

AO-10
Rev. 1/91

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) Siler, Eugene E., Jr.	2. Court or Organization U.S. District Court, E&W/Ky.	3. Date of Report 6/21/91
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) Judge (active)	5. Report Type (check appropriate type) ☒ Nomination, Date <u>6/18/91</u> ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/91 to 6/21/91
7. Chambers or Office Address 207 U.S. Courthouse London, Kentucky 40741		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions)

Executor	Estate of Eugene Siler (Father)
Trustee	Intervivos Trust of A.T. Siler (Grandfather)
Executor	Estate of Lowell Siler (Mother)
Director	Baptist Hospitals, Inc. (non-profit corporation)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATEPARTIES AND TERMS☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATESOURCE AND TYPEGROSS INCOME
(yours, not spouse's)

(Honoraria only)

☒

NONE (No reportable non-investment income)

1		\$
2		\$
3		\$
4		\$
5		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Eugene E. Siler, Jr.

Date of Report
6/21/91

IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCEDESCRIPTION☐

NONE (No such reportable reimbursements or gifts)

1	Baptist Hospitals, Inc.	Transportation, lodging and food for Hospital Medical
2	(non-profit corporation)	Staff and Trustees Conference at Palm Springs, CA
3		April 14-18, 1991
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCEDESCRIPTIONVALUE☒

X NONE (No such reportable gifts)

1		\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITORDESCRIPTIONVALUE CODE*☐

NONE (No reportable liabilities)

1	Bank of Williamsburg	personal loan	J
2			
3			
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

Date of Report
6/21/91

A. Description of Assets (Including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Indicate, where applicable, owner of the asset by using the parenthetical notation: (S) for sole ownership, (J) for joint ownership, (P) for partnership, (C) for corporate ownership, (T) for trust ownership, (E) for estate ownership, (G) for gift ownership, (O) for other ownership.		(1) Amt. Code (A-B)	(2) Type (S, J, P, C, T, E, O) rent or int.	(1) Value Code (1-9)	(2) Value Method Code (G-W)	(1) Type (S, J, P, C, T, E, O) buy, sell, swap, redemp- tion	(2) Date: Month- Day	(3) Value Code (1-9)	(4) Gain: Code (A-B)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)										
1 Bank of Williamsburg										
2 (A) common stock		A	DIV	J	U					
3 (B) NOW account		A	INT	J	T					
4 (C) IRA		A	INT	J	T					
5 (D) IRA (S)		A	INT	J	T					
6										
7 American Gen. Corp.										
8 (common)		A	DIV	J	T					
9										
10 First Amer. Corp.										
11 (common)		A		K	T					
12										
13 United Whitley Corp.										
14 (common)		A		J	U					
15										
16 1/3 undivided interest of about 40A of unimproved realty on Mt. Morgan in Williamsburg and Whitley										
17 Co. adjacent to home		A		K	S					
18										
19										
20										

1 Income/Gain Codes: A=\$1,000 or less
(See Col. B1 & D4) B=\$15,000 or less
(See Col. C1 & D3) W=\$250,000 or less

2 Value Method Codes: U=Appraisal
(See Col. C2) W=Book Value

3 Income/Gain Codes: M=\$1,001 to \$2,500
F=\$30,001 to \$100,000
O=\$100,001 to \$1,000,000
R=Cost (real estate only)
V=Other

C=\$2,501 to 5,000
Q=\$100,001 to \$1,000,000
L=\$50,001 to \$100,000
P=More than \$1,000,000
A=Assessment
W=Estimated

D=\$5,001 to \$15,000
B=More than \$1,000,000
N=\$100,001 to \$250,000
T=Cash/Market

Name of Person Reporting EUGENE SILER	Date of Report 6/21/91
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VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pages 18-27 of Instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period	C. Gross Value at end of reporting period	D. Transactions during reporting period						
Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	(1) Amt. Code (A-M)	(2) Type (e.g., div., rent, int.) Int.)	(1) Value Code (J-P)	(2) Value Code (Q-W)	(1) Type (ex: buy, sell, mar- gar, red- emption)	If not exempt from disclosure			(5) Identity of buyer/ seller (if private transaction)
					(2) Date: Day	(2) Value Method/ Code (J-P)	(4) Gain Code (A-M)		

☐ **NONE** (No reportable income, assets, or transactions)

100 acres realty in Whitley									
Co. near Laurel Lake, Black									
Diamond and Hightop Roads	A		X	S					
The following holdings are in									
the Estate of my parents,									
Eugene Siler, Sr., who died									
in 1987, and Lowell Siler, who									
died in 1989. I am a									
beneficiary. The listings are									
the approximate value and									

