

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Nelson, Susan R.	2. Court or Organization United States District Court for the District of Minnesota	3. Date of Report 04/21/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 04/21/2010 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 03/31/2010
7. Chambers or Office Address 300 South 4th Street Chambers 9E Minneapolis, MN 55415	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director (Advisory Board)	Minnesota Women Lawyers
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 05/01/00	Retirement plan with Robins, Kaplan, Miller & Ciresi, no control.
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Leonard Street and Deinard, attorney/shareholder
2. 2010	Leonard Street and Deinard, attorney/shareholder
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Nelson, Susan R.	04/21/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1. Chase	Credit Card	K
2. American Express	Credit Card	K
3. US Bank	Credit Card	J
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-68 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USB Piper Jaffray IRA #1	C	Interest	K	T	Exempt				
2. EVERGREEN BALANCED CL B									
3. EVERGREEN BALANCED CL A									
4. FIRST AMERICAN PRIME OBLIGS FD A									
5. USB Piper Jaffray IRA #2	C	Interest	K	T					
6. EVERGREEN BALANCED CL B									
7. EVERGREEN BALANCED CL A									
8. FIRST AMERICAN PRIME OBLIGS FD A									
9. USB Piper Jaffray Money Market	A	Interest	J	T					
10. El Paso Energy Corp Stock - Common	A	Dividend	J	T					
11. Northern States Power Stock XCEL Energy Inc. - Common	A	Dividend	J	T					
12. Thor Industries Stock - Common	A	Dividend	J	T					
13. Evergreen Equity Tr Balanced FD CL A - Mutual Fund	E	Dividend	M	T					
14. Evergreen Balanced Mutual Fund	E	Dividend	M	T					
15. First American Technology Fund	A	Dividend	J	T					
16. UGTMA Acct. #1 (Cash)	A	Interest	J	T					
17. UGTMA Acct. #2 GREENWOOD TR CD SEMI FEDINS;	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	N = Cost (Real Estate Only) V = Other	S = Auctioneered W = Estimated	T = Carb Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. UGTMA Acct. #2 HOUSEHOLD BK FSB CD SEMI FEDIN	B	Interest	K	T					
19. UGTMA Acct. #2 U.S. COUPON TREASURY STRIPS (A)	B	Interest	K	T					
20. UGTMA Acct. #2 U.S. STRIPS (B)	B	Interest	K	T					
21. UGTMA Acct. #2 U.S COUPON TREASURY STRIPS (C)	B	Interest	K	T					
22. UGTMA Acct. #2 ORCHARD FSB CD QUAR FEDINS	B	Interest	K	T					
23. UGTMA Acct. #2 FIRST AMERICAN PRIME OBLIGS FD A	B	Interest	K	T					
24. Leonard Street and Deindard 401K	G	Interest	Pl	T					
25. Robins, Kaplan, Miller & Ciresi 401K	F	Interest	N	T					
26. Private Bank Minnesota Checking Account	A	Interest	J	T					
27. Private Bank Minnesota Checking Account	A	Interest	J	T					
28.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
	Q = Appraisal	R = Cost (Real Estate Only)	S = Amortized	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Nelson, Susan R.

Date of Report

04/21/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

III. A. Non-reportable, non-investment income earned for service as a United States Magistrate Judge.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Nelson, Susan R.

Date of Report

04/21/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		30	000	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured		64	987
Listed securities-add schedule			762	Notes payable to relatives			
Unlisted securities--add schedule		380	340	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		40	000
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		400	000
Real estate owned-add schedule	2	380	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property – add schedule		195	665				
Cash value-life insurance		243	863				
Other assets itemize:							
Combined 401(k) plans	1	449	740				
SRN-IRA		23	000				
Michael-UGMA		35	000	Total liabilities		504	987
Deferred benefit plan		64	000	Net Worth	4	297	383
Total Assets	4	802	370	Total liabilities and net worth	4	802	370
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)		no	
On leases or contracts				Are you defendant in any suits or legal actions?		no	
Legal Claims				Have you ever taken bankruptcy?		no	
Provision for Federal Income Tax							
Other special debt							