

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (Last name, first, middle initial) Heimick, Jeffrey J.	2. Court or Organization U.S. District Court, OH-V	3. Date of Report 05/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 05/11/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 04/30/2011
7. Chambers or Office Address 1119 Adams Street FL2 Toledo, OH 43604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member, Board of Trustees	Maumee Valley Country Day School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (years, not spouse's)
1. 2011	Self-employed attorney - gross income	\$47,716.00
2. 2010	Self-employed attorney - gross income	\$160,541.00
3. 2009	Self-employed attorney - gross income	\$186,471.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2011	Staff attorney, Ohio's Sixth District Court of Appeals - salary
2. 2010	Staff attorney, Ohio's Sixth District Court of Appeals - salary
3.	
4.	

IV. REIMBURSEMENTS - (transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1	F	Int/Div.	O	T	Exempt				
2. - Air Products and Chemicals, Inc. Stock									
3. - Apache Corp. Stock									
4. - Apple Inc. Stock									
5. - Bank of Nova Scotia Stock									
6. - BHP Billiton Ltd. Stock									
7. - Cisco System Inc. Stock									
8. - Colgate Palmolive Co. Stock									
9. - Dodge & Cox Int'l Stock Fund									
10. - Google, Inc. Stock									
11. - Intel Corp.									
12. - Intercontinental Exchange Inc. Stock									
13. - iShares MSCI Emerging Markets Index Fund									
14. - Johnson & Johnson Stock									
15. - Lowes Companies Inc. Stock									
16. - Oracle Corp. Stock									
17. - Parker Hannifin Corp. Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	P = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$15,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Accrual W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS - Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yyyy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - PepsiCo Inc. Stock									
19. - Target Corp. Stock									
20. - Teva Pharmaceuticals Industries Inc. Stock									
21. - United Technologies Corp. Stock									
22. - Vanguard Emerging Markets Fund									
23. - Walgreen Co. Stock									
24. - Wells Fargo Co. Stock									
25. - Cincinnati Ohio School Dist. Municipal Bond									
26. - Marysville Ohio School Dist. Municipal Bond									
27. - KT Short Term Tax-Free Fund									
28. - KT Short Term Deposit Fund									
29. IRA #1	A	Int/Div.	J	T					
30. - Cash/Money Account									
31. - Alcatel Lucent Stock									
32. - AOL Inc. Stock									
33. - LSI Corp. Stock									
34. - Time Warner Inc. Stock									

1. Income Code:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B) and D)	P = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H = \$1,000,001 - \$5,000,000	I = \$5,000,001 - \$10,000,000	J = \$10,000,001 - \$50,000,000
2. Value Code:	F = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	N = \$250,001 - \$500,000
(See Columns C) and D)	O = \$500,001 - \$1,000,000	P = \$1,000,001 - \$5,000,000	Q = \$5,000,001 - \$10,000,000	R = \$10,000,001 - \$25,000,000	S = \$25,000,001 - \$50,000,000
3. Value Method Code:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	U = Book Value
(See Column C)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Time Warner Cable Inc. Stock									
36. Deferred Compensation #1	D	Int./Div.	L	T					
37. - Ohio DC Stable Value Option									
38. - Dodge & Cox Stock Fund									
39. - Fidelity Contrafund									
40. - FPA Capital Stock Fund									
41. - PIMCO Total Return Fund									
42. - Vanguard Capital Opportunity Stock Fund									
43. Securities Account #1									
44. - AT&T Inc. Com Stock	A	Int./Div.							
45. MetLife Whole Life	A	Dividend							
46. S/3 Bank Business Checking Account	A	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
P=\$30,001 - \$100,000
J=\$15,000 or less
N=\$250,001 - \$500,000
P1=\$25,000,001 - \$50,000,000

2. Value Codes:
(See Columns C1 and D3)

B=\$1,001 - \$2,000
Q=\$100,001 - \$1,000,000
K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

3. Value Method Codes:
(See Column C2)

Q=Appraisal
U=Book Value
R=Cost (Real Estate Only)
V=Other

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P0=all more than \$50,000,000

S=Assessment
W=Estimated

D=\$5,001 - \$15,000
H2=all more than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

Name of Person Reporting	Date of Report
Helmick, Jeffrey J.	05/11/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

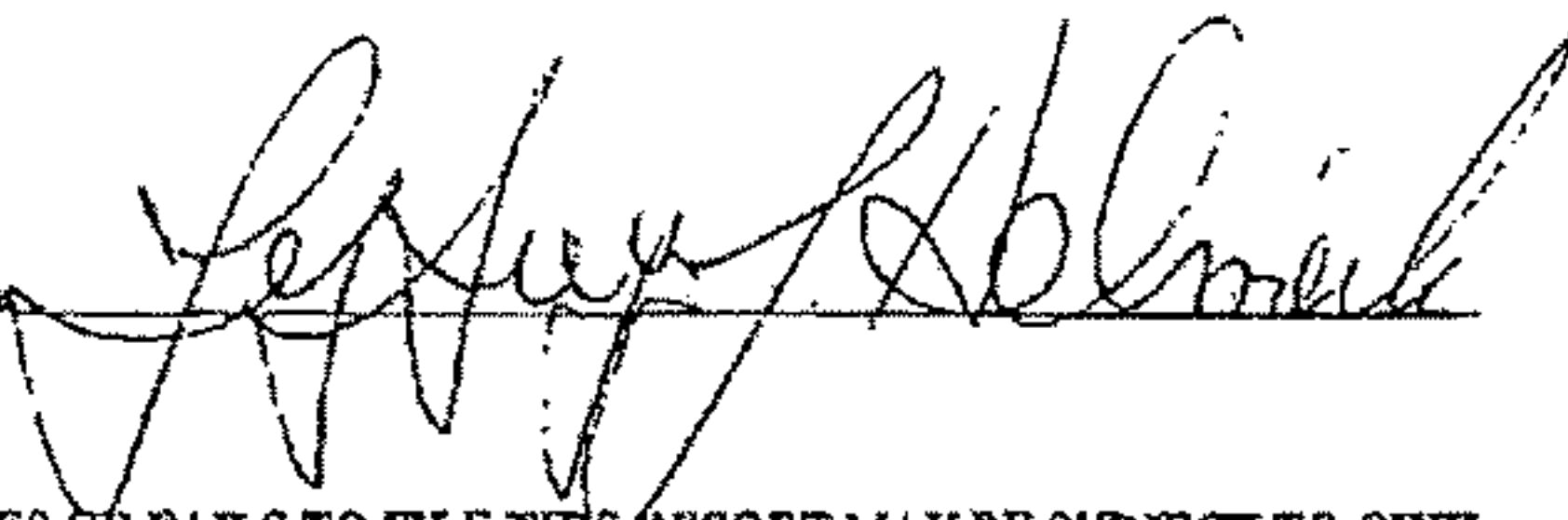
Regarding "Trust #1", the first listing in Part VII, my wife is the sole beneficiary of the trust.

Name of Person Reporting	Date of Report
Helmick, Jeffrey J.	05/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 503 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		15	297	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured		42	896
Listed securities - see schedule		894	454	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others		44	100	Other unpaid income and interest			
Doubtful				Real estate mortgages payable - personal residence		115	719
Real estate owned - personal residence		230	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property							
Cash value-life insurance							
Other assets itemize:							
				Total liabilities		158	615
				Net Worth	1	025	236
Total Assets	1	183	851	Total liabilities and net worth	1	183	851
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							