Income/Gain Codes: A=\$1,000 or less (See col B1 & D4)	B=\$15,001 to \$50,000	C=\$50,001 to \$100,000	D=\$100,001 to \$1,000,000	E=\$1,000,001 to \$15,000,000
Value Codes: J=\$15,000 or less (See col C1 & D3)	K=\$250,001 to \$500,000	L=\$500,001 to \$1,000,000	M=\$1,000,001 to \$250,000	N=\$250,001 to \$500,000
Value Method Codes: Q=Appraisal (See column C2)	R=Cost (real estate only)	S=Assessment	T=Cash/Market	U=Book Value
	V=Other	W=Estimated		

Name of Person Reporting
EUGENE SILER

Date of Report
6/21/91

VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pages 18-27 of Instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period	C. Gross Value at end of reporting period	D. Transactions during reporting period
Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	(1) Amt. Code1 (A-W)	(2) Type (e.g. div. rent, int.) (J-P)	(1) Value Meth. Code2 (J-P)

If not exempt from disclosure				
(1) Type (e.g. buy, sell, mgt, etc.) Day	(2) Date	(3) Value Meth. Code2 (J-P)	(4) Gain Code1 (A-W)	(5) Identity of buyer/seller (if private transaction)

☐ **NONE** (No reportable income, assets, or transactions)

Income of my beneficial									
Interest only.									
CISW Corp. (common stock)	A	DIV	J	T					
Ky. Mine Supply Corp. (common)	A	DIV	J	T					
Am. S.W. Finance (debenture)	A	IWT	J	T					
Mobil Oil (common)	A	DIV	J	T					
GTE (common)	A	DIV	K	T					
Texas Utilities (common)	B	DIV	K	T					
Exxon (common)	B	DIV	M	T					
Am. Home Products (common)	A	DIV	J	T					

Income/Gain Codes: A=\$1,000 or less (See col B1 & D4)	B=\$1,001 to \$2,500 F=\$250,001 to \$100,000	C=\$2,501 to \$5,000 G=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 H=More than \$1,000,000
Value Codes: J=\$15,000 or less (See col C1 & D3)	K=\$15,001 to \$50,000 M=\$250,001 to \$500,000	L=\$50,001 to \$100,000 P=More than \$1,000,000	N=\$100,001 to \$250,000
Value Method Codes: Q=Appraisal (See column C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

Name of Person Reporting RUGGERO BILIER	Date of Report 6/21/91
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VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pages 18-27 of Instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period	C. Gross Value at end of reporting period		D. Transactions during reporting period					
Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	(1) Amt. Code1 (A-H)	(2) Type (e.g., div, rent, int.)	(1) Value Code2 (J-P)	(2) Value Meth. Code3 (Q-W)	(1) Type (ent. buy, sell, mar- gar, red- emption)	(2) Dates Meth/ Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/ seller (if private transaction)
	If not exempt from disclosure								

☐ **NONE** (No reportable income, assets, or transactions)

SLM Govt. Securities Fund									
(mutual fund)	A	DIV	J	T					
Bank of Williamsburg (common)	A	DIV	J	U					
Bank of Williamsburg (Cde and									
NOW accounts)	A	INT	J	T					
Parents' residence	A		X	Q					
Chevron (common)	A	DIV	J	T					
Arcoo (common)	A	DIV	J	T					
Ashland Oil (common)	A	DIV	J	T					

Income/Gain Codes: A=\$1,000 or less (See col B1 & D4)	B=\$15,001 to \$50,000	B=\$1,001 to \$2,500 P=\$50,001 to \$100,000	C=\$2,501 to \$5,000 Q=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 R=More than \$1,000,000
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Value Codes: J=\$15,000 or less (See col C1 & D3)	K=\$250,001 to \$500,000	L=\$15,001 to \$50,000 O=\$500,001 to \$1,000,000	M=\$50,001 to \$100,000 P=More than \$1,000,000	N=\$100,001 to \$250,000
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Value Method Codes: Q=Appraisal (See column C2)	U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market
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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Eugene E. Siler, Jr.

Date of Report

6/21/91

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate section of Report.)

7

Check to affirm that differences in investments from those reported in prior year are exempt from disclosure.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

Signature

Date June 21, 1991

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (28 U.S.C.A. APP. I, § 304, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS

1. Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

2. Deliver one copy to the Clerk of the Court on which you sit or serve. (Judicial employees not associated with a specific court, such as employees of the Administrative Office and the Federal Judicial Center, need not file a copy with any court.)

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