,000,000

3. Value Method Codes (See Col. C2):

Q=Appraisal

R=Cost (real estate only)

S=Assessment

T=Cash/Market

U=Book value

V=Other

W=Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

X. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature *Richard A. Griffin*

Date 1/6/2003

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS	
3. Mail signed original and 3 additional copies to:	Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544

RICHARD ALLEN GRIFFIN
FINANCIAL STATEMENT
NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		4,	800	Notes payable to banks-secured			0
U.S. Government securities-add schedule			0	Notes payable to banks-unsecured			0
Listed securities-add schedule			0	Notes payable to relatives			0
Unlisted securitiesBadd schedule			0	Notes payable to others			0
Accounts and notes receivable:			0	Accounts and bills due			365
Due from relatives and friends			0	Unpaid income tax			0
Due from others			0	Other unpaid income and interest			0
Doubtful			0	Real estate mortgages payable-add schedule - Residence		92,	500
Real estate owned-add schedule Residence 50% Grenelefe Condo		170, 30,	000 000	Chattel mortgages and other liens payable		3,	400
Real estate mortgages receivable			0	Other debts-itemize:			0
Autos and other personal property		100,	000				
Cash value-life insurance			0				
Other assets itemize:			0				
				Total liabilities		96,	265
				Net Worth		208,	535
Total Assets		304,	800	Total liabilities and net worth		304,	800
CONTINGENT LIABILITIES			0	GENERAL INFORMATION			
As endorser, comaker or guarantor			0	Are any assets pledged? (Add schedule) No.			
On leases or contracts			0	Are you defendant in any suits or legal actions? No.			
Legal Claims			0	Have you ever taken bankruptcy? No.			
Provision for Federal Income Tax			0				
Other special debt			0				