

AO 10 Rev. 1/2008		FINANCIAL DISCLOSURE REPORT NOMINATION FILING		Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)	
1. Person Reporting (last name, first, middle initial) Casper, Denise J.		2. Court or Organization U.S. District Court for the District of Massachusetts		3. Date of Report 04/26/2010	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge- Nominee		5a. Report Type (check appropriate type) ☒ Nomination, Date 4/28/2010 ☐ Initial ☐ Annual ☐ Final		6. Reporting Period 1/1/2009 to 3/31/2010	
7. Chambers or Office Address Middlesex District Attorney's Office 15 Commonwealth Avenue Woburn, Massachusetts 01801		5b. ☐ Amended Report			
		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Director	Big Sister Association of Greater Boston, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Casper, Denise J.

Date of Report

04/26/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	Middlesex District Attorney's Office, wages	\$28,424.00
2. 2009	Middlesex District Attorney's Office, wages	\$110,250.00
3. 2008	Middlesex District Attorney's Office, wages	\$110,250.00
4. 2008	Harvard Law School Wasserstein Fellowship, Honorarium	\$500.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009-2010	Thermo Fisher Scientific- Wages
2. 2009	Advisory Board Company- Board of Directors Fees
3. 2009-2010	Zimmer Holdings- Board of Directors Fees
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Casper, Denise J.	04/26/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Metropolitan Life Insurance Annuity	D	Distribution			Exempt				
2. Bank of America Bank accounts	D	Interest	O	T					
3. Fidelity Bank Account	A	Interest	L	T					
4. Goldman Sachs Bank Account	A	Int./Div.	N	T					
5. Vanguard Tax Free Money Market	C	Int./Div.	P1	T					
6. United States Savings Bonds	A	Interest	J	W					
7. Thermo Fisher Common Stock		None	P1	T					
8. Thermo Fisher Stock Options		None	P2	T					
9. Thermo Fisher Restricted Stock		None	N	T					
10. Thermo Fisher Restricted Stock Units		None	P2	T					
11. Trust #1 assets listed with-- below									
12. --Goldman Sachs High Yield Mutual Fund	C	Int./Div.	L	T					
13. --Goldman Sachs Municipal Income Mutual fund	C	Int./Div.	L	T					
14. --Standard and Poors 500 Depository Receipts Mutual Fund	B	Dividend	L	T					
15. --Goldman Sachs Emerging Markets Equity Fund GEMIX	A	Dividend	K	T					
16. --Goldman Saches Short Duration Tax Free Mutual Fund	B	Int./Div.	L	T					
17. Goldman Sachs 1% 10/20/2014 Linked 10 yr notes- Bond	A	Int./Div.	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Goldman Sachs Institutional LI Assets Fed Portfolio Fund	A	Interest	N	T					
19. GS Investment Grade Credit Fund A	D	Int./Div.	M	T					
20. GS Hedge Fund Opportunities 2007 limited partnership	B	Int./Div.	N	W					
21. GS Short Duration T/F Mutual Fund Class A	B	Int./Div.	L	T					
22. Kentucky St Propoerty & Bldg Rev 5.375% 10/1/18 bond	D	Interest	M	T					
23. NJ Trans TR FD Auth Rev 5% 12/15/18 bond	C	Interest	L	T					
24. Chicago IL GO 5% 12/1/19 bond	C	Interest	M	T					
25. Greene Cnty Ohio SWR Sys rev 5.625% 12/1/20 bond	D	Interest	M	T					
26. Kansas Dept of Trans Rev 5% 9/1/22 bond	C	Interest	M	T					
27. Detroit MI Sch Dist GO 5.375% 5/1/24 bond	D	Interest	M	T					
28. Univ Mass Bldg Auth Proj Rev 5.25% 11/1/26 bond	D	Interest	M	T					
29. Univ Mass Bldg Auth Rev 5.5% 11/1/10 bond	D	Interest	M	T					
30. City of Houston, TX Rev 5.25% 5/15/11 bond	D	Interest	M	T					
31. Comm of Mass Gen Oblig Ref BDS 1/1/12 bond	D	Interest	M	T					
32. Mass Water Pollution Rev 5.5% 8/1/12 bond	D	Interest	M	T					
33. City of Boston GO 5% 1/1/13 bond	B	Interest	L	T					
34. Pittsfield MA GO 5.5% 4/15/14 bond	D	Interest	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. District of Columbia GO 5% 6/1/14 bond	C	Interest	L	T					
36. Mass GO 5% 8/1/14 bond	C	Interest	M	T					
37. Mass IB 5.375% 1/1/16 bond	D	Interest	M	T					
38. Birmingham AL GO 5.25% 5/1/17 bond	D	Interest	M	T					
39. Mass Water Re Authority 5.25% 8/1/17 bond	D	Interest	M	T					
40. Seattle WA Rev 5% 2/1/18 bond	D	Interest	M	T					
41. Mass Health & Ed Rev 6% 7/1/35 bond	D	Interest	M	T					
42. Vontobel Non US Equity LLC limited partnership		None	N	T					
43. Noble Corp common stock	A	Dividend	L	T					
44. Potash Corp common stock	A	Dividend	L	T					
45. Charles Schwab Deposit Account	A	Interest	J	T					
46. Dodge & Cox Intl Stock Fund	A	Int/Div.	L	T					
47. IBOXX High Yield-HYG mutual fund		None	K	T					
48. SPDR Barclays High Yield-JNK mutual fund		None	K	T					
49. Vanguard Bond Index ETF-BSV mutual fund	A	Int/Div.	J	T					
50. Western Asset Bond Fund	C	Int/Div.	O	T					
51. Dodge & Cox Stock Fund	A	Int/Div.	M	T					

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52. ssga S&P 500 Index mutual fund	A	Int/Div.	L	T					
53. TRowe Price Growth Fund	A	Int/Div.	K	T					
54. Vanguard Mid Cap Fund	A	Int/Div.	K	T					
55. Jennison Small Cap Growth Fund	A	Int/Div.	J	T					
56. Zimmer Holdings Restricted Stock		None	M	T					
57. Zimmer Holdings Common Stock		None	L	T					
58. Comcast Holdings common stock	B	Dividend	K	T					
59. Exxon Mobil Corp common stock	A	Dividend	J	T					
60. Peoples United Fnl common stock	B	Dividend	K	T					
61. Merrill Lynch Cash Card Services mutual fund	A	Interest	L	T					
62. Blackrock- MDSRX mutual fund	A	Dividend	K	T					
63. Vanguard Sh Tm bond-BSV mutual fund	B	Int/Div.	L	T					
64. Bain Capital Fund VIII limited partnership	A	Int/Div.	N	W					
65. Bain Capital AIV Loews II limited partnership	A	Int/Div.	J	W					
66. Bain Capital Tru VIII limited partnership	A	Int/Div.	K	W					
67. Bain Capital VII limited partnership	A	Int/Div.	L	W					
68. Bain Capital VI limited partnership	A	Int/Div.	K	W					

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Casper, Denise J.	04/26/2010

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69. Bain Venture Fund 2001 limited partnership	A	Int./Div.	L	W					
70. Special Opportunities Fund IV limited partnership	A	Int./Div.	M	W					
71. Special Opportunities Fund III limited partnership	A	Int./Div.	N	W					
72. Water Street Healthcare Partners, Limited Partnership	A	Int./Div.	P1	W					
73. Water Street Healthcare Partners II, Limited Partnership	A	Int./Div.	M	W					
74. Altor Common Stock		None	J	W					
75. TPG Fund V limited partnership	A	Int./Div.	M	W					
76. TPG Fund VI limited partnership	A	Int./Div.	K	W					
77. Esplanade Capital limited partnership	A	Int./Div.	N	T					
78. Pine Cobble Capital limited partnership	A	Int./Div.	O	T					
79. Bd of It advisors-Banc One Equity limited partnership		None	J	W					
80. Charlesbank Capital Partners limited partnership	A	Int./Div.	J	W					
81. Dominos Pizza common stock		None	J	T					
82. Cook Cty GO 5.00% bond	B	Interest	L	T					
83.									
84.									
85.									

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86.									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Casper, Denise J.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***FINANCIAL DISCLOSURE REPORT**

Page 11 of 11

Name of Person Reporting

Casper, Denise J.

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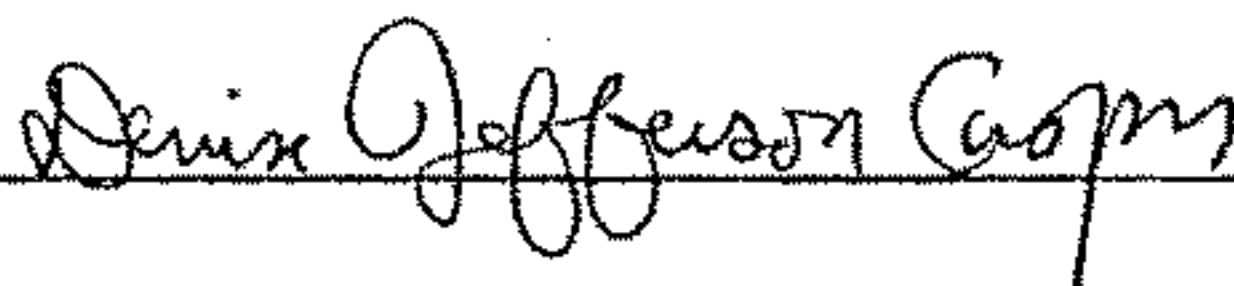
04/26/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	2	401	748	Notes payable to banks-secured			
U.S. Government securities-add schedule		10	000	Notes payable to banks-unsecured			
Listed securities-add schedule	30	908	167	Notes payable to relatives			
Unlisted securities--add schedule	5	094	330	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned-add schedule	7	300	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		782	500				
Cash value-life insurance							
Other assets itemize:							
				Total liabilities			0
				Net Worth	46	496	745
Total Assets	46	496	745	Total liabilities and net worth	46	496	745
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you a defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							